

EE382V: System-on-a-Chip (SoC) Design

Lecture 4 – DRM Overview

Sources:

*Dr. Saf Asghar, Austin,
Prof. Y. Richard Yang, Yale
Sriram Sambamurthy, UT
Chip Fleming, Spectrum Applications*

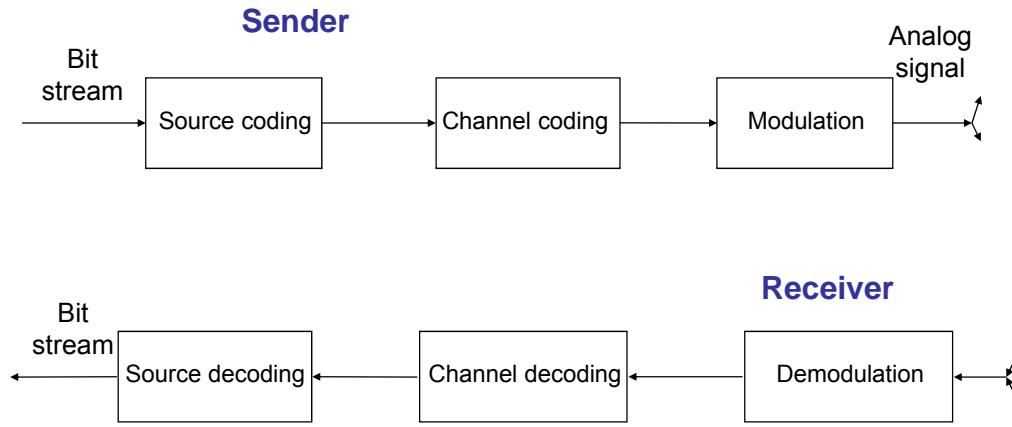
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Lecture 4: Outline

- **Introduction to OFDM**
 - Wireless transmission
 - Modulation
 - Coding
 - OFDM basics
- **DRM Tutorial**
 - Overview
 - Channel estimation
 - Synchronization

Overview of Wireless Transmission

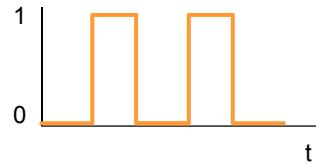


➤ Why not send signals digitally?

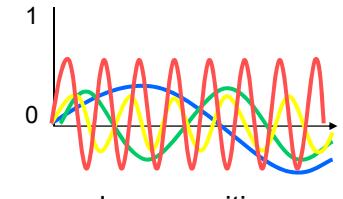
Fourier Transform

- Every signal can be decomposed as a collection of harmonics

$$g(t) = \frac{1}{2}c + \sum_{n=1}^{\infty} a_n \sin(2\pi nft) + \sum_{n=1}^{\infty} b_n \cos(2\pi nft)$$

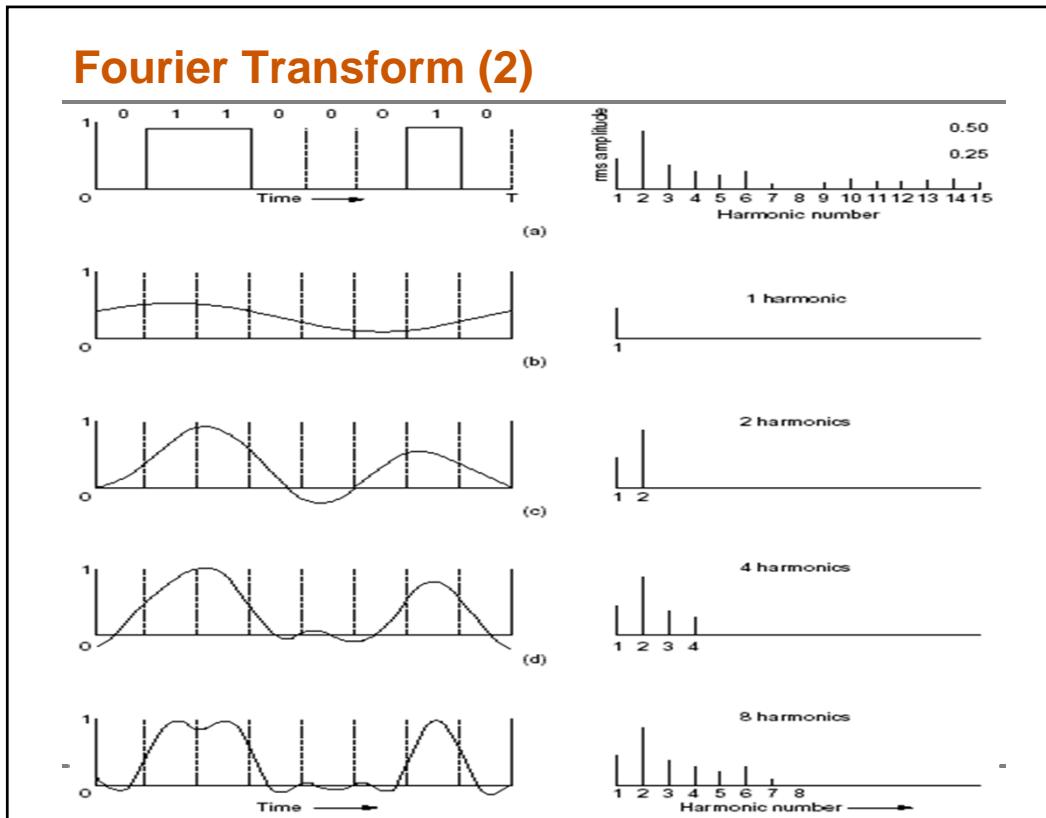


ideal periodical digital signal



decomposition

- The more harmonics used, the smaller the approximation error



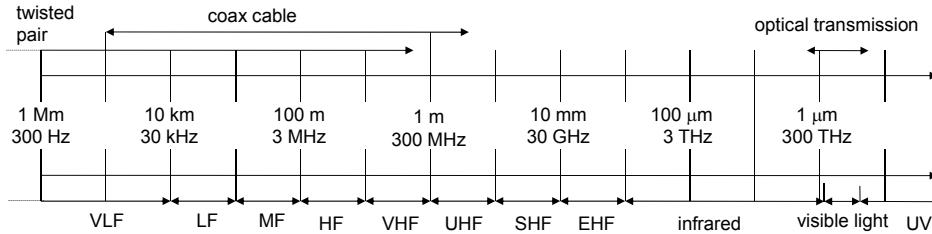
Shannon Channel Capacity

- **Spectrum and bandwidth**
 - The maximum number of bits that can be transmitted per second by a physical channel is:

$$W \log_2 \left(1 + \frac{S}{N} \right)$$

- where W is the bandwidth of the channel, and S/N is the signal noise ratio, assuming Gaussian noise

Frequencies for Communication



VLF = Very Low Frequency
 LF = Low Frequency
 MF = Medium Frequency
 HF = High Frequency
 VHF = Very High Frequency

UHF = Ultra High Frequency
 SHF = Super High Frequency
 EHF = Extra High Frequency
 UV = Ultraviolet Light

- **Frequency and wave length:** $\lambda = c/f$
 - Wave length λ , speed of light $c \approx 3 \times 10^8 \text{ m/s}$, frequency f

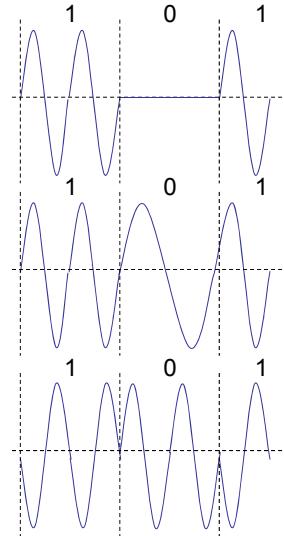
Frequencies and Regulations

- **ITU-R holds auctions for new frequencies, manages frequency bands worldwide (WRC, World Radio Conferences)**

