

Massive MIMO Power Reduction

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The University of Texas at Austin

Wireless Networking & Communications Group

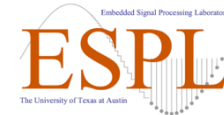
Embedded Signal Processing Laboratory



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WHAT STARTS HERE CHANGES THE WORLD



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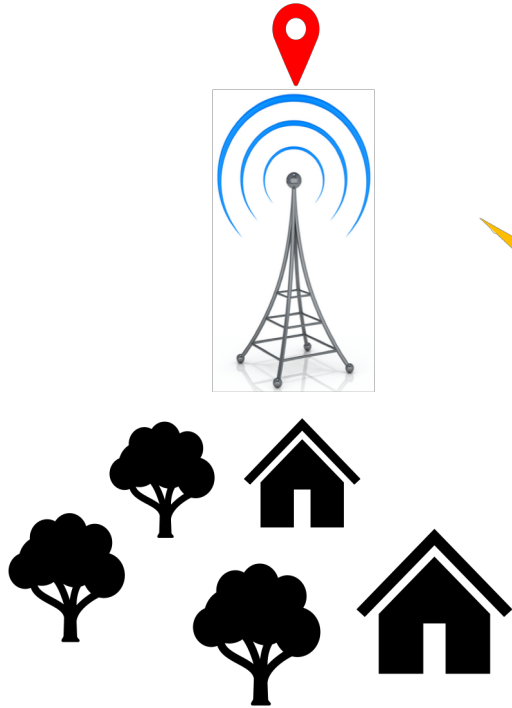
Overview

Selected Topics from Sept. 2017 – Dec. 2018

Overview

Receiver Design

1. Resolution-Adaptive ADC
2. Two-stage analog combining
3. Antenna selection
4. Learning-based one-bit detection



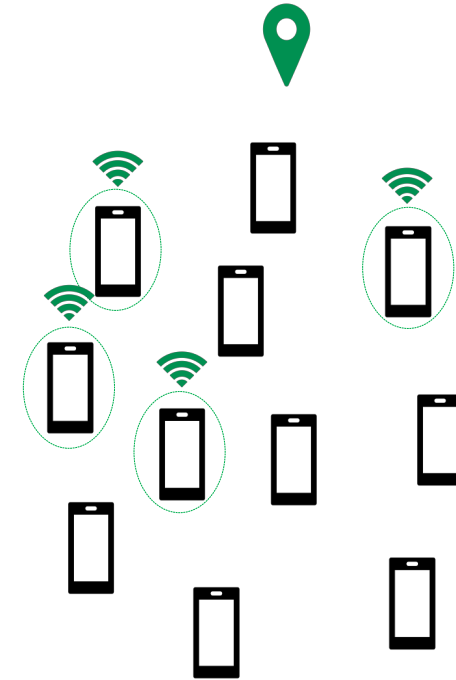
Channel Estimation

1. MmWave One-bit ADC
2. Deterministic beamforming design



User Scheduling

1. New user scheduling criteria
2. Partial CSI-based scheduling



Overview

Channel Estimation

- Compressive-sensing (CS)-based millimeter wave channel estimation in hybrid beamforming systems

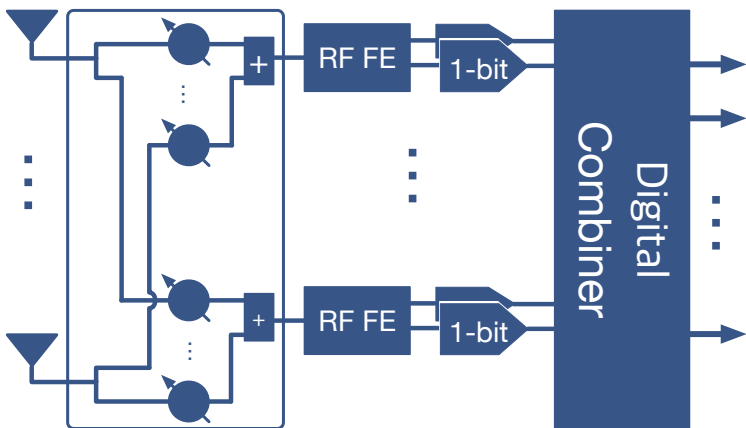
Hybrid Beamforming with One-bit ADCs

System

- PS Hybrid Architecture w/ 1-bit ADC
- Frequency-Flat Channels
- Beamformer w/ Random Configuration
- Downlink

