

## References:

P. T. Krein. *Elements of Power Electronics*. New York, NY, U.S.A.: Oxford University Press, 1998.

## Fundamental concepts:

- 1) Energy storage  $\Rightarrow$  state variables

$$i_C \int \frac{1}{T} + v_C \Leftrightarrow \text{Voltage source}$$

$$i_C = C \frac{dv_C}{dt}$$

"Voltage source"

$$i_L \int \frac{1}{T} + v_L \Leftrightarrow \text{Current source}$$

$$i_L = L \frac{dv_L}{dt}$$

"current source"

- 2) Operation regime

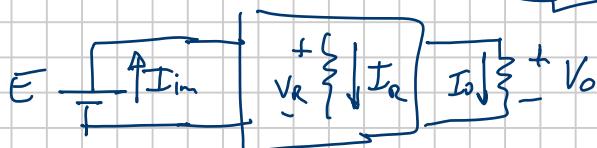
2 Regimes: - Transient

- Steady state

In steady state

The sum of energy exchanges over one period is zero

$$\sum W_T = 0$$



$$\frac{1}{T} \int_0^T V(t) i(t) dt = 0 \quad (\text{Riemann's sum})$$

$$-E I_{in} T_{in} + V_R I_R T_R + V_o I_o T_o = 0$$

Implications: Inductance

$$i_C \approx I_L \Rightarrow \bar{v}_L = \frac{1}{T} \int_0^T v_L(t) dt = 0$$

over one period the average voltage in the inductor is zero. If not, then  $\sum w_T \neq 0$   
so the inductor has been charged or discharged

## Capacitor

$$V_C \approx \bar{V}_C \Rightarrow \bar{i}_C = \frac{1}{T} \int_0^T i_C(t) dt = 0$$

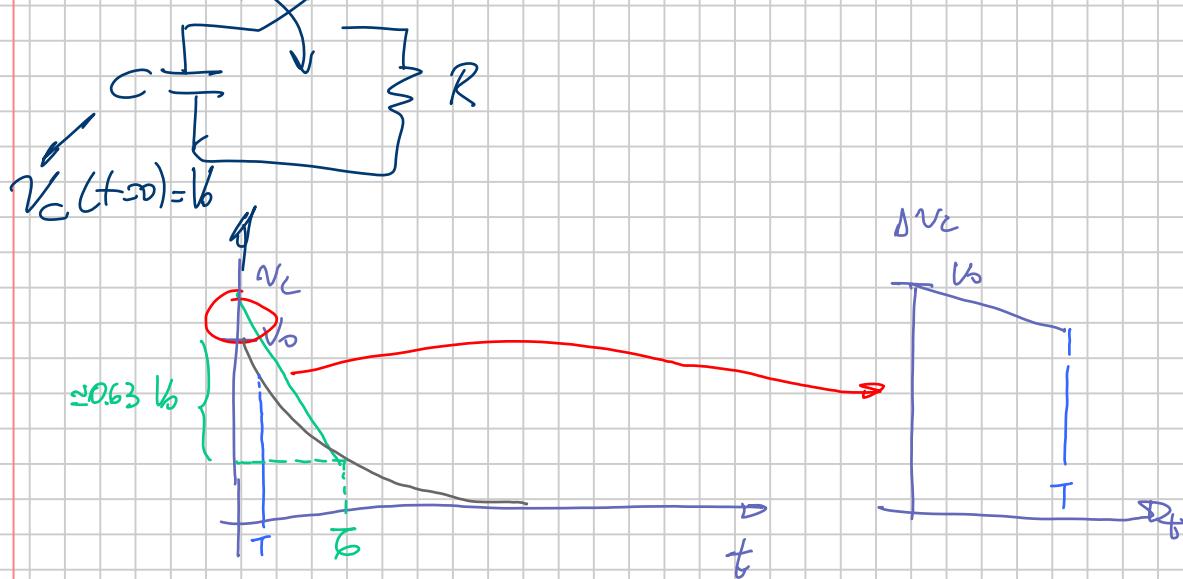
over one period the average current in the capacitor is zero. If not, then  $\sum W_{I,T} \neq 0$  and the capacitor has been charged or discharged

3) Time constants  $\rightarrow$  Fast and slow dynamics

$$\rightarrow RL \Rightarrow \tau = \frac{L}{R}$$

$$\rightarrow RC \Rightarrow \tau = RC$$

Fast dynamics:



With fast dynamics:  $T \ll \tau$

$\hookrightarrow$  we focus on the voltage change during a short interval!

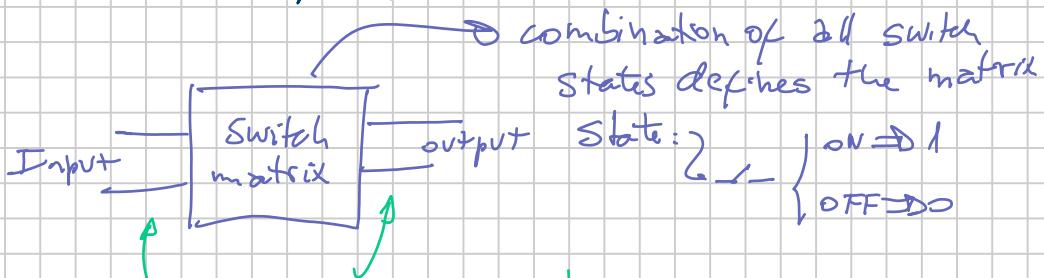
So the exponential decay can be approximated to a linear decay

4) "Thou shall not try to violate KVL and KCL"

Hence → it is usually not a good idea  
to connect capacitors in parallel  
or inductors in series

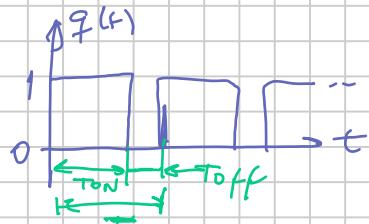
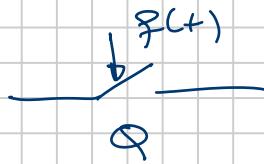
### 5) Switch matrix

↳ It is made of a connection of at least one or more switches with at least an input and an output port,



A matrix converter has storage elements only at the input & output.