	Europe	USA	Japan
Cellular Phones	GSM 450 - 457, 479 - 486/460 - 467, 489 - 496, 890 - 915/935 - 960, 1710 - 1785/1805 - 1880 UMTS (FDD) 1920 - 1980, 2110 - 2190 UMTS (TDD) 1900 - 1920, 2020 - 2025	AMPS , TDMA , CDMA 824 - 849, 869 - 894 TDMA , CDMA , GSM 1850 - 1910, 1930 - 1990	PDC 810 - 826, 940 - 956, 1429 - 1465, 1477 - 1513
Cordless Phones	CT1+ 885 - 887, 930 - 932 CT2 864 - 868 DECT 1880 - 1900	PACS 1850 - 1910, 1930 - 1990 PACS - UB 1910 - 1930	PHS 1895 - 1918 JCT 254 - 380
Wireless LANs	IEEE 802.11 2400 - 2483 HIPERLAN 2 5150 - 5350, 5470 - 5725	902 - 928 I IEEE 802.11 2400 - 2483 5150 - 5350, 5725 - 5825	IEEE 802.11 2471 - 2497 5150 - 5250
Others	RF - Control 27, 128, 418, 433, 868	RF - Control 315, 915	RF - Control 426, 868

Digital Modulation

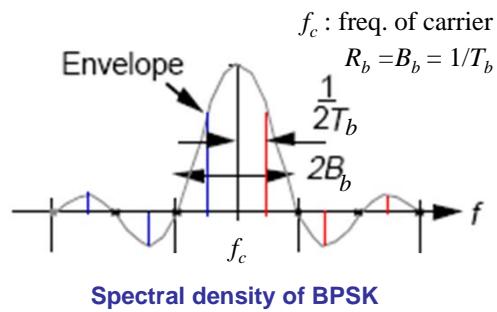
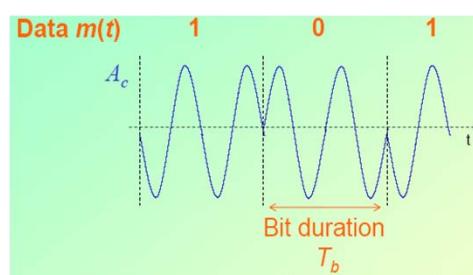
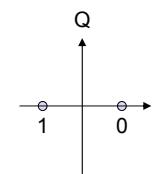
- Amplitude shift keying (ASK)
- Frequency shift keying (FSK)
- Phase shift keying (PSK)



➤ Bandwidth requirements and resistance to interference?

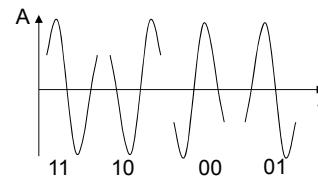
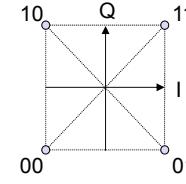
Phase Shift Keying: BPSK

- BPSK (Binary Phase Shift Keying)
 - Bit value 0: sine wave
 - Bit value 1: inverted sine wave
 - Very simple PSK
- Properties
 - Low spectral efficiency
 - Robust, used e.g. in satellite systems

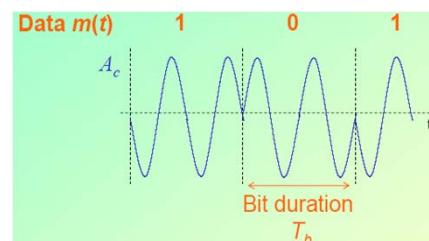
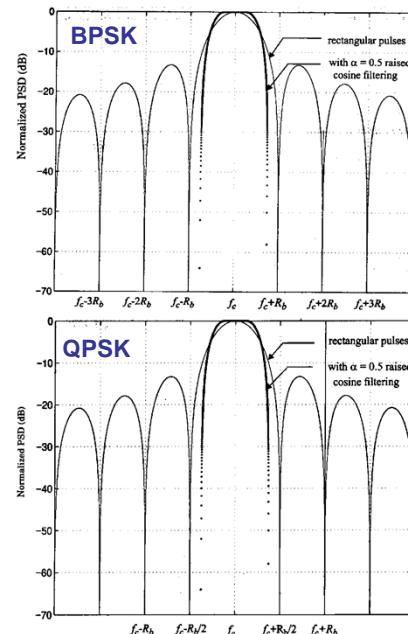


Phase Shift Keying: QPSK

- **QPSK (Quadrature Phase Shift Keying)**
 - 2 bits coded as one *symbol*
 - Symbol determines shift of sine wave
 - Often also transmission of relative, not absolute phase shift
 - DQPSK - Differential QPSK
- **Properties**
 - Less bandwidth compared to BPSK



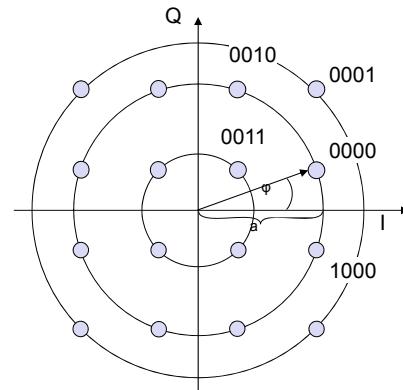
Power Spectral Density (PSD)



f_c : modulation frequency
 R_b : frequency of data

Quadrature Amplitude Modulation

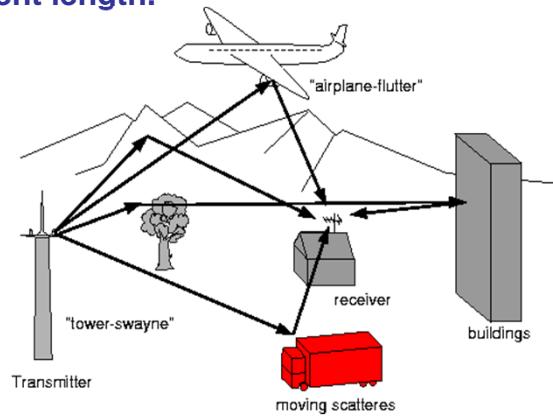
- **Quadrature Amplitude Modulation (QAM)**
 - Combines amplitude and phase modulation
 - It is possible to code n bits using one symbol
 - 2^n discrete levels
 - Bit error rate increases with n



- **Example**
 - 16-QAM (4 bits = 1 symbol)
 - Symbols 0011 and 0001 have the same phase φ , but different amplitude a
 - 0000 and 1000 have same amplitude but different phase

Multipath Propagation

- Transmitted signal arrives at the receiver in various paths of different length.



➤ Interference

- Inter-symbol interference (ISI) due to delay spread
- Multiple versions of the same symbol interfere w/ each other

Inter Symbol Interference (ISI)

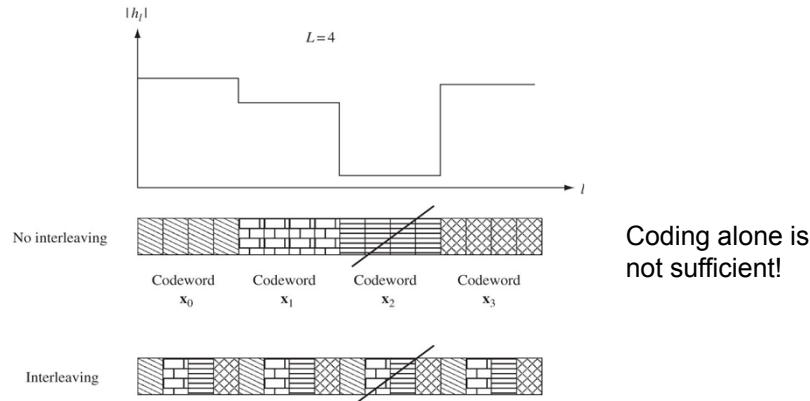
- **Delay spread**
 - Channel delay spread can cause ISI
 - Power delay profile conveys the multipath delay spread effects of the channel
 - RMS delay spread quantifies the severity of the ISI phenomenon
 - The ratio of RMS delay spread to the data symbol period determines the severity of the ISI
- **Reduced symbol rate**
 - Long symbols with guard time at symbol transitions
 - Delay spread related to frequency-selectivity of fading
 - Flat fading occurs when the symbol period is large compared to the delay spread

Interference Channels

- **Multipath interference**
 - Fading due to constructive and destructive addition of multipath signals
 - Communication over a flat fading channel has poor performance due to significant probability that channel is in a *deep fade*
 - Reliability is increased by providing more resolvable signal paths that fade *independently*
- **Diversity can be provided across**
 - *Time, space and frequency*
 - How to exploit the added diversity in an *efficient* manner?

