Contextual Reusability Metrics for Event-Based Architectures

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Abstract

Component Based Software Engineering has been perceived to have immense reuse potential. This area has evoked wide interest and has led to considerable investment in research and development effort. Most of these investigations have explored internal characteristics of software components such as correctness, reliability, maintainability, modularity, understandability, readability, interoperability, portability, generality and genericity for promoting reuse. But experience over the past decade has demonstrated that the usefulness of a component depends as much on the context into which it fits as it does on the internal characteristics of the component. This context takes into account the requirements of the domain and an architectural description is a useful way of representing that domain. In this paper, we present a set of reusability metrics designed to measure how well a software component fits into such an architectural context.

1. Introduction

Almost a decade and a half of architectural research, beginning with the Perry and Wolf paper [1], has resulted in significant progress in the area of Software Architecture, but it is evident that software engineering is still far from the maturity of other traditional engineering disciplines. Software Architecture was envisioned to be the agent that would catalyze the transformation of software engineering into a well understood discipline by driving standardization, developing architecture templates for well-understood domains and enabling systematic reuse of architectural components. That clearly has not happened. However, progress in the areas of Model Driven Architectures, Product Line Architectures, Architecture Description Languages and Architectural Styles form a strong basis and motivation for reuse.

Software Reuse research has seen significant activity over the years. To quantify the benefits of reuse and for supporting objective decision making, reuse metrics have long been a subject of interest. It has been widely felt that, in some sense, researchers have fully explored most of the traditional methods of measuring reusability: complexity, module size, interface characteristics, etc. Though the research community does currently recognize the importance of the problem domain with regard to reuse, few have actually linked the context in which a component is used to the true "usefulness" of that component. We believe reuse research will benefit greatly by focusing on the framework in which a software component fits. So, if the reusability of a component depends on context, then reusability metrics need to include characteristics about the domain, the software architecture, and the associated environment.

This paper discusses the use of software architecture descriptions as the 'context' of a software component. Our contextual metrics enable quantitative evaluation of the reusability of a software component based on its compliance to different elements of an architecture description. Reuse evaluations are also promoted by using these metrics to quantitatively evaluate the similarity between different components, measure a component's coverage of functionality encoded in the architectural description and numerically track the evolution of a component in terms of system data and functionality.

Section 2 of this paper gives a brief outline of related work done in the area of reusability measurement. Section 3 discusses the assumptions about the architectural 'context'. The proposed metrics are elaborated in Section 4. Section 5 briefly explains the use of these metrics and Section 6 concludes the paper.

2. Reuse metric approaches

State of the art approaches for measuring reusability fall into two basic categories: empirical and qualitative. Empirical methods depend on objective data and can normally be calculated automatically and inexpensively [2] while the qualitative methods generally rely on subjective assessment of the software's adherence to some guidelines or standards [2]. We draw from Jeff Poulin's book [3] to navigate these spaces of reusability measurement.

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Empirical Methods: One of the most prominent approaches in this area is that by Prieto-Diaz and Freeman. They identified several program attributes - program size, program structure, program documentation, and reuse experience and proposed a faceted classification scheme for evaluating reusability based on these attributes [4]. Selby, on the other hand, proposed a module oriented, statistical study of reusability characteristics of software [5]. The ESPIRIT-2 project called REBOOT (Reuse Based on Object Oriented Techniques) developed a taxonomy of reusability attributes with four reusability factors [6]. Caldiera and Basili [7] proposed a module oriented empirical approach in which they stated that basic reusability attributes depend on component costs, quality, and usefulness. Using ideas drawn from plagiarism detection, Hislop proposed a module-oriented approach for evaluating components in terms of function, form and similarity [8]. Boetticher and Eichmann [9] explored the viability of using neural networks to generate reusability rankings of software. Torres and Samadzadeh established a relationship between information theory metrics and reusability metrics and concluded that reuse information metrics might help in selecting the optimum case among different reuse candidates [10].

Qualitative Methods: Since defining an objective reusability metric often proves difficult, many organizations provide subjective (non-empirical) guidance on identifying and building reusable software components. Some of the prominent approaches in this area include Edwards [11], Hooper and Chester [12], Hollingsworth [13] and NATO [14]. These guidelines generally involve an intuitive description of what a reusable component ought to look like and range in content from general discussions about designing for reuse to rigorous design points [13, 15]. Usually module oriented, the guidelines often elaborate on formatting and style requirements and identifies general "reusability" attributes. Notable among the studies on "reusability" attributes is the work of Khairuddin and Key, who have examined these attributes to construct a reusability model [16]. Another notable approach, the "3C Model," [17] attempts to isolate the three design point specific dependencies of concept, content and context from each other during the implementation and design of a module.

Summary: With the exception of the 3C Model, none of the approaches mentioned above include any software architecture or domain characteristics. They typically explore a component's internal characteristics, which do not take into account the context (the requirements and architectural structure) in which the component operates.