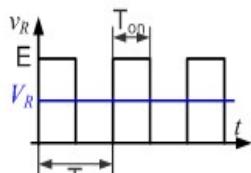
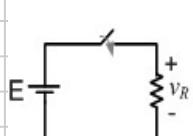
Control of switches is characterized by switching functions



$f_{sw} = 1/T \rightarrow$  switching freq.  
 $T \rightarrow$  switching period

Duty cycle  $D \rightarrow D = \frac{1}{T} \int_0^T q(t) dt = \frac{1}{T} \int_0^T 1 dt$

↳ average of  $q(t)$

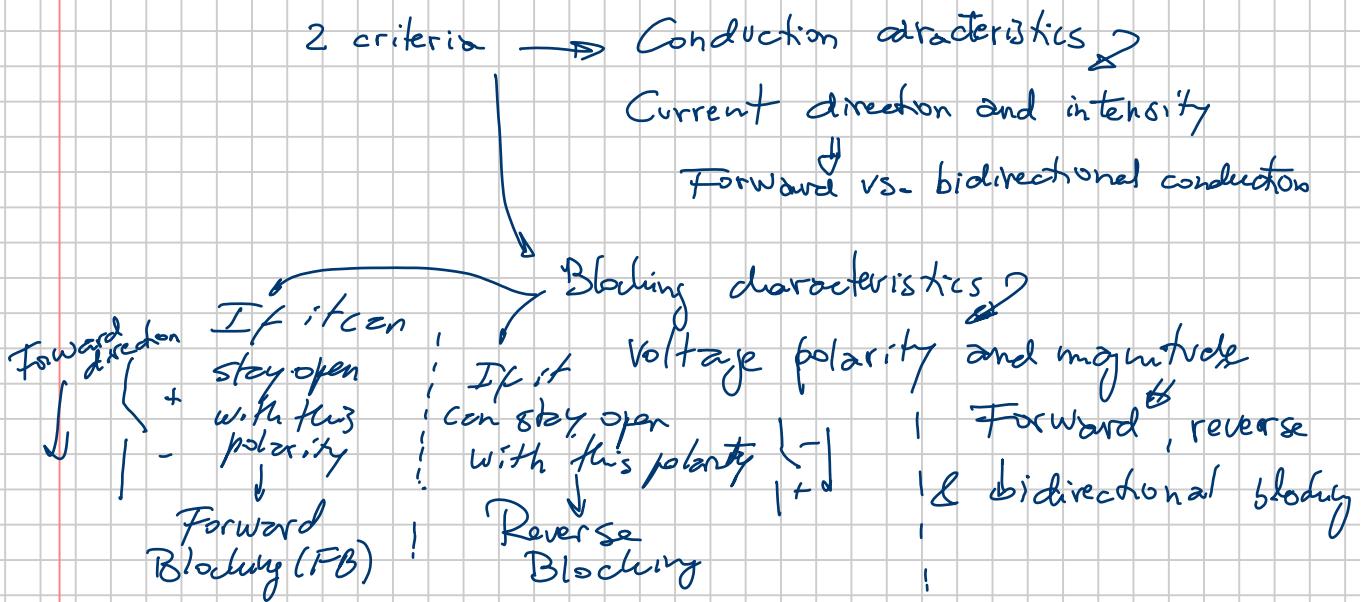


$$D = \frac{T_{on}}{T}$$

The dc voltage on the resistor is  $V_R = ED$

## 6) Switch selection

Switch characterization is based on 2 criteria:



Action	Symbol	Device
FCRB		Diode
FCFB		BJT
FCBB		IGBT
BCEB		GTO
BCBB		FET
		— Ideal switch

Also  
FCBBs.  
D/S  
BCFB  
FCBB

7) Filter : 2 Approaches → Fourier (harmonics are ripples)

Linear approximation  
(Using concept #3)

$$V_L = L \frac{\Delta I_C}{\Delta t}, \quad I_C = C \frac{\Delta V_C}{\Delta t}$$

Let's see some definitions

$$\bar{x}(t) = \frac{1}{T} \int_0^T x(t) dt \quad \text{Average value}$$

$$x(t)_{\text{rms}} = \sqrt{\frac{1}{T} \int_0^T x^2(t) dt} \quad \text{rms value}$$

Instantaneous Active power  $\rightarrow p(t) = V(t) i(t)$

$$\text{Average power} \Rightarrow P = \frac{1}{T} \int_0^T p(t) dt$$

$$\text{TID} = \sqrt{\frac{\sum_{n=2}^{\infty} C_n^2}{C_1^2}} \quad \rightarrow \quad x(t) = x(t + T) = C_0 + \sum_{n=1}^{\infty} C_n \cos(n\omega t + \phi)$$

$$\eta = \frac{P_{\text{out}}}{P_h}$$

$$\% \text{ Line regulation} = 100 \frac{V_{\text{out}}|_{\text{highest output}} - V_{\text{out}}|_{\text{lowest output}}}{V_{\text{out}}|_{\text{nominal}}}$$

$$\% \text{ Load regulation} = \begin{cases} 100 \frac{V_{\text{out}}|_{\text{no load}} - V_{\text{out}}|_{\text{full load}}}{V_{\text{out}}|_{\text{no load}}} \\ 100 \frac{V_{\text{out}}|_{\text{min load}} - V_{\text{out}}|_{\text{full load}}}{V_{\text{out}}|_{\text{nominal}}} \end{cases}$$

$$\text{Power factor} \rightarrow \text{p.f.} = \frac{\text{Average power}}{\text{Apparent power}} = \frac{\frac{1}{T} \int_0^T p(t) dt}{V_{\text{rms}} I_{\text{rms}} \cos \phi}$$