Space and Time Diversity

- Space diversity through Multiple-Input, Multiple-Output (MIMO) antenna systems
- Time diversity can be obtained by interleaving and *coding* over symbols across different coherent time periods



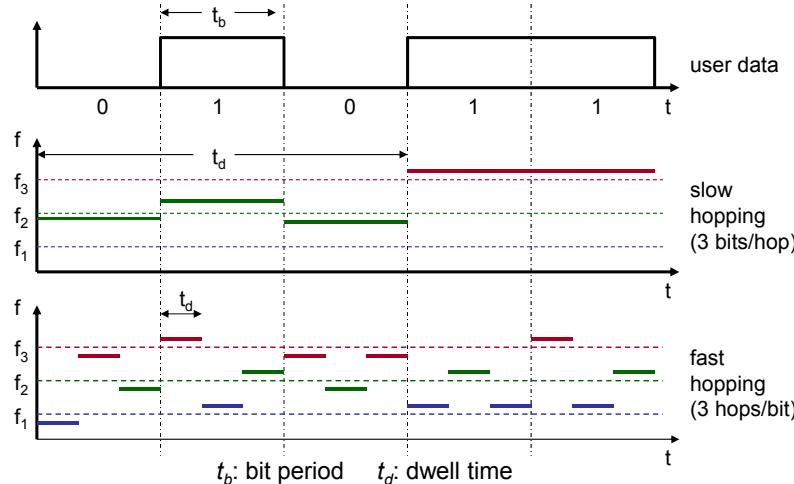
Frequency Diversity

- FHSS (Frequency Hopping Spread Spectrum)
 - Discrete changes of carrier frequency
 - Sequence of frequency changes determined via pseudo random number sequence
 - Used in 802.11, GSM, etc.
 - Co-inventor: Hedy Lamarr
 - Patent# 2,292,387 issued on August 11, 1942
 - Intended to make radio-guided torpedoes harder for enemies to detect or jam
 - Used a piano roll to change between 88 frequencies



Frequency Hopping Spread Spectrum

- **Two versions**
 - Slow hopping: several user bits per frequency
 - Fast hopping: several frequencies per user bit



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- ✓ Modulation
 - Coding
 - OFDM basics

- **DRM Tutorial**

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- Channel estimation
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Interleaving and Coding

- **Interleaving**

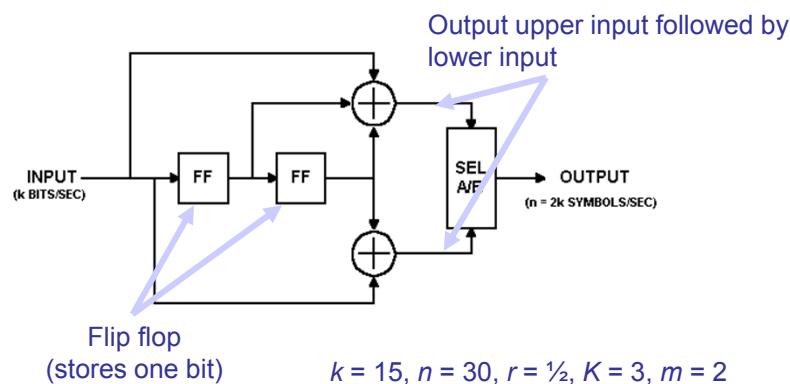
- Spread the errors out in the bit-stream that is presented to the error correction decoder
- Errors are not concentrated to one part of the bit stream

- **Channel coding**

- Convolutional encoding and decoding + additional error correcting codes like Reed Solomon are used
 - Forward error correction (no re-transmission is necessary)
 - Decoding is performed using *Viterbi* decoder

➤ First homework will include an exercise on convolutional encoding and decoding

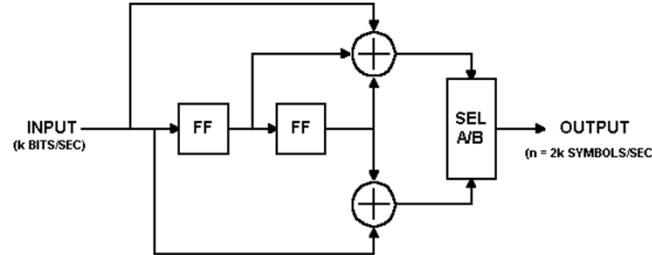
Convolution Encoder



- **Terminology**

- k number of message symbols
- n number of codeword symbols
- r rate = k/n
- m number of encoding cycles an input symbol is stored
- K number of input symbols used to compute each output symbol (decoding time exponentially dependent on K)

Encoding Example



- Both flip-flops set to 0 initially
- Input: 0 1 0 1 1 1 0 0 1 0 1 0 0 0 1
- Output: 00 11 10 00 01 10 01 11 11 10 00 10 11 00 11
- Flush encoder by clocking $m = 2$ times with 0 inputs.

State Transition and Output Tables

Current State	Next State, if	
	Input = 0:	Input = 1:
00	00	10
01	00	10
10	01	11
11	01	11

State transition table

Current State	Output Symbols, if	
	Input = 0:	Input = 1:
00	00	11
01	11	00
10	10	01
11	01	10

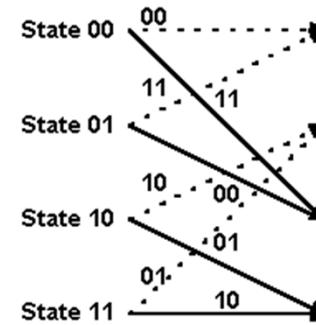
Output table

2^{k-1} rows, 2^k columns

State Transitions

Current State	Next State, if	
	Input = 0:	Input = 1:
00	00	10
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Current State	Output Symbols, if	
	Input = 0:	Input = 1:
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01	11	00
10	10	01
11	01	10

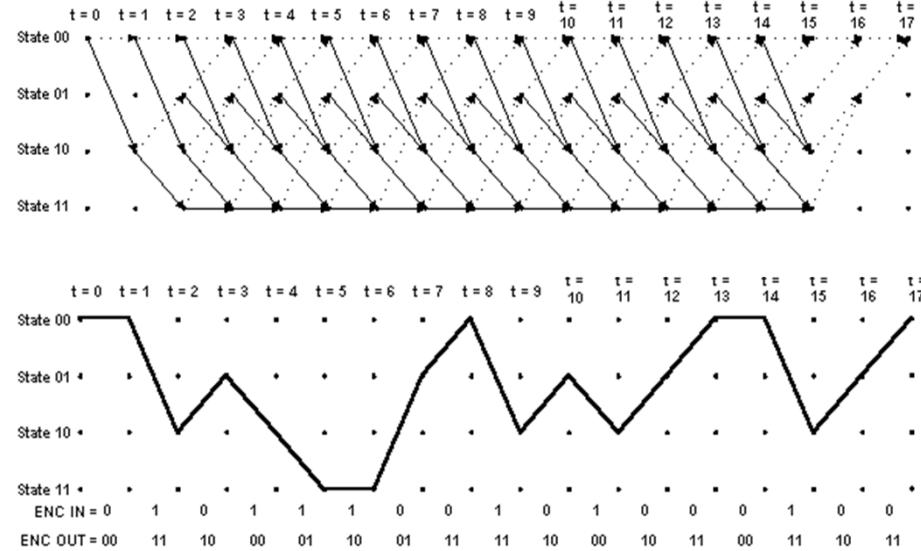


→ Input symbol is 1

.....→ Input symbol is 0

Arcs labeled with output symbols

Trellis



Viterbi Decoding

- **Decoding trellis-coded modulation in modems**
 - Highly parallelizable convolutional decoding algorithm
 - Most common forward error correction (FEC) technique used in space communications ($r = \frac{1}{2}$, $K = 7$)
 - Usually implemented as serial concatenated block and convolutional coding – first Reed-Solomon, then convolutional
- *Turbo codes* are a new parallel-concatenated convolutional coding technique