The set of metrics presented here quantitatively evaluates a software component with respect to (1) compliance/adherence to those functional and data requirements captured in the architectural description, (2) compliance/adherence to the architecture structure, (3) the architecture compliance and coverage of the domain architectural descriptions and (4) the evolution of compliance and coverage over different component versions. These quantitative, contextual evaluations position this research as fundamentally different from previous work done in this area.

3. Context assumptions

The *context* of a software component is encoded in some form of system description. In 1980, Perry and Habermann [18] proposed a system description language and identified the rules for well-formed system compositions in terms of required and provided elements in configuration compositions. These compositions defined the context for evaluating the substitutability (or reuse) of one component for another. Since then we have seen the advent of architecture description languages (ADLs) to define basic system structures and establish constraints on those structures, their individual components and component interactions.

In this research we use architecture descriptions to define the *context* for use and reuse. Further, we make the following basic assumptions about these *context* descriptions in terms of their descriptive elements and format. The description of each component in a architecture description consists of at least the following

- Interface descriptions of the services that include associated input and output descriptions of the data and events, and the pre & post conditions;
- Attributes descriptions; and
- Behavioral descriptions.

Interface descriptions of services are universally standard in almost all architecture description languages. Pre and post conditions have been used in several formal approaches to architectural description e.g. Inscape [19]. Event based behavioral descriptions have gained in popularity with Luckham's Rapide [20].

With the above basis for the context, this research can be extended to model driven architectures, product line architectures, reference architectures and different expressions of architectural styles with less complete descriptions.

On the assumption that we have an asset base from which we choose components to use in the architecture description to instantiate that architecture, we propose the model in Figure 1 for asset component specification to capture the necessary information to be used in the

contextual metrics. We note that it consists of the same information we assume to be present in the architecture description

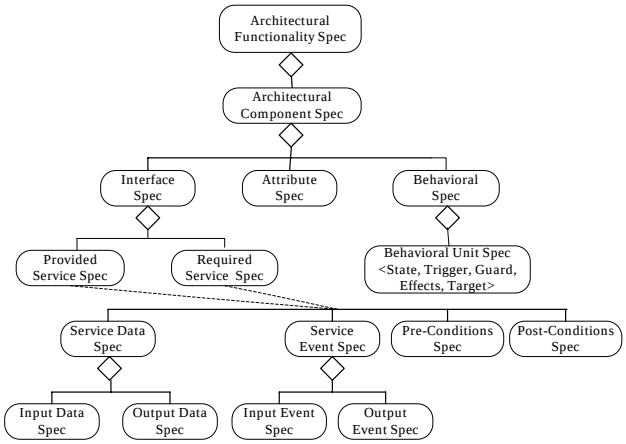

Figure 1: Asset Component Specification

Creating the asset base then requires a specification activity, referred to here as *Registration*, to establish the necessary information (or mappings) needed to measure the usability of a component in a particular context.
The following steps detail the Registration process:
Step 1: Select an architectural component from the architectural specification
Step 2a: For the services provided by the architectural component, capture the services *supported* by the component being *registered* in the Provided Service Specification.
For each service:

- Capture the Input and Output Data & Events supported by the component for the service in the Data and Event Specification
- Capture the Pre and Post Conditions supported by the component for the provided service in the Pre and Post Condition Specifications

Step 2b: Capture the services *required* by the component in the Required Services Specification by following the steps similar to Step 2a
Step 3: For the Attributes in the architectural component, capture the attributes supported by the component in the Attribute Specification
Step 4: For the Behavioral Units of the architectural component, capture the behavioral units supported by component in the Behavioral Unit Specification in the form of quintuples (State, Trigger, Guard, Effects and Target). A component may be registered to a subset of the quintuples for each state transition.
Step 5: Repeat Steps 1-4 for all architectural components in the architectural specification.

4. Proposed metrics

In order for a software component to be reusable, its engineering characteristics need to be compatible with the envisioned target system in terms of its functional requirements. Though it may be possible to institute some well-defined approaches to engineer components that ensure reusability, there is still considerable amount of debate on this issue [21]. The set of metrics presented in this research, support a quantitative and objective evaluation of software components with respect to the context of the architecture description --- (i) the domain (functional and data) requirements contained in the architecture description and (ii) the architectural components.

The metrics are categorized into Architecture Compliance Metrics and Component Characteristic Metrics.

4.1 Architecture compliance metrics

The Architecture Compliance Metrics measure the compliance of a software component to the constituent elements of the architecture description at different levels of granularity. The key metric is the *Architectural Component Compliance Coefficient* which measures the compliance of an asset component to a particular component in the architecture description, taking into account the (i) interfaces supported and required (ii) the data owned and (iii) behavior. For computing this metric, three other metrics are relevant – the *Architectural Component Service Compliance Coefficient*, the *Architectural Component Attribute Compliance Coefficient* and the *Architectural Component Behavior Compliance Coefficient*. This intuitively is analogous to our representation, thus providing objective measures for the three key aspects of any software component – the interfaces, the data, and the behavior. These compliance coefficients can be used to compare different software components for identifying a "best-fit" candidate when designing a system and attempting to reuse previously developed components. The metrics are discussed below.