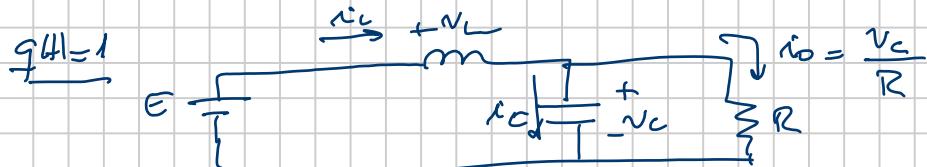
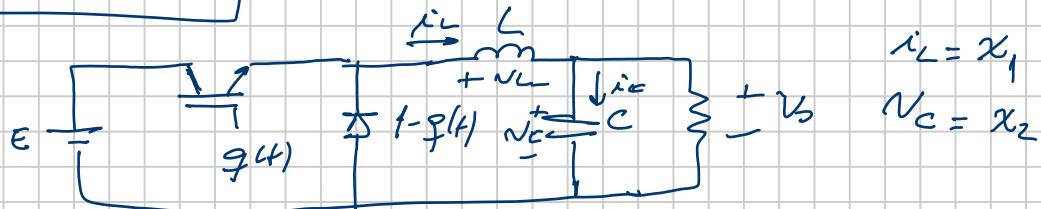
If we only have one harmonic then

$$\frac{1}{T} \int_0^T p(t) dt = P = V_{\text{rms}} I_{\text{rms}} \cos \phi$$

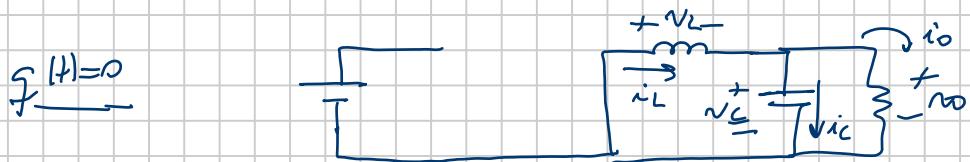
$$\text{and } \text{p.f.} = \cos \phi$$

# Modeling and analysis of dc/dc converters

Buck converter:



$$\begin{cases} L\dot{x}_1 = E - x_2 \\ C\dot{x}_2 = x_1 - x_2/R \end{cases}$$

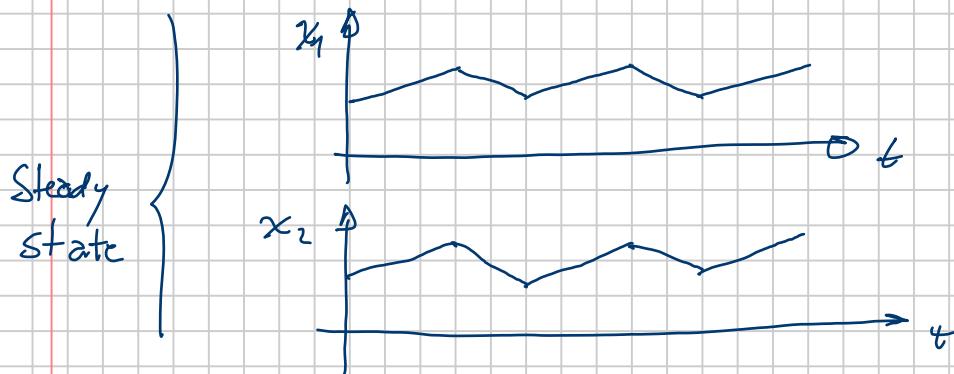
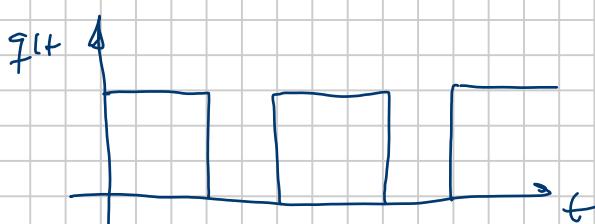


$$\begin{cases} L\dot{x}_1 = -x_2 \\ C\dot{x}_2 = x_1 - x_2/R \end{cases}$$

Hence

$$\begin{cases} L\dot{x}_1 = g(t)E - x_2 \\ C\dot{x}_2 = x_1 - \frac{x_2}{R} \end{cases}$$

(1)  $\rightarrow$  Switched system dynamic eqs.



Apply the fast average operator to (1)

$$\text{Fast average operator} \rightarrow \bar{f}(t) = \frac{1}{T_{sw}} \int_t^{t+T_{sw}} f(t) dt$$

Linear operator

$$\bar{F}(s) \propto \frac{F(s)}{s}$$

Laplace

Low pass filter

Original representation:

$$\begin{cases} L \dot{x}_1 = g(t) E - x_2 \\ C \dot{x}_2 = x_1 - \frac{x_2}{R} \end{cases}$$

Fast average operator

$$\begin{aligned} L \frac{1}{T_{sw}} \int_t^{t+T_{sw}} \dot{x}_1 dt &= E \frac{1}{T_{sw}} \int_t^{t+T_{sw}} g(t) dt - \frac{1}{T_{sw}} \int_t^{t+T_{sw}} x_2 dt \\ C \frac{1}{T_{sw}} \int_t^{t+T_{sw}} \dot{x}_2 dt &= \frac{1}{T_{sw}} \int_t^{t+T_{sw}} x_1 dt - \frac{1}{T_{sw}} \int_t^{t+T_{sw}} \frac{x_2}{R} dt \end{aligned}$$

$$\begin{cases} L \dot{\bar{x}}_1 = \bar{g}(t) E - \bar{x}_2 \\ C \dot{\bar{x}}_2 = \bar{x}_1 - \frac{\bar{x}_2}{R} \end{cases}$$