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OFDM

- **A solution for multi-path ISI channels**
 - Convert high-rate stream into several low-rate streams
 - Parallel streams are modulated onto orthogonal carriers
 - Frequency diversity across symbols
 - Data symbols modulated on these carriers without mutual interference
 - Overlap of the modulated carriers in the frequency domain (unlike FDM)
 - Interleaving and coding to address flat fading carriers
- **Orthogonal Frequency Division Multiplexing (OFDM)**
 - High bit-rate wireless applications
 - Without a high complexity receiver
 - (De)modulation using efficient (I)FFTs
 - OFDM is part of WLAN, DVB, and BWA standards
 - IEEE 802.11a standard
 - 4G wireless standards (LTE)

OFDM Mathematics

$$s(t) = \sum_{k=0}^{N-1} X_k e^{j2\pi f_k t} \quad t \in [0, T_{os}]$$

Orthogonality condition:

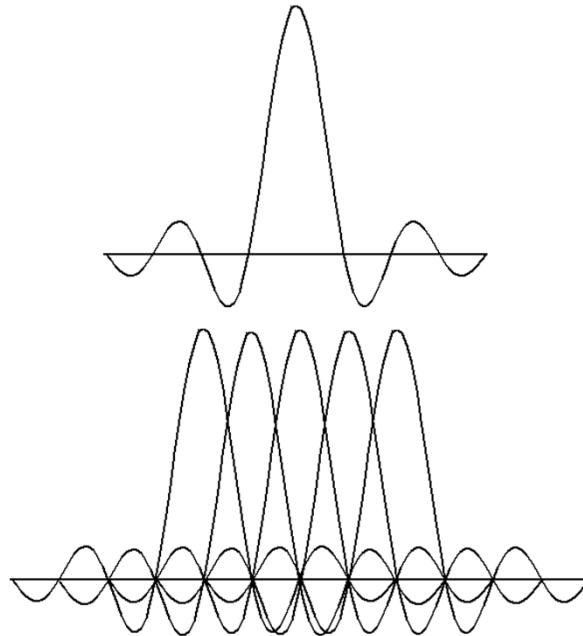
$$\int_0^{T_{os}} g_1(t) \cdot g_2^*(t) dt = 0$$

In our case:

$$\int_0^{T_{os}} e^{j2\pi f_p t} \cdot e^{-j2\pi f_q t} dt = 0$$

For $p \neq q$ where $f_k = k/T_{os}$

Transmitted Spectrum



OFDM Basics

- **Terminology**
 - Orthogonal carriers referred to as subcarriers $\{f_i, i=0, \dots, N-1\}$
 - OFDM symbol period $\{T_{os} = N \times T_s\}$
 - Subcarrier spacing $D_f = 1/T_{os}$
- **Implementation**
 - Take N symbols and use one symbol for each subcarrier
 - Straightforward implementation can be expensive if we use one oscillator for each subcarrier
 - Key observation: consider data as coefficients in the frequency domain, use inverse Fourier transform to generate time-domain sequence

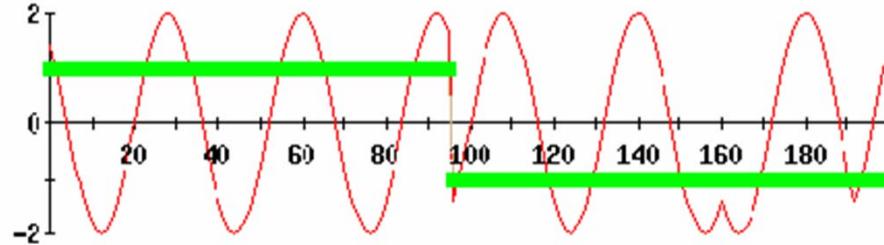
OFDM Example

- 24 bits of data to be transmitted
 - 1 1 -1 -1 1 1 1 -1 1 -1 -1 -1 -1 1 1 -1 -1 -1 1 1
- 4 subcarriers, each modulated using BPSK

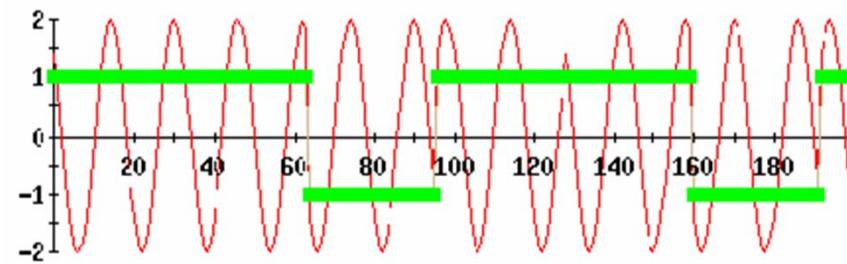
c_1	c_2	c_3	c_4
1	1	-1	-1
1	1	1	-1
1	-1	-1	-1
-1	1	-1	-1
-1	1	1	-1
-1	-1	1	1

OFDM Example (cont'd)

- Sub-carrier 1 and the bits it is modulating (1st column)

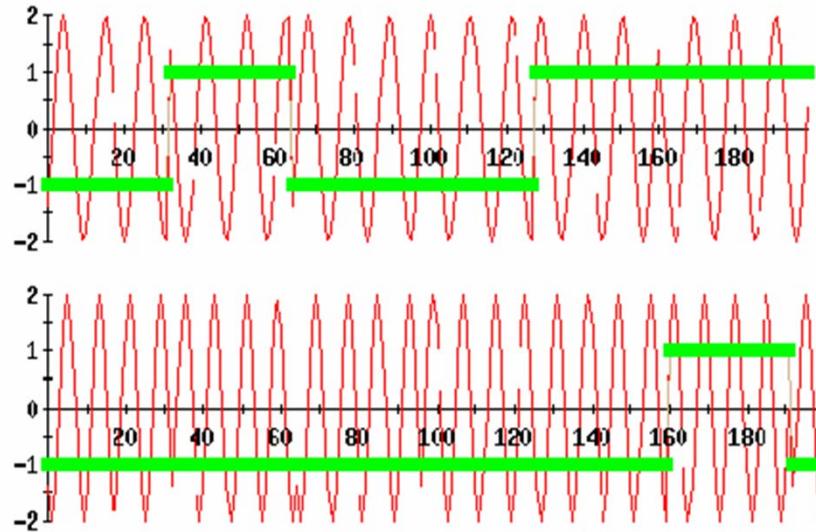


- Sub-carrier 2 and the bits it is modulating (2nd column)

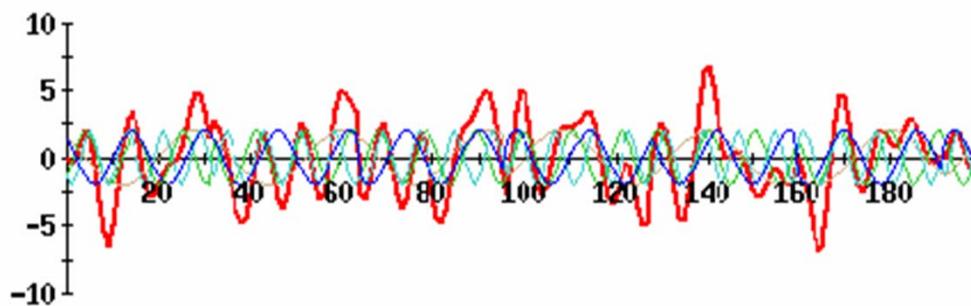


OFDM Example (cont'd)

- Sub-carrier 3 and 4 (3rd and 4th column)