4.1.1 Architectural Component Service Compliance Coefficient. The Architectural Component Service Compliance Coefficient, *ArchSvCoeff(d)*, is a measure of an asset component's compliance to all the provided as well as the required services of a particular architectural component. It is computed as the average of the asset component's compliance to each of the required architectural services

Service level compliance indicates the extent to which an asset component is compliant with a given

functional requirement (service) defined in the architecture description. Six coefficients - the Input Data Compliance Coefficient, the Output Data Compliance Coefficient, the Input Event Compliance Coefficient, the Output Event Compliance Coefficient, the Pre-Conditions Compliance Coefficient and the Post-Conditions Compliance Coefficient are used to calculate service level compliance. Therefore for each service, *s*, in an architectural component, the following coefficients are defined:

Input and Output Data Compliance Coefficient: The Input/Output Data Compliance Coefficients, *IDCoeff(s)/ ODCoeff(s),* are measures of how well an asset component registered to a given service s, in the architectural component, complies with the input /output data requirements for that service. These coefficients are essentially the average of the ratios between the number of data elements to which the component is registered to the total number of data elements associated with a particular data entity, for all the input/output data entities. A data entity can be thought of as a data concept like 'Address', while data elements are the sub-elements of that data concept like Street Address, City, State, Zip for our example. Thus

$$\mathrm{IDCoeff(s)} = \frac{1}{|\mathrm{IDEn(s)}|} \sum_{\mathrm{en} \in \mathrm{IDEn(s)}} \frac{|\mathrm{IDEl_{regd}(s, en)}|}{|\mathrm{IDEl(s, en)}|} \quad (1)$$

$$\mathrm{ODCoeff(s)} = \frac{1}{|\mathrm{ODEn(s)}|} \sum_{\mathrm{en} \in \mathrm{ODEn(s)}} \frac{|\mathrm{ODEl_{regd}(s, en)}|}{|\mathrm{ODEl(s, en)}|} \quad (2)$$

Where

IDEn(s)/ ODEn(s): Set of Input/Output Data Entities for service *s*.

$IDEl_{regd}(s, en)/ ODEl_{regd}(s, en)$: Set of Input/Output Data Elements for the entity *en* of service *s*, to which the component is registered.

IDEl(s, en)/ODEl(s, en): Set of Input/Output Data Elements for the entity *en* of service *s*.

en: An entity belonging to the set *IDEn(s)/ODEn(s)*

Note that the above coefficients take into account the existence (or non-existence) of entities as well as the elements associated with these entities. A missing entity would affect the numerical values of these coefficients much more than a missing element.

Input and Output Event Compliance Coefficient: The Input/Output Event Compliance Coefficient, *IECoeff(s)/OECoeff(s),* measures an asset component's compliance to the input/output event requirements of a component service, s, in the architectural description. *IECoeff(s)/ OECoeff(s),* are the ratios between the total number of input/output events to which a component is registered to the total number of input/output events for service s.

$$\mathrm{IECoeff(s)} = \frac{|\mathrm{IE_{regd}(s)}|}{|\mathrm{IE(s)}|} \quad (3)$$

$$\mathrm{OECoeff(s)} = \frac{|\mathrm{OE_{regd}(s)}|}{|\mathrm{OE(s)}|} \quad (4)$$

Where

$IE_{regd}(s) / OE_{regd}(s)$: Set of Input/Output Events for service *s*, to which component is registered.

$IE(s) / OE(s)$: Set of Input/Output Events for service *s*.

Pre and Post Condition Compliance Coefficient: The Pre/Post Condition Compliance Coefficient, *PreCondCoeff(s)/PostCondCoeff(s),* measures an asset component's compliance to the pre and post condition requirements of a component service, s, in the architectural description. *PreCondCoeff(s)/ PostCondCoeff(s)* are the ratio between the total number of pre/post conditions to which a component is registered to the total number of pre/post conditions for service s.

$$\mathrm{PreCondCoeff(s)} = \frac{|\mathrm{PreCond_{regd}(s)}|}{|\mathrm{PreCond(s)}|} \quad (5)$$

$$\mathrm{PostCondCoeff(s)} = \frac{|\mathrm{PostCond_{regd}(s)}|}{|\mathrm{PostCond(s)}|} \quad (6)$$

Where

$PreCond_{regd}(s)/PostCond_{regd}(s)$:Set of Pre/Post Conditions for service *s*, to which component is registered.

PreCond(s)/PostCond(s): Set of Pre/Post Conditions for service *s*.

Using the above six coefficients, for a service s, we obtain a value for the compliance of an asset component to the service, s.