Fast average model

If the duty cycle is fixed and constant then

$$\bar{g}(t) = D$$

and

$$\begin{cases} L \dot{\bar{x}}_1 = D E - \bar{x}_2 \\ C \dot{\bar{x}}_2 = \bar{x}_1 - \frac{\bar{x}_2}{R} \end{cases}$$

Notice that:  $\bar{V}_L = L \dot{\bar{x}}_1$  and  $\bar{i}_C = C \dot{\bar{x}}_2$

So, in steady state and since  $\bar{V}_L = 0$  and  $\bar{i}_C = 0$  we have  
that  $\bar{V}_L = 0 = D\bar{E} - \bar{x}_2 \Rightarrow \bar{x}_2 = V_o = D\bar{E}$

And, from  $i_C = 0$ ,

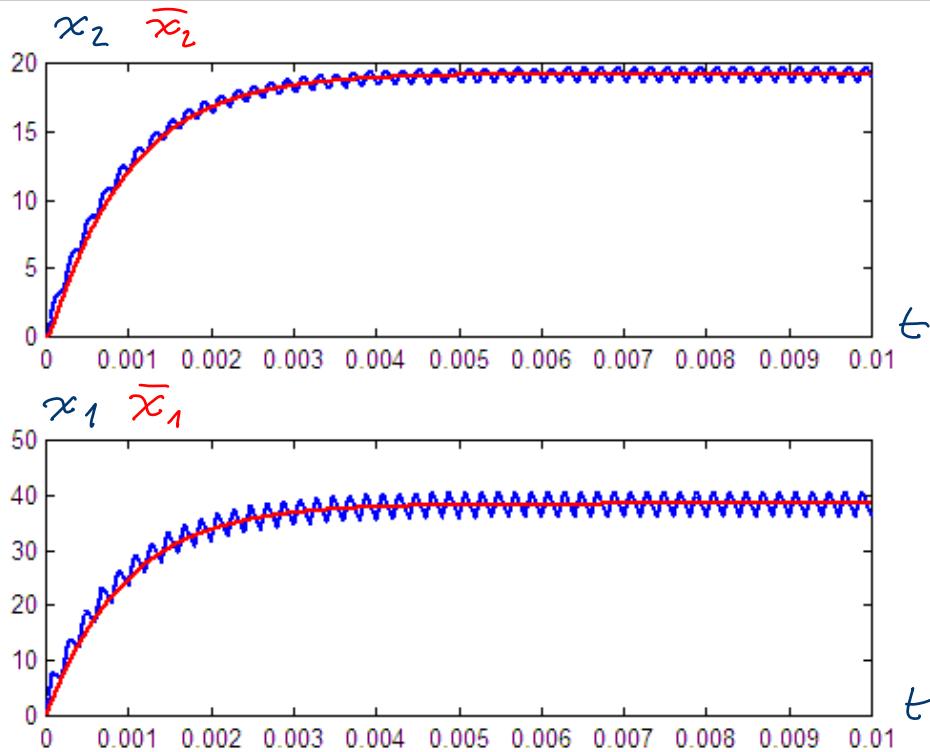
$$\bar{x}_1 = \bar{I}_L = \frac{\bar{x}_2}{R} = \frac{V_o}{R} = I_{out} \rightarrow$$

$\overbrace{\begin{array}{c} \xrightarrow{\bar{I}_L} \\ m \end{array} \parallel \begin{array}{c} \downarrow \bar{I}_C \\ \int \end{array} \parallel \begin{array}{c} \rightarrow I_{out} \end{array}}$