Generated OFDM Signal

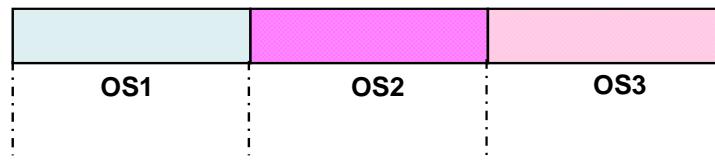


OFDM and FFT

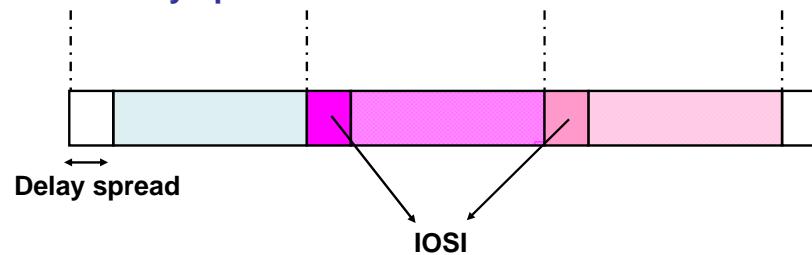
- **Fast Fourier Transform (FFT)**
 - Samples of the multicarrier signal can be obtained using the inverse FFT (IFFT) of the data symbols - a key issue
 - FFT used at the receiver to obtain the data symbols
 - No need for 'N' oscillators, filters, etc.
 - Popularity of OFDM is due to the use of IFFT/FFT which have efficient implementations
- **Interpretation of FFT and IFFT**
 - IFFT at the transmitter & FFT at the receiver
 - Data symbols modulate the spectrum and the time domain symbols are obtained using the IFFT
 - Time domain symbols are then sent on the channel
 - FFT at the receiver to obtain the data

Interference Between OFDM Symbols

- **Transmitted signal**



- **Due to delay spread ISI occurs**



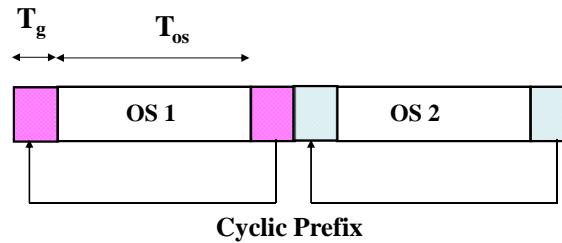
- **Solution could be guard interval between OFDM symbols**

Cyclic Prefix

- **Inter-OFDM symbol interference (IOSI)**
 - Zeros used in the guard time can alleviate interference between OFDM symbols
 - Orthogonality of carriers is lost when multipath channels are involved

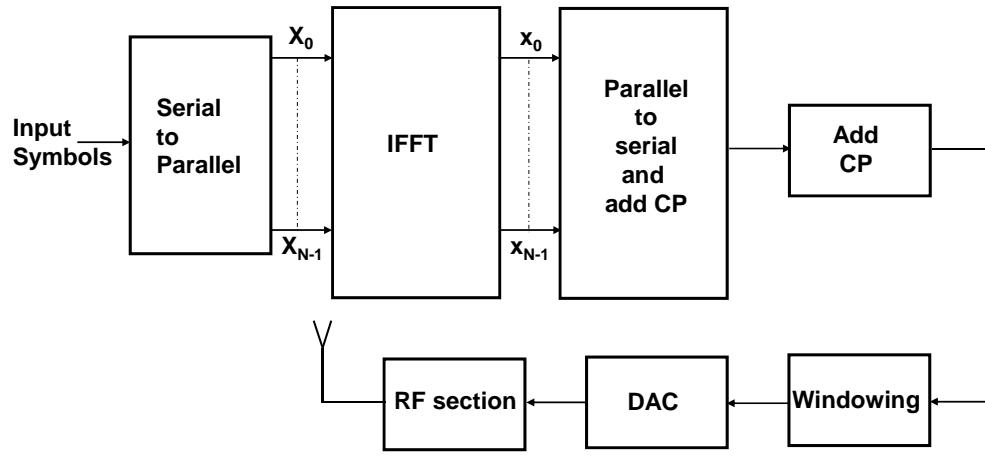
- **Cyclic prefix can restore the orthogonality**
 - Convert a linear convolution channel into a circular convolution channel
 - This restores the orthogonality at the receiver
 - Energy is wasted in the cyclic prefix samples

Cyclic Prefix Illustration



OS_1, OS_2	OFDM Symbols
T_g	Guard Time Interval
T_s	Data Symbol Period
T_{os}	OFDM Symbol Period - $N * T_s$

OFDM Transmitter

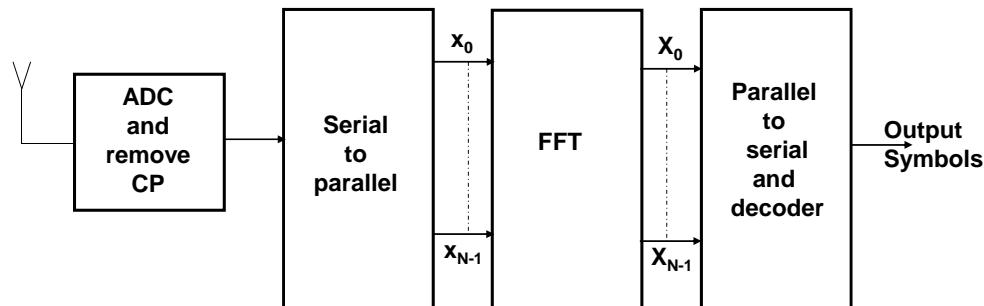


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OFDM Receiver



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Synchronization

- **Timing and frequency offset can influence performance**
 - Inter-symbol interference (ISI)
 - Inter-carrier interference (ICI)
 - **Timing influences demodulation window**
 - Shift of demodulation window leads to ISI
 - **Frequency influences orthogonality of subcarriers**
 - Loss of orthogonality leads to ICI
- **Timing and frequency synchronization**
- Acquisition followed by tracking

References and Sources

- http://www.ert.rwth-aachen.de/Projekte/Theo/OFDM/www_ofdm.html
- <http://www.wave-report.com/tutorials/OFDM.htm>
- <http://www.radio-electronics.com/info/rf-technology-design/ofdm/ofdm-basics-tutorial.php>
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✓ Introduction to OFDM

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- ✓ Coding
- ✓ OFDM basics

• DRM Tutorial

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DRM Motivation

• DRM has a small bandwidth of less than 20 kHz

- Easy to handle with current PC sound cards

• Real-time software implementation possible

- Open-source Dream reference implementation
- Infeasible for embedded, low-power implementations
- Custom SoC design

• OFDM

- High data rate in multipath environments

- Need for synchronization

- Vulnerable to frequency offsets (causes ICI)
- Timing critical if delay spread is in the range of the guard interval (ISI)

DRM OFDM Rates/Modes

Mode	Carrier spacing (Hz)	Number of carriers per bandwidth						OFDM length (ms)	Guard interval (ms)	Symbol length (ms)	Symbols per frame
		4.5 kHz	5 kHz	9 kHz	10 kHz	18 kHz	20 kHz				
A	41 2/3			204	228	412	460	24	2.66 (1/9)	26.66	15
B	46 7/8			182	206	366	410	21.33	5.33 (1/4)	26.66	15
C	68 2/11			-	138	-	280	14.66	5.33 (4/11)	20.00	20
D	107 1/7			-	88	-	178	9.33	7.33 (11/14)	16.66	24

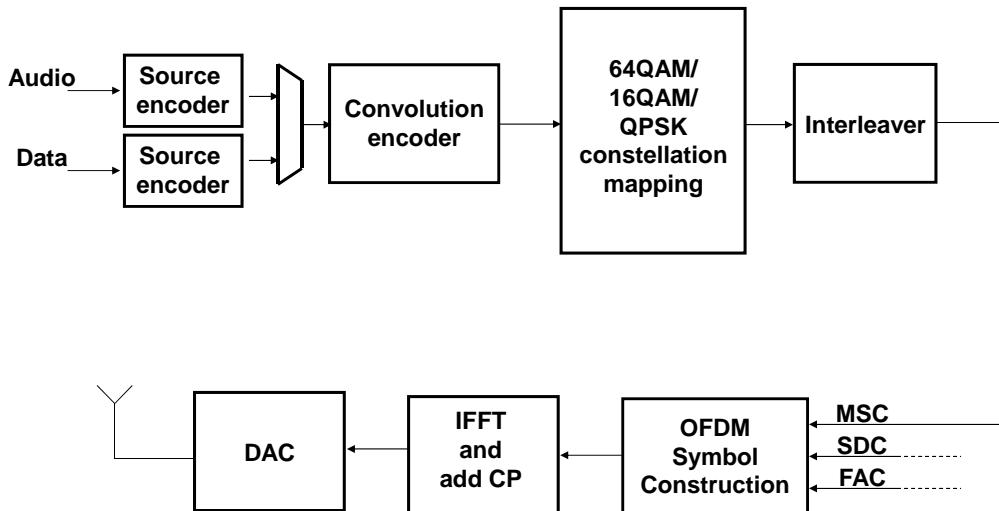
- **Multi-level coding (MLC) scheme**
 - 64-QAM, 16-QAM or 4-QAM (QPSK) modulation
 - 1/2 rate convolution encoder with size K=7
 - Puncturing for variable number of bits within an OFDM symbol
 - Interleaving of cells in OFDM symbols, frames, superframes
 - Main service channel (MSC) with 4 audio or data streams
 - Fast access and service description channels (FAC, SDC)
- **MPEG4 AAC (w/ SBR), CELP or HVCX audio source coding**