*Service Compliance Coefficient:*The Service Compliance Coefficient, SvCoeff(s) measures an asset component's overall compliance to the architecture component's service, taking into account its compliance to input and output data (*IDCoeff* & *ODCoeff*), input and output events (*IECoeff* & *OECoeff*) and pre and post conditions (*PreCondCoeff* and *PostCondCoeff*). The Service Compliance Coefficient also takes into account the relative importance of the particular service in the architecture by considering the number of other services that directly affects or is affected by the service under consideration. SvCoeff(s) is essentially the weighted average of the Input and Output Data Compliance Coefficient, the Input and Output Event Compliance coefficient and the Pre and Post Condition Compliance Coefficient.

$$\text{SvCoeff(s)} = \frac{\begin{array}{l}[\text{IDDep(s)} \times \text{IDCoeff(s)} + \text{ODDep(s)} \times \text{ODCoeff(s)} \\ + \text{IEDep(s)} \times \text{IECoeff(s)} + \text{OEDep(s)} \times \text{OECoeff(s)} \\ + \text{PreCondDep(s)} \times \text{PreCondCoeff(s)} \\ + \text{PostCondDep(s)} \times \text{PostCondCoeff(s)}]\end{array}}{\begin{array}{l}\text{IDDep(s)} + \text{ODDep(s)} + \text{IEDep(s)} + \text{OEDep(s)} \\ + \text{PreCondDep(s)} + \text{PostCondDep(s)}\end{array}} \quad (7)$$

Where

IDDep(s)/ ODDep(s): Total number of services generating /consuming the input/output data entities required by service *s*.

IEDep(s)/OEDep(s): Total number of services that generate/depend on trigger events of /from the service s.

PreCondDep(s)/PostCondDep(s): Total number of services responsible for the set of pre/post conditions

The calculation of the service compliance metrics takes into account not only the associated data, events and pre/post conditions, it also factors in the input and output dependencies, thereby intuitively covering all the interface characteristics that are relevant to the given service.

The Service Compliance Coefficient is calculated for each service that is *provided* or *required* by the component being registered. Finally, we compute the Architectural Component Service Compliance Coefficient i.e. the service compliance for all services in the architectural component.

Architectural Component Service Compliance Coefficient: The Architectural Component Service Compliance Coefficient, *ArchSvCoeff(d)*, is a measure of an asset component's compliance to the services (both provided and required) of a particular architectural component. It is the average of the Service Compliance Coefficient of all the services associated with a particular architectural component.

$$\text{ArchSvCoeff(d)} = \frac{\sum_{s \in \text{DRACSv(d)}} \text{SvCoeff(s)}}{|\text{ArchSv(d)}|} \quad (8)$$

Where,

ArchSv(d): Set of services (provided and required) for architectural component *d*.

Of course we can calculate separate coefficients for *provided* and *required* service by setting ArchSv(d) to the set of provided services or required services only.

4.1.2 Architectural Component Attribute Compliance Coefficient. The Architectural Component Attribute Compliance Coefficient, ArchAttrCoeff(d), is a measure of an asset component's compliance to all the data attributes of a particular architecture component. It is essentially the average of the components compliance to each of the attributes that it is registered to. ArchAttrCoeff(d) is measured in terms of the Data Attribute Compliance Coefficient or AttrCoeff(a). AttrCoeff(a) measures the extent to which an asset component is compliant with component data as specified in the architecture description. For each Data Attribute *a* in a architecture component, AttrCoeff(a) is calculated as:

$$\text{AttrCoeff(a)} = \frac{|\text{Attr}_{\text{regd}}(a)|}{|\text{Attr}(a)|} \quad (9)$$

Where

$Attr_{regd}(a)$: Set of elements in attribute *a* to which the component is registered.

$Attr(a)$: Set of all the elements of Attribute *a*.

Finally we calculate, the ArchAttrCoeff(d) which is the average of the Data Attribute Compliance Coefficient of all the attributes associated with a particular architectural component.

$$\text{ArchAttrCoeff(d)} = \frac{\sum_{a \in \text{ArchAttr(d)}} \text{AttrCoeff(a)}}{|\text{ArchAttr(d)}|} \quad (10)$$

Where

ArchAttr(d): Set of Attributes in arch. component d

4.1.3 Architectural Component Behaviour Compliance Coefficient. The Architectural Component Behavior Compliance Coefficient measures the degree of compliance of an asset component to the behavior of an architectural component captured in the architecture descriptions. It is measured in terms of the Behavioral Unit Coefficient *BehavUnitCoeff(bu)*, where *BehavUnitCoeff(bu)* is computed as below

$$\text{BehavUnitCoeff(bu)} = \frac{|\text{BehavUnitEl}_{\text{regd}}(\text{bu})|}{|\text{BehavUnitEl(bu)}|} \quad (11)$$

Where

BehavUnitEl$_{regd}$(bu): Set of behavioral unit elements the component is registered to.