$$\bar{I}_L = I_{out}$$

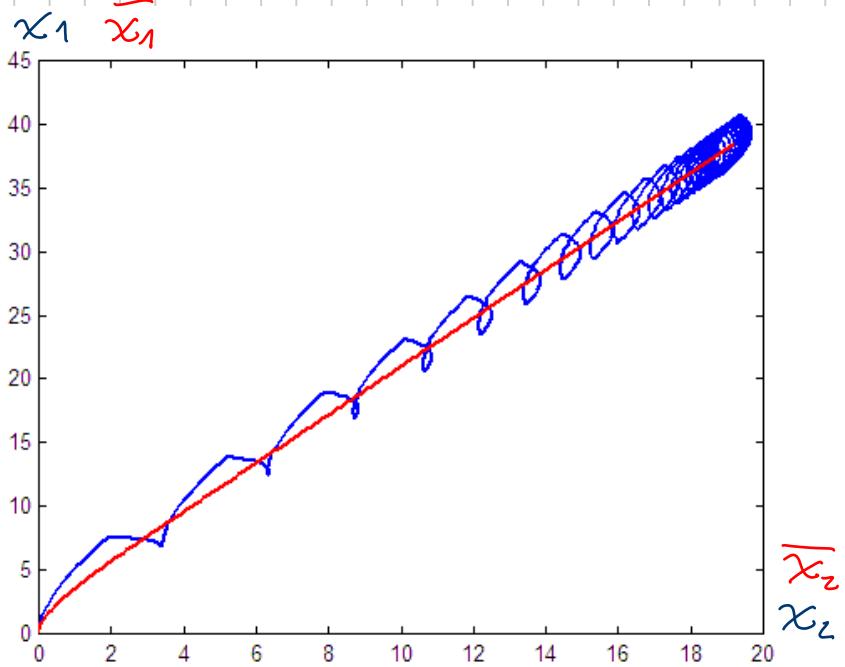
$$\text{and } \bar{I}_C = 0$$

\* average current in the  
capacitor is zero

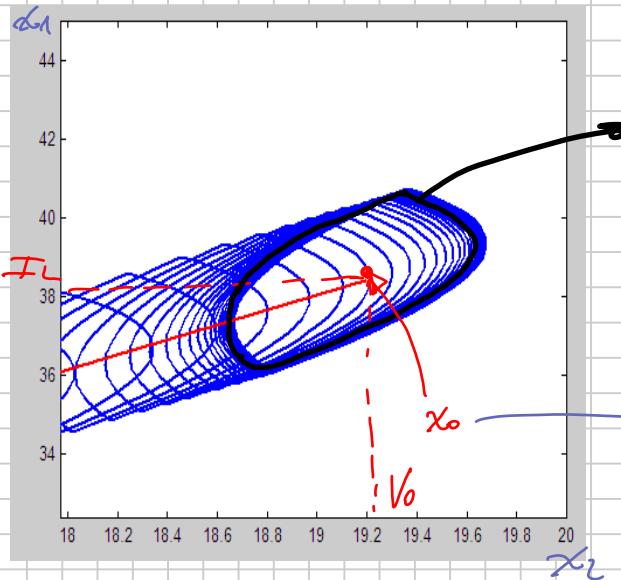


↳ Time domain representation from simulating a buck converter with

$$D=0.4, E=48V, R=0.5\Omega, L=500\mu F, C=100\mu F$$



state space representation  
(phase portrait)



Limitcycle  
 ↴  
 (1) does not lead to an equilibrium point  
 Equilibrium point only achieved in average.

↳ The operation of a buck converter (and for that matter, any dc-dc converter) consists on a continuous operation between two transient trajectories

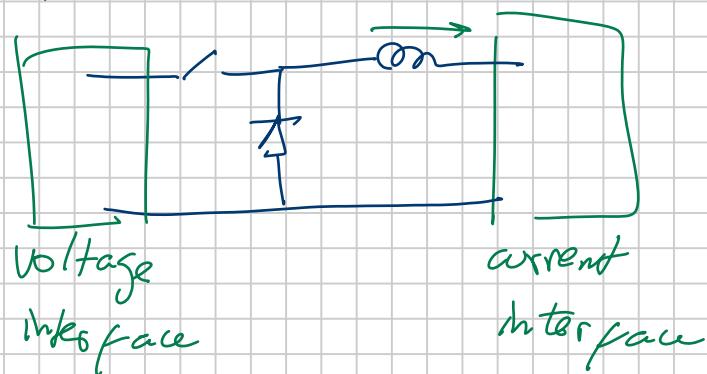
The key assumption is to consider  $T_{SW}$  small enough (or few large enough) so the actual behavior approximates the fast average behavior

As  $f_{sw}$  increases, the limit cycle gets smaller, towards the equilibrium point.

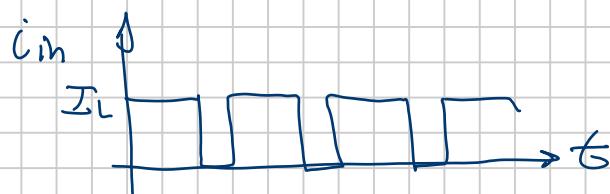
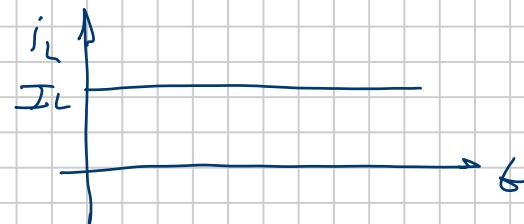
Note that with  $L$  large enough,  $i_L$  will be almost constant so without a capacitor  $V_C = R_i L = R_i i_L \approx R_i L$

$$V_C \approx V_o \rightarrow \text{constant}$$

So the output capacitance may not be needed. The reason is that the buck converter has a voltage-source input and a current-type output.

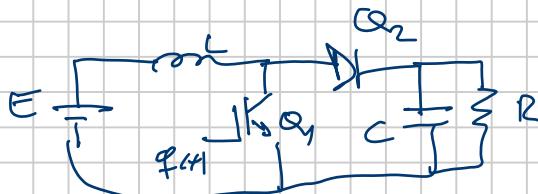


Although this configuration may work for some sources, such as PV modules, for others, such as fuel cells, it is not suitable, because the current input has abrupt and deep changes:



For sources that require relatively constant current output  
 a boost converter may be a better option:

### Boost converter



$$\text{where } f'(t) = \frac{df(t)}{dt}$$

$$f(t)=1$$

$$\begin{cases} L\dot{x}_1 = E \\ C\dot{x}_2 = -\frac{x_2}{R} \end{cases}$$

$$f(t)=0$$

$$\begin{cases} L\dot{x}_1 = E - x_2 \\ C\dot{x}_2 = x_1 - \frac{x_2}{R} \end{cases}$$

$$\begin{cases} L\dot{x}_1 = E - f'(t)x_2 \\ C\dot{x}_2 = f'(t)x_1 - \frac{x_2}{R} \end{cases}$$

Fast average issue

$$\rightarrow \frac{1}{T_{sw}} \int_t^{t+T_{sw}} f'(t) x_i dt \neq \frac{1}{T_{sw}} \int_t^{t+T_{sw}} f(t) dt \overline{x_i dt}$$



$$\text{e.g. } x_i = At$$

$$\overline{(x_i f(t))} = \frac{1}{T_{sw}} \int_t^{t+T_{sw}} \Delta t f(t) dt = \frac{A}{2} D (2t + D T_{sw})$$

$$\bar{x}_i d(t) = \left( \frac{1}{T_{sw}} \int_t^{t+T_{sw}} A t dt \right) \left( \frac{1}{T_{sw}} \int_t^{t+T_{sw}} f(t) dt \right) = \frac{D A}{2} (2t + \overline{T_{sw}})$$

