# Weighted Byzantine Agreement 

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## Byzantine Agreement

- Introduced by Lamport, Shostak and Pease 1980
- Model:
- $n$ processes
- $f$ byzantine faults
- Synchronous system



## Byzantine Agreement Requirements

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| :---: | :---: | :---: | :---: | :---: | :---: |
| Input | 0 | 1 | 0 | $\ldots$ | 1 |
| Good Output | 1 | 1 | 1 | $\ldots$ | 1 |
| Bad Output | 0 | 1 | 0 | $\ldots$ | 1 |

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## Byzantine Agreement Requirements

- Agreement: Two correct processes cannot decide on different values.
- Validity: The value decided must be proposed by some correct process.
- Termination: All correct processes decide in finite number of steps.


## Byzantine Agreement Lower Bounds

- $n \geq 3 f+1$
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- What if we have 30 processes where 15 of them can fail?
- $f+1$ rounds worst case
- Given by Fischer and Lynch 1982
- Can we design a protocol that under certain assumptions can beat these?


## Weight Motivation

1. Abstract notion of trust
2. Support multiple classes of processes
3. Beat bounds under certain conditions


## WBA Problem Specification

- Common weight vector, w
- Weight of failed no more than $\rho$
- Must satisfy:
- Agreement
- Validity
- Termination


## WBA Lower Bounds

Let $\alpha_{\rho}$ be the minimum number of processes whose weight exceeds $\rho$ then

- $\alpha_{\rho}$ rounds
- $\rho<1 / 3$



## Outline

Introduction

Algorithms
Weighted-Queen Algorithm
Weighted-King Algorithm
Initial Weight Assignment
Updating Weights
Related Work

Conclusions

## Weighted Byzantine Algorithm Examples

- Two algorithms: Weighted Queen and Weighted King
- These have good properties
- $\leq f+1$ phases
- Any failure combination so long as weight $<\rho$



## The Weighted-Queen Algorithm

- Based on Phase Queen given by Berman and Garay 1989

|  | Phase Queen (original) | Weighted Queen (ours) |
| :---: | :---: | :---: |
| Fault tolerance | $f<n / 4$ | $\rho<1 / 4$ |
| Rounds | $2(f+1)$ | $2 \alpha_{\rho}$ |

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$$
\alpha_{\rho} \leq f+1
$$

## The Weighted-Queen Algorithm

For $\alpha_{\rho}$ phases iterating over the processes starting with highest weight to lowest do:

- First round
- Exchange own value, $v$, with everyone
- Set $v$ to the value with the highest weight
- Set supp to the weight of $v$
- Second round
- Queen broadcasts its value
- If supp $\leq 3 / 4$, set $v$ to the queen's value

Output own value

## Weighted-Queen Example

- Example: 7 processes with weight assignment [0.2, 0.2, 0.12, 0.12, 0.4, 0.12, 0.12]
- Standard algorithm: 1 fault only, Weighted: some 2 faults
- For example 0 and 4 together


## Weighted-Queen Example

- Phase 1, Round 1:



## Weighted-Queen Example

- Phase 1, Round 1 :



## Weighted-Queen Example

- Phase 1, Round 1:



## Weighted-Queen Example

- Phase 1, Round 2 :



## Weighted-Queen Example

- Phase 1, Round 2 :



## Weighted-Queen Example

- Phase 2, Round 1 :



## Weighted-Queen Example

- Phase 2, Round 1 :



## Weighted-Queen Example

- Phase 2, Round 2 :



## Weighted-Queen Example

- Phase 2, Round 2:



## Persistence of Agreement

## Lemma (Persistence of Agreement)

Assuming $\rho<1 / 4$, if all correct processes prefer a value $v$ at the beginning of a round; then, they continue to do so at the end of the round.

## At Least One Correct Queen

## Lemma

There is at least one in the first $\alpha_{\rho}$ rounds in which the queen is correct.