BehavUnitEl(bu): Set of elements in a particular behavioral unit, where an element is one of the quintuples – State, Trigger, Guard, Effects and Target.

With the above, we calculate the Architecture Component Behavior Compliance Coefficient

$$\text{ArchBehavCoeff(d)} = \frac{\sum_{\text{bu} \in \text{ArchBehavUnit(d)}} \text{BehavUnitCoeff(bu)}}{|\text{ArchBehavUnit(d)}|} \quad (12)$$

ArchBehavUnit(d): Set of Behavioral Units of architectural component *d*.

4.1.4 Architectural Component Compliance Coefficient. Now using the Service Compliance Metric evaluated for each service, the Data Attribute Compliance Coefficient is evaluated for each attribute and the Behavioral Compliance Coefficient, the notion of a component's overall compliance to a architectural

component can be calculated. The Architectural Component Compliance Coefficient, *ArchCoeff(d),* measures the asset component's overall compliance to an architectural component.

$$\text{ArchCoeff(d)} = \frac{\begin{array}{l}|\text{ArchSv(d)}|\times\text{ArchSvCoeff(d)}+\\ |\text{ArchAttr(d)}|\times\text{ArchAttrCoeff(d)}+\\ |\text{ArchBehavUnit(d)}|\times\\ \text{ArchBehavCoeff(d)}|\end{array}}{\begin{array}{l}|\text{AcchSv(d)}|+|\text{ArchAttr(d)}|+\\ |\text{ArchBehavUnit(d)}|\end{array}} \quad (13)$$

As mentioned previously, these coefficients measure an asset component's compliance to various elements of the architectural description. Therefore, if component A has a *SvCoeff* of 0.5 for a certain service in the architectural description and component B has a *SvCoeff* of 0.75 for the same service, it can be inferred that asset component B is more compliant to the architecture component and should be preferred over asset component A for that particular service implementation. On the same lines, if the goal is to implement a particular architectural component as a whole complying with the specified boundaries of functionality and data set forth by that component, then a component with a higher *ArchCoeff (d)* should be preferred.

4.2 Component Characteristic Metrics

While the Architecture Compliance Metrics calculated the compliance of an asset component to the various elements of the architecture description individually, these metrics did not capture the characteristics of a particular component as a whole. The Component Characteristic metrics address this aspect. These metrics evaluate characteristics of an asset component with respect to component functionality, component data and the component as a whole. The Component Characteristic metrics measure the compliance of an asset component with respect to the component data (characterized by all the *attributes* in the architectural description) and component functionality (characterized by all the *services* in the architectural description).

The Component Characteristic Metrics are of two types (i) *The Proximity Metrics and (ii) The Compliance Metrics.* These metrics are discussed in the following sub-sections and they leverage the Architecture Compliance metrics derived in the previous section.

4.2.1 Proximity Metrics. The Proximity metrics are defined to measure "closeness" of two versions of an asset component with respect to a) component functionality i.e. Interfaces/Services b) component data i.e. Attributes. In essence, these coefficients indicate the proximity of two asset versions with respect to the architectural description. The utility of these metrics lies in the fact that they give an insight into how a component has evolved in terms of domain data and domain functional requirements.

Though the proximity metrics have been defined to measure "closeness" between two versions of the same asset component, the idea can be extended to measure proximity between two different components as well.

Functional Proximity Metrics: The Functional Proximity Metrics leverage the Functional Model (the collection of services contained in the architectural description) to measure the similarity between two components with regard to the functional requirements the components satisfy.

Let FC be the Functional Model Compliance Matrix representing the compliance of different versions of a component, *tc*, to the services of the architectural description. Thus the matrix FC for two versions of component *tc* can be represented as

$$\mathbf{FC}= \begin{array}{c} \\ v_1 \\ v_2 \end{array} \begin{array}{c} \begin{array}{cccc} s_1 & s_2 & & s_n \end{array} \\ \begin{bmatrix} \text{SvCoeff}_{v_1}(s_1) & \text{SvCoeff}_{v_1}(s_2) & \ldots & \text{SvCoeff}_{v_1}(s_n) \\ \text{SvCoeff}_{v_2}(s_1) & \text{SvCoeff}_{v_2}(s_2) & \ldots & \text{SvCoeff}_{v_2}(s_n) \end{bmatrix} \end{array} \quad (14)$$

Where

v_1 and v_2 represents the two versions of the component, *tc*.

$s_1 \ldots s_n$ represents the list of services from a particular architecture description.

$SvCoeff_{v1}(s_n)$, $SvCoeff_{v2}(s_n)$ represents the Service Compliance Coefficient of version v_1 and v2 of the Component with the set of *Services*, s_n, in the architectural description.

Now, the Proximity Matrix with respect to the domain functionality, PMF, is defined as

$\mathbf{PMF = [FC][FC]^T}$

Where $[FC]^T$ denotes the transpose of the matrix FC.