So for  $T_{sw}$  very small there is no problem (again, the assumption is that  $f_{sw}$  is large enough)

So, for high switching frequency ( $f_{sw} = \frac{1}{T_{sw}}$ )

$$\begin{cases} L\dot{\bar{x}}_1 = E - d' \bar{x}_2 \\ C\dot{\bar{x}}_2 = d' \bar{x}_1 - \frac{\bar{x}_2}{R} \end{cases}$$

Again, for a fixed and constant duty cycle  $D$ :

$$\left\{ \begin{array}{l} \dot{x}_1 = E - D' \bar{x}_2 \\ \dot{x}_2 = D' \bar{x}_1 - \frac{\bar{x}_2}{R} \end{array} \right.$$

where  $D' = 1 - D$

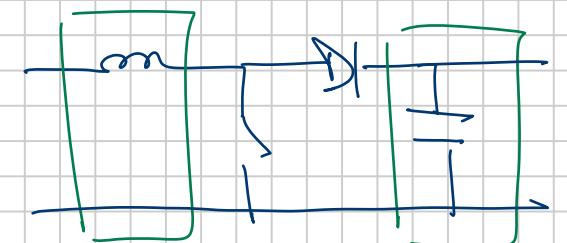
And in steady state  $\bar{x}_1 = \bar{x}_2 = 0$ , So

$$0 = E - D' \bar{x}_2 \Rightarrow \bar{x}_2 = V_0 = \frac{E}{D'} = \frac{E}{1-D}$$

And since  $\bar{i}_C = 0$ , then

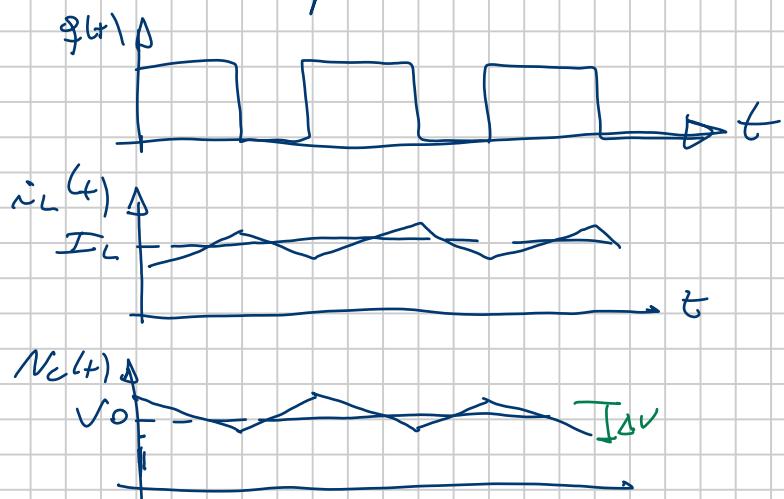
$$\bar{x}_1 = \bar{I}_L = \frac{\bar{x}_2}{D'R} = \frac{V_0}{D'R} = \frac{I_0}{D'}$$

Now, the configuration of a boost converter is  
current-source input / voltage-source output



current source  
(with L large enough)  
voltage source  
(with C large enough)

Boost converter main waveforms:



Notice that when  $\xi(t) = 1$ ,  $i_L(t) = i_o \approx I_0 = \frac{V_0}{R}$

$$\text{So, } i_L(t) = C \frac{dV_C}{dt}$$

↓

$$i_C(t) \approx C \frac{\Delta V_C}{\Delta t}$$

$$\frac{V_0}{R} \approx C \frac{\Delta V_C}{\Delta t} = C \frac{\Delta V_C}{D T_{SW}} = C \frac{\Delta V_C}{D} f_{SW}$$

$$\Delta V_C = \frac{1}{RC} D T_{SW} V_0 = \frac{V_0 D}{RC f_{SW}}$$

$$\text{Since } RC = 6 \text{ then } \Delta V_C = \frac{T_{SW}}{6} \Rightarrow V_0 = \frac{V_0 D}{6 f_{SW}}$$

So  $\Delta V_C$  is lower as  $T_{SW} \ll 6$

↙ voltage ripple

(see concept (3)  
on page 2 above)

or, what is the same

↙ For the same  $C$ ,  $\Delta V_C$  is smaller  
as  $f_{SW}$  is higher.

So size of components (especially energy storage devices) can be reduced as  $f_{SW}$  is increased

Issues with the boost converter:

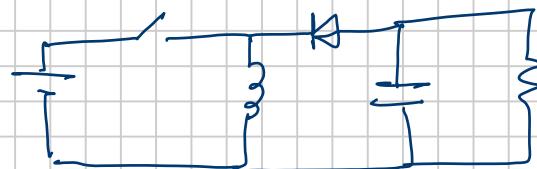
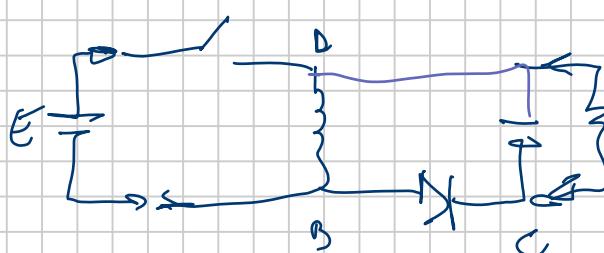
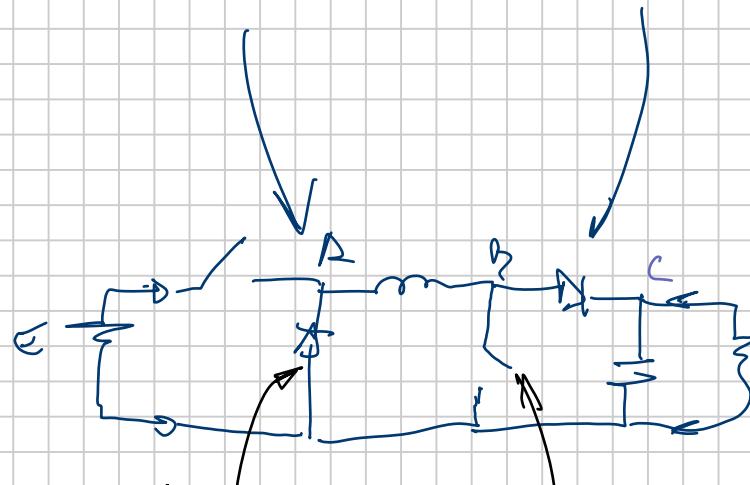
When  $D=1 \rightarrow i_L \rightarrow \infty$  and  $V_C \rightarrow 0$