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DRM Transmitter

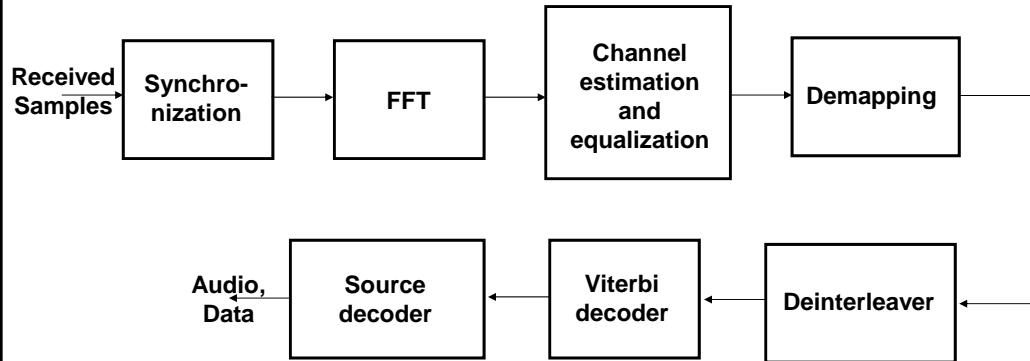


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DRM Receiver

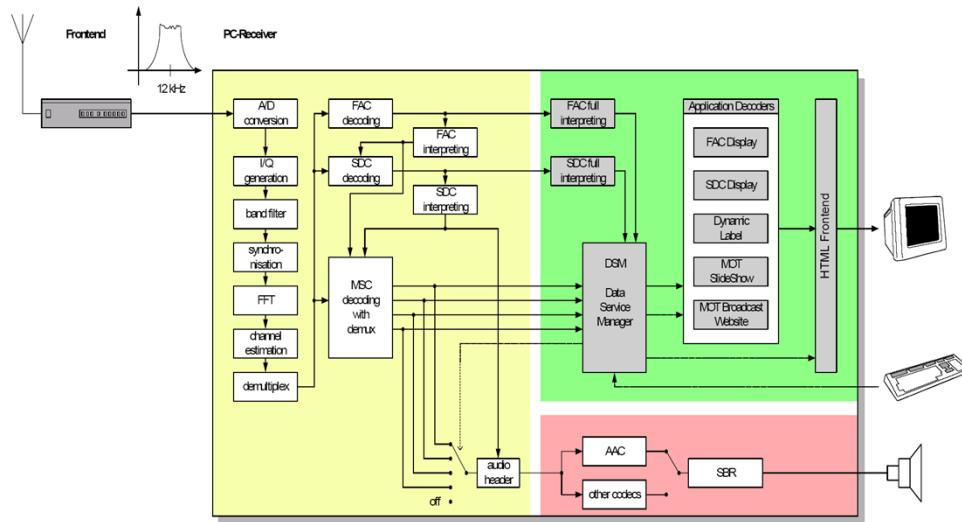


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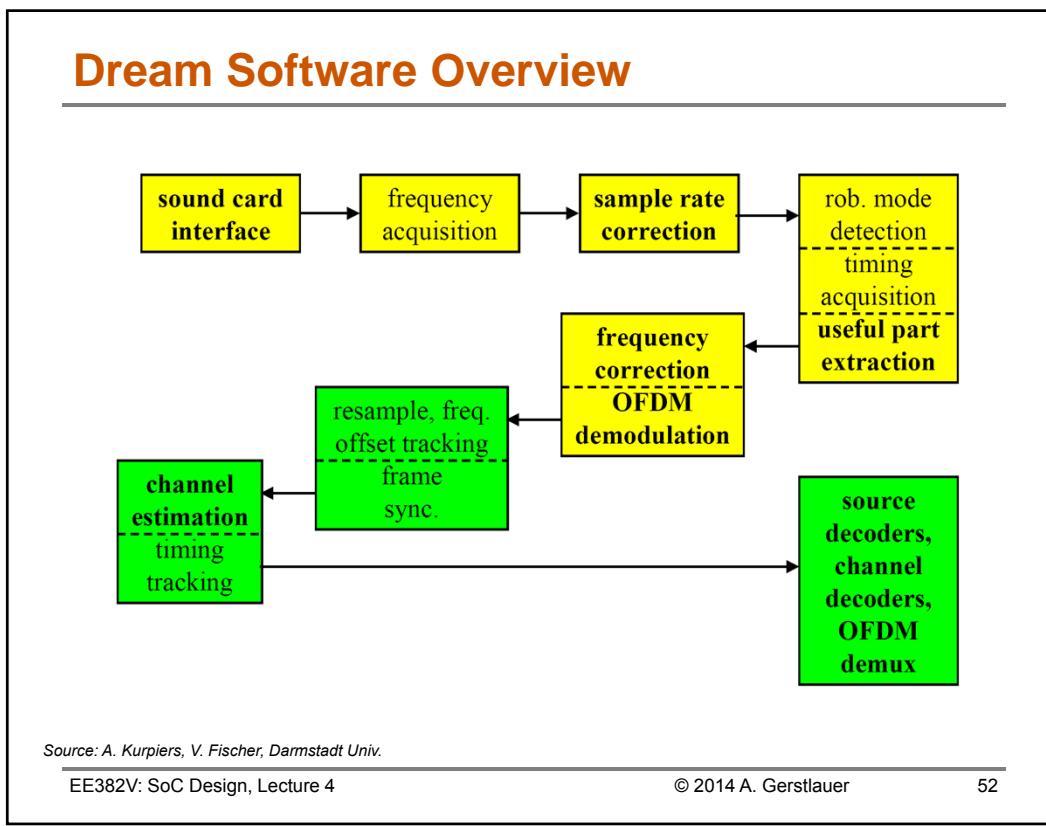
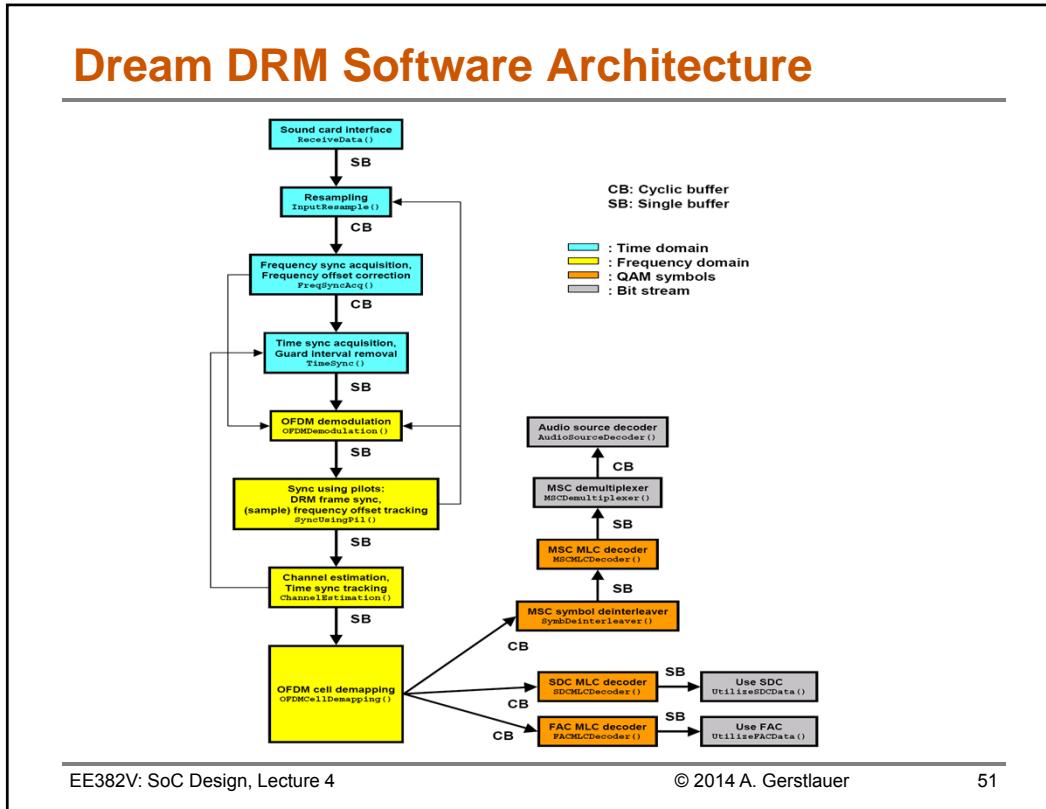
PC-Based DRM Architecture