The element $PMF_{i,j}$ represents the proximity of versions *i* and version *j* with respect to the Functional Model. The matrix PMF is not normalized. We use the Euclidean Vector Norm to normalize the matrix PMF. The normalized PMF restricts the value of the element $PMF_{i,j}$ between zero and one. The formalized notation for deriving a normalized PMF using the Euclidean Vector norm is given below.

The Functional Model Compliance Matrix for the software component, *tc*, can be written as:

$\mathbf{FC = [s_{vt}]_{v = 1 \ldots V, t = 1 \ldots T}}$

Where svt represents the Service Compliance Coefficient for version, v, of the component, *tc*, for service, s, in the architectural description. V represents the total number of versions of *tc* and T represents the total number of services in the architectural description.

The Service Compliance vector, $\mathbf{s_v}$, is represented as

$\mathbf{s_v} = [\mathbf{s_{v,1}} \quad \mathbf{s_{v,2}} \quad \mathbf{s_{v,3}} \quad \ldots\ldots\ldots \mathbf{s_{v,T}}]$.

We know from the Euclidean Vector Norm that

$$\| \mathbf{s_v} \|_2 = \left(\sum_{t=1}^{F} s_{vt}^2 \right)^{1/2}$$

Using the Functional Model Compliance matrix, FC, it is now possible to define the proximity of two versions, say (*u, v*) of a component. The FC can be evaluated as the cosine of the angle formed by vectors $\mathbf{s_v}$ and $\mathbf{s_u}$ that can be computed as the dot product of $\frac{s_v}{\| s_v \|_2}$ and $\frac{s_u}{\| s_u \|_2}$, respectively. Thus the Normalized Proximity Matrix, PMFN, can be represented as

$$\mathbf{PMFN} = [\mathbf{f_{uv}}]_{\mathbf{u = 1 \ldots V, v = 1 \ldots V}} \qquad (15)$$

where $\mathbf{f_{uv}} = \sum_{t=1}^{F} \left(\frac{s_{vt}}{\| s_v \|_2} \right) \left(\frac{s_{ut}}{\| s_u \|_2} \right)$

After normalization, we are assured that $0 \leq f_{uv} \leq 1$. At the boundaries, the following interpretations can be made:

$\mathbf{f_{uv} = 1}$: The versions *u* and *v* are exactly similar in terms of the Functional Model.

$\mathbf{f_{uv} = 0}$: There is no similarity in the functional model coverage of the two versions of the component. In other words they satisfy non-overlapping sets of services in the architectural description.

The higher the value of $\mathbf{f_{uv}}$ the more similar the two versions of the component are and lower the value, the more dissimilar the two versions are with respect to functional requirements.

Data Proximity Metrics: The Data Proximity Metrics leverage the Data Model (the collection of data attributes contained within the architectural description) to measure the similarity between two components with regard to the data requirements the components satisfy. The treatment used for deriving the proximity metrics with respect to the Data Model is similar to the one used for deriving the proximity metrics with respect to the Functional Model. The only difference lies in the fact that the Data Model Compliance matrix, DC, is represented by

$$\mathbf{DC} = \begin{array}{c} \\ v_1 \\ v_2 \end{array} \begin{array}{c} \begin{array}{cccc} a_1 & a_2 & & a_n \end{array} \\ \begin{bmatrix} \text{AttrCoeff}_{v_1}(a_1) & \text{AttrCoeff}_{v_1}(a_2) & \ldots & \text{AttrCoeff}_{v_1}(a_n) \\ \text{AttrCoeff}_{v_2}(a_1) & \text{AttrCoeff}_{v_2}(a_2) & \ldots & \text{AttrCoeff}_{v_2}(a_n) \end{bmatrix} \end{array} \qquad (16)$$

Where

v_1 and v_2 represent the versions of the Component, tc.

$a_1 \ldots a_n$ represents the list of attributes for a particular architectural description.

$AttrCoeff_{v1}(a_n)$ represents the Attribute Compliance Coefficient of version v1 of the component with the attribute a_n in the architectural description.

Rest of the derivation is exactly the same as the proximity metrics for the Functional Model.

4.2.2 Component Compliance Metrics. These metrics measure the compliance of an asset component to the architectural description as a whole. The Compliance Metrics are of two types – the Static Compliance metrics and the Compliance Evolution metrics. The Static Compliance metrics measure the degree of compliance of a component to the System Data Model and the System Functional Model. The Compliance Evolution metrics measure the percentage change of a component from one version to another in terms of system data and functionality.

Static Compliance Metrics: The Static Compliance metrics are termed 'static' as they measure the compliance of a given version of a component with respect to the Data and Functional Model.