↙ I can blow something

When  $R \rightarrow \infty$  then  $V_C \rightarrow \infty$

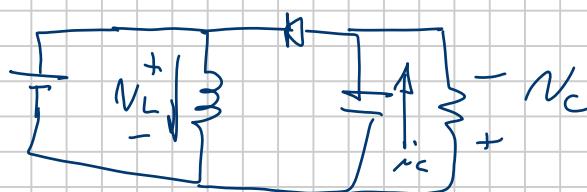
↙ I can also blow something  
No load operation

## Buck-Boost converter



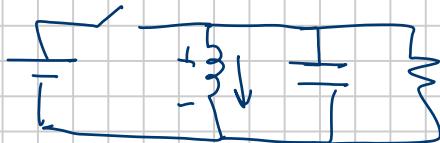
## Buck-boost converter

When  $g=1$



$$\left\{ \begin{array}{l} L \dot{x}_1 = E \\ C \dot{x}_2 = -x_2/R \end{array} \right.$$

When  $f = 0$



$$\begin{cases} L \dot{x}_1 = -x_2 \\ C \dot{x}_2 = x_1 - \frac{x_2}{R} \end{cases}$$

Thus

$$\begin{cases} L \dot{x}_1 = f(t) E - (1-f) x_2 \\ C \dot{x}_2 = (1-f) x_1 - \frac{x_2}{R} \end{cases}$$

$\downarrow$   
Fast average operator

$$\begin{cases} L \dot{\bar{x}}_1 = f(t) E - f'(t) \bar{x}_2 \\ C \dot{\bar{x}}_2 = f'(t) \bar{x}_1 - \frac{\bar{x}_2}{R} \end{cases}$$

Again, for a fixed and constant duty cycle  $D$ :

$$\begin{cases} L \dot{\bar{x}}_1 = D E - D' \bar{x}_2 \\ C \dot{\bar{x}}_2 = D' \bar{x}_1 - \frac{\bar{x}_2}{R} \end{cases}$$

In steady state:

$$0 = D E - D' \bar{x}_2 \quad \xrightarrow{\bar{x}_2 = V_o} \quad \frac{V_o}{E} = \frac{D}{D'} = \frac{D}{1-D}$$

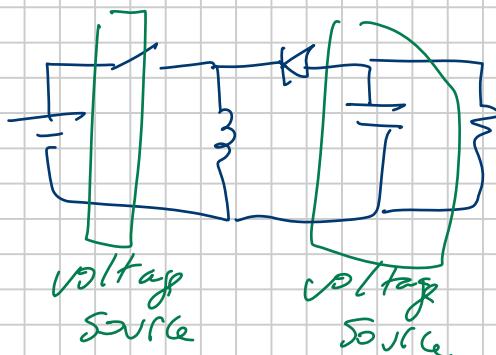
$$0 = I_L D' - I_o \rightarrow I_L = \frac{I_o}{D'} = \frac{I_{in}}{D}$$

The buck-boost converter has the same issues than the boost converter with respect to  $D=1$

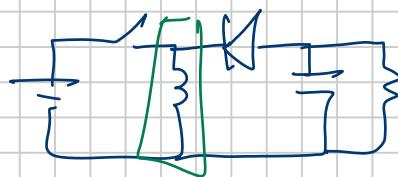
End no local operation

Notice that the buck-boost has a voltage source interface on both the input and the output:

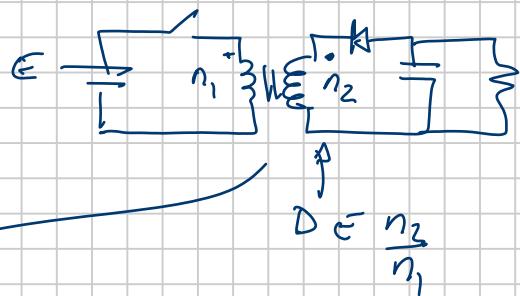
output :



The flyback converter can be derived from the buck-boost.



Let's use a coupled inductor instead



They have  
Galvanic  
isolation

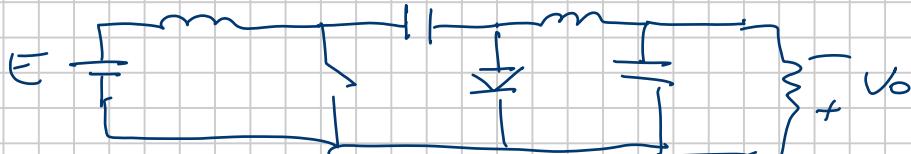
$$DE \frac{n_2}{n_1} = (1-D) V_o$$

$$\frac{V_o}{E} = \frac{D}{1-D} \frac{n_2}{n_1}$$

→ coupled inductors  
turns ratio

Other useful topologies

- Cuk converter (Boost-Buck converter)