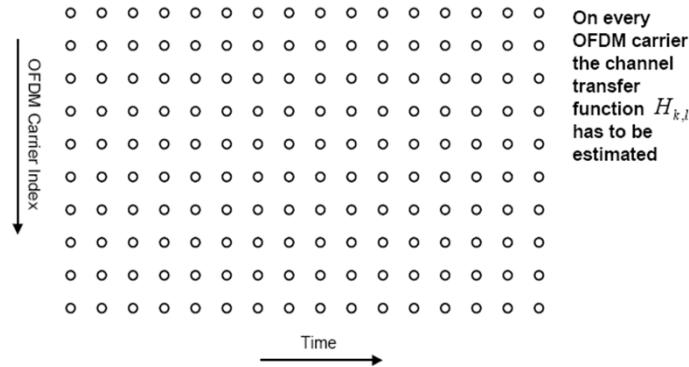
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Channel Estimation



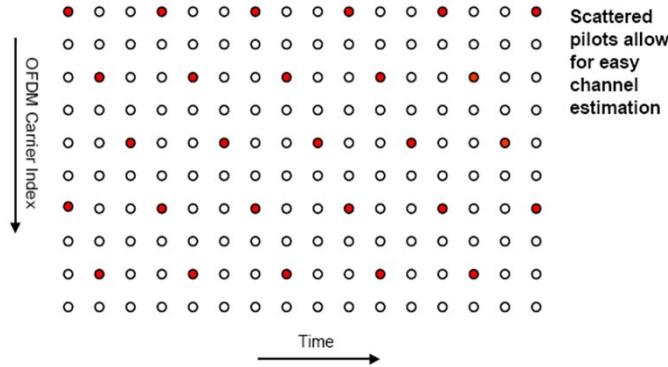
Source: A. Kurpiers, V. Fischer, Darmstadt Univ.

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Channel Estimation



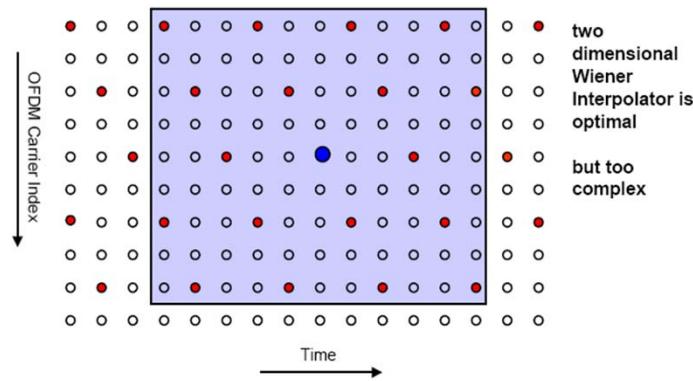
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Channel Estimation



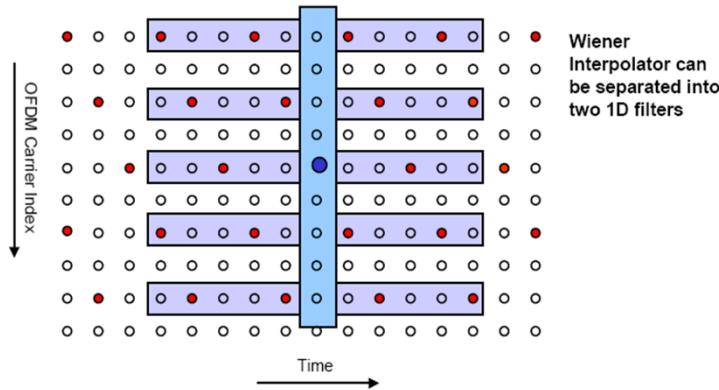
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Channel Estimation



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Channel Estimation: Wiener Interpolation

- **MMSE solution:** $\hat{\mathbf{h}} = \mathbf{R}_{\hat{\mathbf{h}}\hat{\mathbf{p}}} \mathbf{R}_{\hat{\mathbf{p}}\hat{\mathbf{p}}}^{-1} \hat{\mathbf{p}}$ $\mathbf{R}_{\hat{\mathbf{p}}\hat{\mathbf{p}}} = \mathbf{R}_{\mathbf{p}\mathbf{p}} + \frac{1}{\text{SNR}} \mathbf{I}$

$\mathbf{R}_{\hat{\mathbf{h}}\hat{\mathbf{p}}}$: Cross-covariance matrix between \mathbf{h} and the noisy pilot estimates $\hat{\mathbf{p}}$

$\mathbf{R}_{\hat{\mathbf{p}}\hat{\mathbf{p}}}$: Auto-covariance matrix of the pilot estimates

- **Doppler profile of a typical shortwave channel:** $|H(f)| = \frac{1}{\sqrt{2\pi\sigma_d^2}} e^{-\frac{f^2}{2\sigma_d^2}}$

Resulting correlation function: $r_{f_d}(\Delta k) = e^{-2(\sigma_d \pi NT\Delta k)^2}$

- Assuming uniform delay power spectrum with the length of the guard-interval:

$$r_\tau(\Delta l) = \text{sinc}\left(\Delta l \frac{N_G}{N}\right)$$

N_G : Length of guard-interval
 N : Length of useful part

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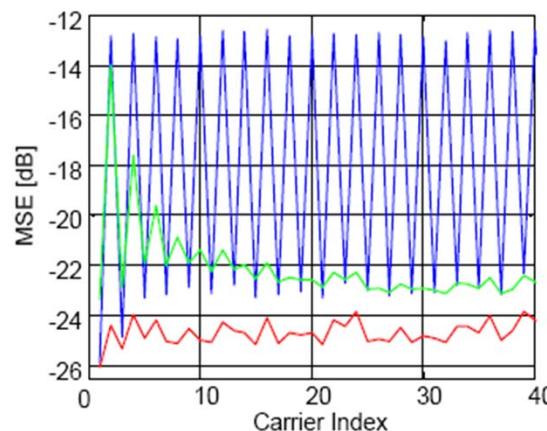
Channel Estimation

The following parameters were used in this simulation:

Robustness mode B, 10 kHz bandwidth, 20 dB SNR, channel No. 3 (US Consortium)

The mean squared error (MSE) between the estimated channel and an ideal channel estimation is plotted.

- **Blue line:** Linear interpolation
- **Green line:** Windowed DFT algorithm
- **Red line:** Wiener interpolation (using all pilot carriers for each interpolated cell)



Source: A. Kurpiers, V. Fischer, Darmstadt Univ.

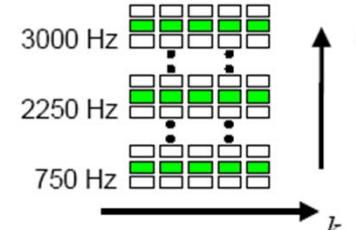
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Frequency Acquisition (1)

- Exploiting the power difference of the three frequency pilot cells and data cells
 - **Pilot cells:** boosted, continuous tones
 - **Data cells:** power spread due to modulation
- FFT- based algorithm
 - Squared norm of FFT calculated over more than one symbol (Estimation of PSD)
 - Correlation with known frequency pilot positions
- **Effects of a large FFT window:**
 - Statistical properties of data cells more distinct, peak detection improved
 - BUT fading channel effects reduce performance



Source: A. Kurpiers, V. Fischer, Darmstadt Univ.