## Weighted-Queen Satisfies the WBA Problem

```
Theorem
The Weighted-Queen Algorithm solves the agreement problem for all \(\rho<1 / 4\).
To prove this we have to prove that this algorithm satisfies the three properties listed previously: validity, termination, and agreement.
```


## Weighted-King Algorithm

- Three round algorithm based on algorithm given by Berman, Garay and Perry 1989

|  | Phase King (orig.) | Weighted King (ours) |
| :---: | :---: | :---: |
| Fault tolerance | $f<n / 3$ | $\rho<1 / 3$ |
| Rounds | $3(f+1)$ | $3 \alpha_{\rho}$ |

## Initial Weight Assignment

- Weight assignment dramatically changes the nature of these algorithms.
- Simple examples:
- $[1 / n, 1 / n, \ldots, 1 / n]$
- $[1 / 7,1 / 7,1 / 7,1 / 7,1 / 7,1 / 7,1 / 7,0, \ldots, 0]$
- $[1,0,0, \ldots, 0]$


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- $[1 / 7,1 / 7,1 / 7,1 / 7,1 / 7,1 / 7,1 / 7,0, \ldots, 0]$
- $[1,0,0, \ldots, 0]$
- A more involved example with two sets of processes:
- Set $A$ is a collection of six highly reliable processes with probability of failure $f_{a}=0.1$.
- Set $B$ is a collection of unreliable processes with probability of failure $f_{b}=0.3$.


## Initial Weight Assignment Policies

- Uniform (Same as regular Byzantine Agreement)
- All weight to set $A$
- $w[i] \propto 1-\operatorname{Pr}\left\{P_{i}\right.$ fails $\}$
- $w[i] \propto \frac{1}{\operatorname{Pr}\left\{P_{i} \text { fails }\right\}}$


## Weight Assignment Example Probabilities



## Updating Weights

Can we update weights?
Some issues with updating weights:

- Weight vector at each process must be the same
- Each process may see different views of what other have sent



## Weight Update Algorithm

- A simple solution of agreeing on weights
- Process can detect a faulty process $j$ if:
- $j$ sends a no message or corrupted message
- $j$ is queen, queen value is different from $v$ and supp $>3 / 4$
- After detect can reduce the weight of the process
- Have to be careful, faulty process can claim good process faulty


## Weight Update Algorithm

- Round one
- Broadcast faultySet
- For each process $j$ that is suspected by some process if the weight of all processes that suspect is greater than $\rho$ then add $j$ to faultySet
- Round two
- Use WBA to agree upon faultySet
- Add to consensusFaulty each one agreed to be faulty
- Round three
- Set the weight of processes in consensusFaulty to 0 and renormalise


## Related work: Adversarial Structure

- Adversarial structure by Hirt and Maurer 1997
- The adversarial structure is the set of all processes whose failure should be tolerated
- Adversarial structure is exponential in $n$
- Many adversarial structures can be converted to a weight assignment


## Weighted Versus Unweighted

- Pros:
- Simple
- Can tolerate more than $n / 3$ faults in certain circumstances
- Always $\leq f+1$ rounds
- Cons:
- Even with fewer than $n / 3$ faulty processes the algorithm may not work in some cases


## Future Work

- Better update methods
- Apply weights to other algorithms
- Approximately equal weight vectors


## Conclusion

- Weighted Byzantine Agreement
- Weighted Algorithms
- Initial Weight Assignment
- Update Method


## Adversarial Structure Example

Let

$$
P==\{d, e, f, g, h, i\}
$$

and

$$
\bar{A}=\{\{d, e, f\},\{d, g\},\{e, h\},\{e, i\},\{f, g\}\}
$$

Then a weight assignment that satisfies this adversarial structure is:

| Process | d | e | f | g | h | i |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Weight | $1 / 9$ | $1 / 18$ | $8 / 57$ | $1 / 16$ | $5 / 19$ | $5 / 19$ |

## Weighted-King Algorithm

For $\alpha_{\rho}$ rounds where the king iterates over the processes from highest weight to lowest weight:

- Phase one:
- Broadcast own value
- If 1 or 0 has weight over $2 / 3$ then set own value to that value
- else set own value to undecided
- Phase two:
- Broadcast own value
- If the weight of some value received is above $1 / 3$ (giving priority to 0 , then 1 , then undecided) set own value to that value
- Phase three:
- King broadcasts its value
- if own value is undecided or the supporting weight from phase two of own value is under $2 / 3$ then set value to kings value
- if own value is undecided set value to one


## Artificial Neural Networks

- Artificial Neural Networks deal with weighted sums of inputs
- Are not used the say way as the way we are using weights.