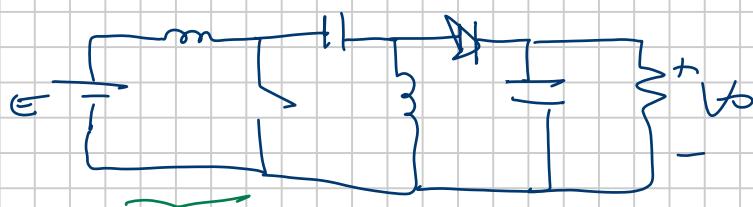
current  
source  
interface

current source  
interface

(the capacitor is redundant)

$$\frac{V_o}{E} = \frac{D}{1-D}$$

Sepic converter



current  
source  
interface

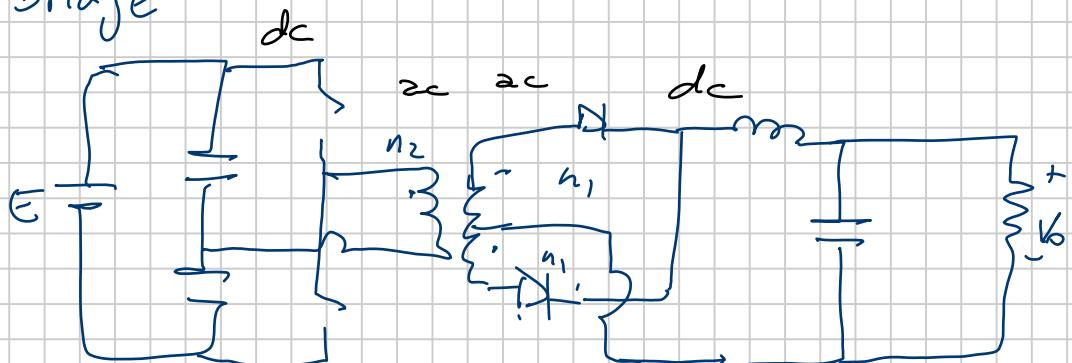
voltage  
source  
interface

$$\frac{V_o}{E} = \frac{D}{1-D}$$

Forward converters

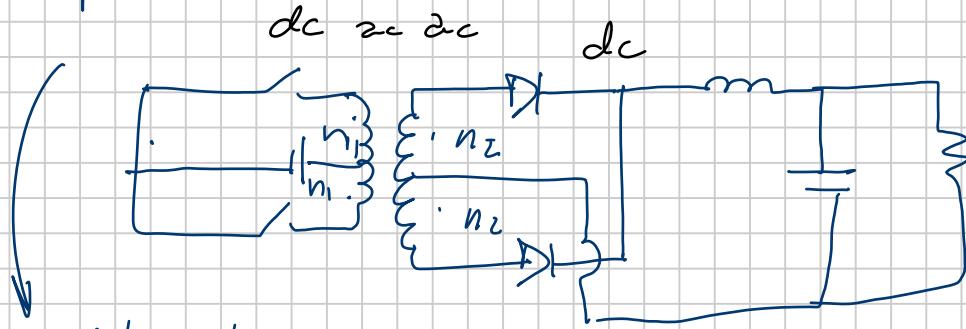
(→ they naturally have galvanic isolation)

Half bridge



$$\frac{V_o}{E} = D \frac{n_2}{n_1}$$

- Push pull

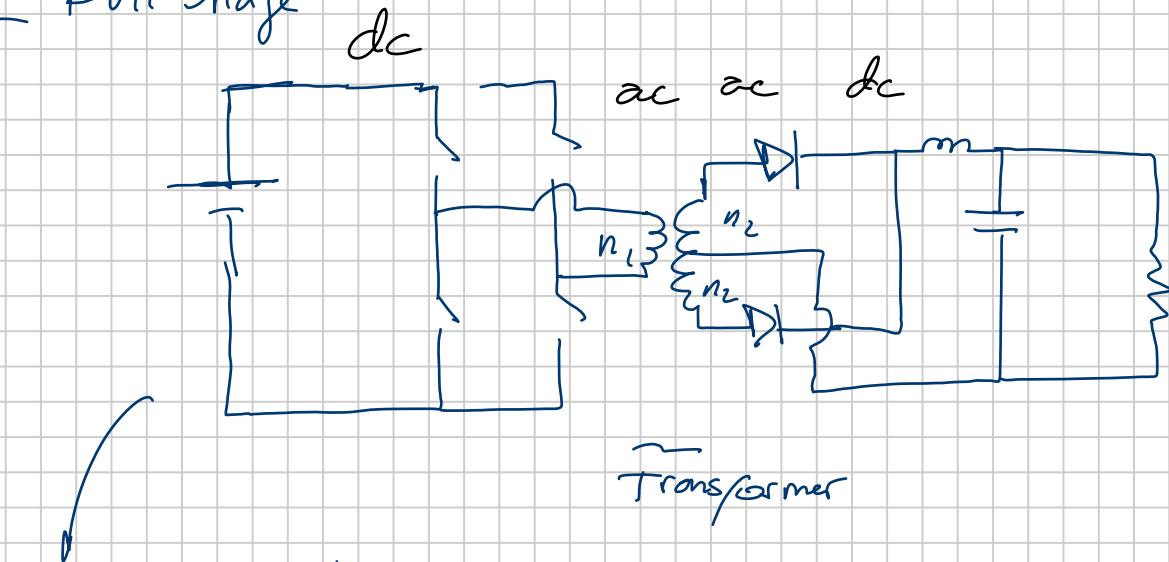


Traditional

voltage-source  
configuration

$$\frac{V_o}{E} = 2 \frac{n_2}{n_1} D$$

- Full bridge



traditional voltage

source configuration

$$\frac{V_o}{E} = 2 \frac{n_2}{n_1} D$$

Multiple-input dc-dc converters