The Data Model Compliance Index, DCmI(v), for a version of a component measures the compliance to the complete Data Model of the System. It is calculated as

$$\text{DCmI(v)} = \frac{\sum_{a \in \text{RegAttr(v)}} \text{C(a).AttrCoeff(a)}}{|\text{RegAttr(v)}|} \qquad (17)$$

while the Functional Model Compliance Index

$$\text{FCmI(v)} = \frac{\sum_{s \in \text{RegSvc(v)}} \text{C(s).SvCoeff(s)}}{|\text{RegSvc(v)}|} \qquad (18)$$

Where

RegAttr(v)/ RegSvc(v): Set of Attributes/Services in the architectural description to which the version v of the component is registered.

C(a)/ C(s): Criticality of the Attribute/Service a/s .

$AttrCoeff_v(a)$ $SvCoeff_v(s)$: Attribute/Service Compliance Coefficient for Attribute/Service a/s for version v of the component.

The Criticality of the attribute/service is taken into account to reflect their relative importance in the System. If information regarding the criticality of data or services does not exist or is not specified, C(s) and C(a) should be set to one for all attributes and services.

The System Model Compliance Index, which is the measure of a component's overall compliance to the domain requirements as represented in the architectural description. It is calculated as

$$\mathbf{SysCmI(v)} = \frac{\text{DCmI(v)} + \text{FCmI(v)}}{2} \qquad (19)$$

In a situation where a system integrator has two components to evaluate for satisfying a given functionality, the component with the higher value of SysCmI (v) should be selected if overall domain compliance is desired.

Compliance Evolution Metrics: The Compliance Evolution metrics for a component are intended to measure the percentage change in the component's compliance to the domain from one version to another.

The Data Model Compliance Evolution Index, **DCmE (v_{new}, v_{base})**, for a component, captures the percentage change in the compliance to the Data Model from one version to another and is calculated as

$$DCmE(v_{new}, v_{base}) = \frac{DCmI(v_{new}) - DCmI(v_{base})}{DCmI(v_{base})} x100 \quad (20)$$

Similarly, the Functional Model Compliance Evolution Index

$$FCmE(v_{new}, v_{base}) = \frac{FCmI(v_{new}) - FCmI(v_{base})}{FCmI(v_{base})} x100 \quad (21)$$

The rollup of data and functional evolution, the System Model Compliance Evolution Index, $SysCmE(v_{new}, v_{base})$, for a component, captures the percentage change in the component's compliance to the overall domain requirements from one version to another and is calculated as in below.

SysCmE (v_{new}, v_{base}) =

$$\frac{SysCmI(v_{new}) - SysCmI(v_{base})}{SysCmI(v_{base})} x100 \quad (22)$$

In a typical software system, we are likely to see high positive values for compliance evolution indices, which would indicate that the new version of the component is more compliant to the domain. A negative value would indicate that the new component has lower compliance to the domain, which in most general cases is not desirable.

5. Application of the Metrics

Reusable components should ideally have high values for the Compliance and Coverage metrics. Target threshold values, which may come from Program Managers or System Integrators, could be used as design drivers when a reusable component is being built from scratch. The goal in such a case should be to aim for

(i) high compliance to architecture functionality,

(ii) high compliance to architecture data, and

(iii) high compliance to architecture component description.

For asset components, the Architecture Compliance metrics provide a useful mechanism for evaluating reuse potential of these components and help in decision-making about suitability of reuse candidates.

These metrics were applied in a sample University Registration System where the architectural description consisted of 45 services and 22 data entities distributed over 15 architectural components. The returned results corroborated intuitive understanding. Detailed elaboration of the experiment cannot be provided in this paper due to constraints of space. We present sample results to demonstrate the core concepts.

Service Compliance Coefficient for two reusable components TEXv1.0 and ROSEv1.0 were calculated for a service "Add a Class". Using formula (7), the Service Compliance Coefficient (*SvCoeff*) of TEX v1.0 was calculated as 0.84 while that of ROSE v1.0 was found to be 0.63 (Table 1).

Table 1: Calculation of Service Compliance

System Architecture: *Student Registration*		
Arch. Component Name: *Registration System*		
Service Name: *Add a Class*		
	TEX 1.0	ROSE 1.0
Input Data Compliance Coefficient: IDCoeff(s)	0.94	0.89
Input Data Dependency: IDDep(s)	1	1
Output Data Compliance Coefficient: ODCoeff(s)	0.75	0.45
Output Data Dependency: ODDep(s)	1	1
Input Event Compliance Coefficient: IECoeff(s)	1	0.5
Input Event Dependency: IEDep(s)	1	1
Output Event Compliance Coefficient: IECoeff(s)	0.67	0.67
Output Event Dependency: IEDep(s)	1	1
Service Compliance Metric: SvCoeff(s)	0.84	0.63

Thus for the "Add a Class" service TEX v1.0 was selected over ROSE v1.0 as it satisfied the functional requirement better. The reason for this better compliance was obvious when we explored the internal design of the two software components. For the "Add a class" service, TEX 1.0 supported more of the input data attributes in the architecture compared to ROSE 1.0. Further in TEX 1.0, the implementation of the service was such that it generated more of the output data attributes compared to ROSE resulting in a higher overall compliance to the output data of the architectural specification. Also for TEX 1.0 the service "Add a class" was triggered by the exact same set of events, as defined in the architecture, while the same was not true for ROSE 1.0. These distinct differences in the design of the two components were clearly brought out by our metrics.