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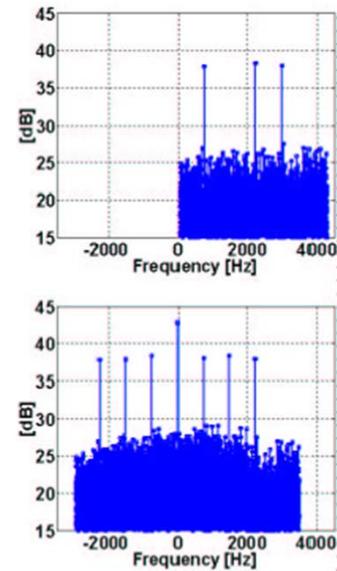
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Frequency Acquisition (2)

- Estimation of PSD

$$R_{m,l} = \left| \sum_{n=0}^{N_{sc}-1} r_{n+l} e^{-j \frac{2\pi}{N_{sc}} nm} \right|^2$$
- Correlation with pilot positions

$$\hat{f}_{acq} = \frac{f_s}{N_{ac}} \max_m \left\{ \sum_{i=0}^2 R_{m+p_{f_{ac}}(i),l} \right\}$$
 - Placement of FFT window arbitrary
 - No prior timing information needed
 - Average error rate < 10% for all channels and robustness modes



Source: A. Kurpiers, V. Fischer, Darmstadt Univ.

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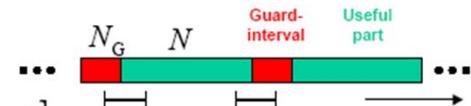
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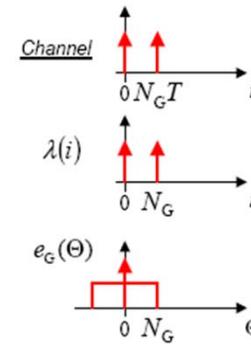
Time Acquisition (1)

- Guard-interval correlation

$$\lambda(i) = \sum_{n=i}^{i+N_G-1} \left[|r_n r_{n+N}^*| - \left(|r_n|^2 + |r_{n+N}|^2 \right) \right]$$



Example (idealised):



- Using energy in guard-interval
 - For multipath fading channel

$$e_G(\Theta) = \sum_{m=\Theta}^{\Theta+N_G-1} \lambda(m)$$

- $\arg \max \{e_G(\Theta)\}$ is the resulting estimated timing position

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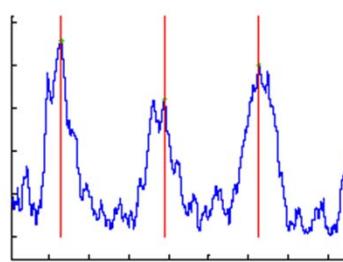
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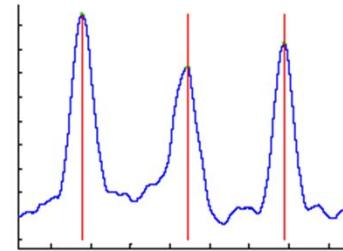
Time Acquisition (2)

Influence of Guard Energy Consideration on a two path fading channel:

Only correlation



Correlation with guard energy consideration



Robustness modes can be detected by using time difference between peaks (period equals useful part duration NT)

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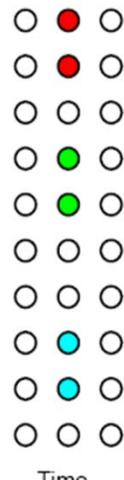
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Frame Synchronization

Time pilot pairs



OFDM Carrier Index

Time →

Assumption: channel is identical at adjacent pilot positions:

$$H_{k,p_t(i)} \approx H_{k,p_t(i)+1}$$

With this „channel estimate“ we can calculate the squared distance between received and pilot cells:

$$\gamma(k) = \sum_{i=0}^{L_T-1} \left| z_{k,p_t(i)} \frac{c_{k,p_t(i)+1}}{c_{k,p_t(i)}} e^{-j \frac{2\pi N_G}{N^2}} - z_{k,p_t(i)+1} \right|^2$$

This yields a minimum at the beginning of the frame

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Frequency Tracking

- Frequency offset estimation based on phase increment between two successive symbols at the frequency pilot carriers

- Frequency offset causes phase shift

$$\hat{\Omega} T_s = \arg \left\{ \sum_{j=0}^2 z_{l+1,p_f(j)} (\hat{f}_{\text{acq}}) z_{l,p_f(j)}^* (\hat{f}_{\text{acq}}) \right\}$$

$z_{l,k}$: Output of the FFT unit for the l -th symbol and the k -th sub-carrier

T_s : Duration of one symbol

$p_f(j)$: Positions of frequency pilots

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Time Tracking

- Using averaged IFFT-transformation of windowed channel estimation ($\hat{H}_{k,l}$) for estimation of channel impulse response

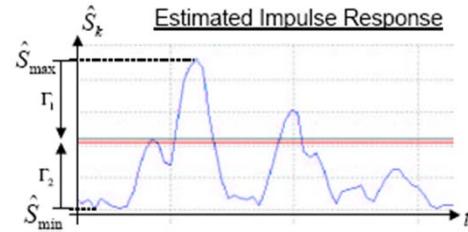
$$\hat{S}_m(k) = \frac{1}{N_{\text{TiTr}}} \sum_{i=0}^{N_{\text{TiTr}}-1} \left| \text{IFFT}\left\{ \hat{H}_{k-i,l} \right\} \right|^2$$

- Afterwards using peak detection for first path estimation

$$-\hat{e} = \frac{1}{2} \min \left\{ m \mid \hat{S}_m(k) > \Gamma, \text{ and } \hat{S}_m(k) > \hat{S}_{m+1}(k) \right\}$$

- Definition of the bound Γ

$$\Gamma = \max \left\{ \hat{S}_{\max} \times 10^{-\frac{\Gamma_1}{10}}, \hat{S}_{\min} \times 10^{-\frac{\Gamma_2}{10}} \right\}$$



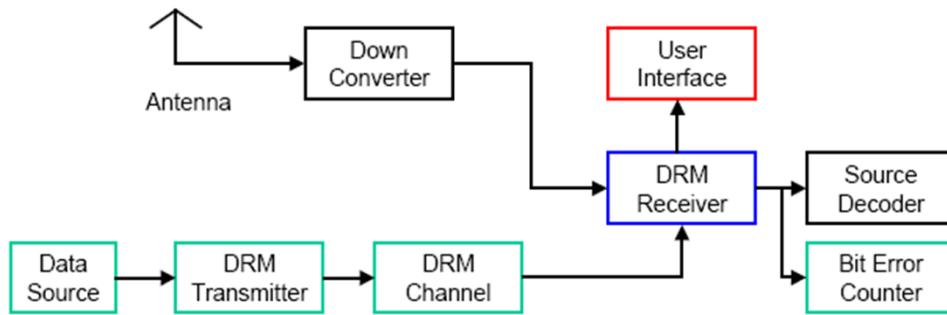
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Dream Software Modules



Software can be used:

- together with Down Converter and Source Decoder to receive real-time DRM radio broadcast
 - Source coding currently limited to plain MPEG4 AAC (no SBR, no CELP/HVXC)
- for BER or Channel Estimation Simulations with build in Data Source, Transmitter and Channel Simulator

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Summary

- **DRM Receiver operates close to the possible limits**
 - Try to close the gap between ideal channel estimation and realization
 - ICI compensation
 - Decision directed channel estimation
 - Noise cancellation for narrow-band interference
- **Software runs real-time on a 700 MHz Pentium PC**
 - Improve to allow „background“ reception
 - Use SIMD instructions to speed up (MMX, SSE etc.)
 - Improve „pipelining“ of the algorithms to make acquisition phase shorter
- **Source Decoder (faad2) needs additional features (SBR, CELP, HVXC)**