By considering the Service Compliance Coefficient of similar components, component developers would also be able to identify whether their component is

competitive enough (i.e. competitive against other components for the domain) for a particular service implementation and therefore take necessary steps to increase the reuse potential of their components.

The calculation of the Architectural Component Compliance Coefficient (Table 2) revealed a typical scenario often encountered during architectural assessment – conflicts of reusability benefits.

Table 2: Calculation of Arch. Component Compliance

System Architecture: *Student Registration*		
Arch. Component Name: *Registration System*		
# Services in Arch Component = |ArchSv(d)| = 4		
# Attributes in Arch Component = |ArchAttr(d)| = 1		
	TEX 1.0	ROSE 1.0
Arch. Service Compliance Coefficient: ArchSvCoeff(d)	0.74	0.77
Arch Attribute Compliance Coefficient: ArchAttrCoeff(d)	0.75	0.75
Arch Component Compliance Coefficient: ArchCoeff(d)	0.742	0.766

Taking into account all the services and attributes in the architectural component "Registration System", we calculate the overall Architectural Compliance Coefficient using formula (13). We observe that the value of ArchCoeff(d) for TEX v1.0 (0.742) is actually lower than that of ROSE v1.0 (0.766), though the difference itself is not significant. The System Integrator at this point may opt for TEX v1.0 if satisfying the "Add a Class" service per the architectural spec is more desirable. However if overall compliance is desired ROSE v1.0 would be a better candidate. For cases where the difference in the coefficient values is very small or insignificant, the more granular coefficients for the key services, attributes or behavioral units should be considered for identifying appropriate reuse candidates. This example revealed another important aspect of the proposed metrics. They can provide useful quantitative measures even in the absence of complete information thus enabling a mechanism for early architectural assessment. In our specific example behavioral information was not available for the "Student Registration" class and yet we could compute the architectural compliance coefficients. Of course the same analysis should be repeated as more information becomes available during architectural design for validation of decisions made early in the development life cycle.

We also computed the Functional Proximity Metric and the Data Proximity Metric for two consecutive versions of TEX. The functional proximity metric worked out to 0.9911 while the data proximity metric worked out to 0.98. Recall that the higher the value of the proximity metric, more the similarity between the asset components. The metric values corroborated the fact that there was no major functional difference between the two versions of TEX and the second version mostly addressed bugs from the first version. A low value of the proximity metrics would imply major differences in functionality between two versions and flag the need for more regression testing prior to upgrade.

It should be noted that the manual process of *Registration* (explained is Section 3) of asset components to the architecture description is fundamental to the application of these metrics. It is the Registration process that helps eliminate potential semantic differences between the terminology in the architecture description and the asset components. In our "Add a Class" example, if the same functionality is delivered using a different service (say "Register for a Class") for a given asset component, it is the responsibility of the person registering the component to ensure that the mappings are correctly established. To be registered to an element of the architectural description essentially implies that the asset component supports the corresponding interface, data or behavior specified in the architecture.

For a critical assessment of the metrics, it is fair to ask "Why these?" or "Why not other variations?" While we distinguish our research in general from other practitioners in Section 2, we do acknowledge that it is possible to define alternate variations of these metrics grounded in the same concept of architectural context. However, we believe that our set of metrics is a 'sufficient' (though not necessarily 'complete') set for the kind of reasoning needed during architectural assessment for identifying reuse candidates. This has been borne out by our experience with the Registration System. The final test would of course be the application of these metrics for complex industrial systems.

6. Conclusion

The contextual metrics presented here provide a mechanism for a quantitative evaluation of software component reuse in the context of architecture requirements (functional and data) and architecture structure. We leverage the requirements represented within an architectural description to provide the context for an asset component to evaluate the compliance of these components to the architectural description, and to assess the similarity between components, the component's coverage of the architectural description, as well as numerically tracking the evolution of a component in terms of the architectural description. Our reusability assessment goes beyond simple interface matching and helps system integrators explore behavioral characteristics of components as well. These metrics provide a quantitative mechanism for assessing reusability leveraging the context of a component, thus

distinguishing our research from previous attempts at reusability measurement. We extend the qualitative context-based assessment of the 3C Model and provide objective measures using the context of the overall architecture.

These metrics are '*generic metrics'* as the measurement indices are not constrained by the nature of the components being evaluated and can be applied to any component. Defining "generic metrics" has been one of the recognized goals of the reuse research community. These metrics provide simple yet realistic, quantitative measure of reuse potential and help evaluate the benefits of selecting one component over another at design time. They are intuitive in nature, are consistent, reproducible, and can be used to provide meaningful insight for various system stakeholders.

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