Key Technique

- Modified one-bit GAMP*



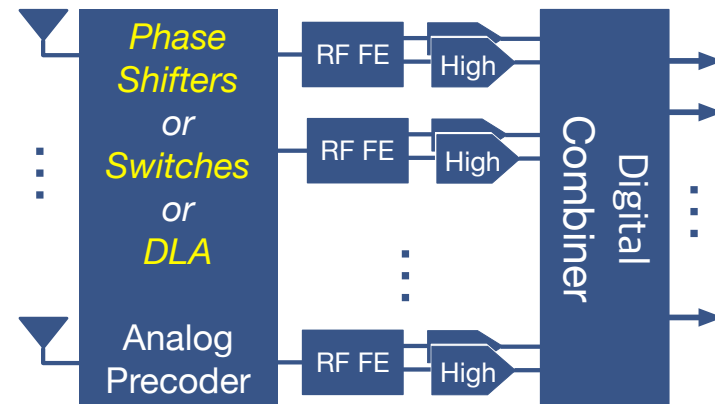
Universal and Deterministic Beamformer Design

System

- PS/SW/Lens Hybrid Architecture
- Frequency-Flat Channels
- Beamformer w/ Deterministic Configuration
- Downlink

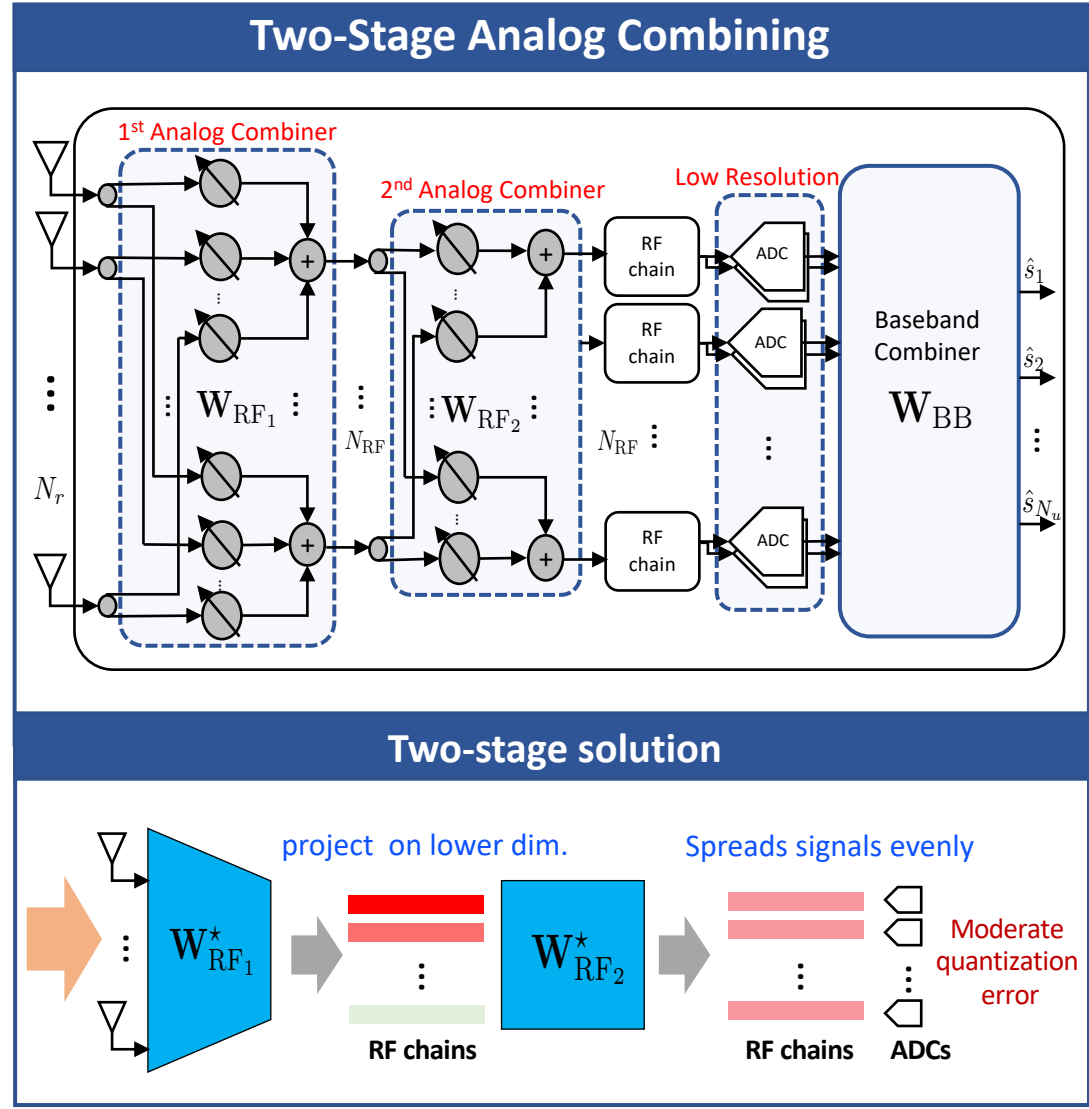
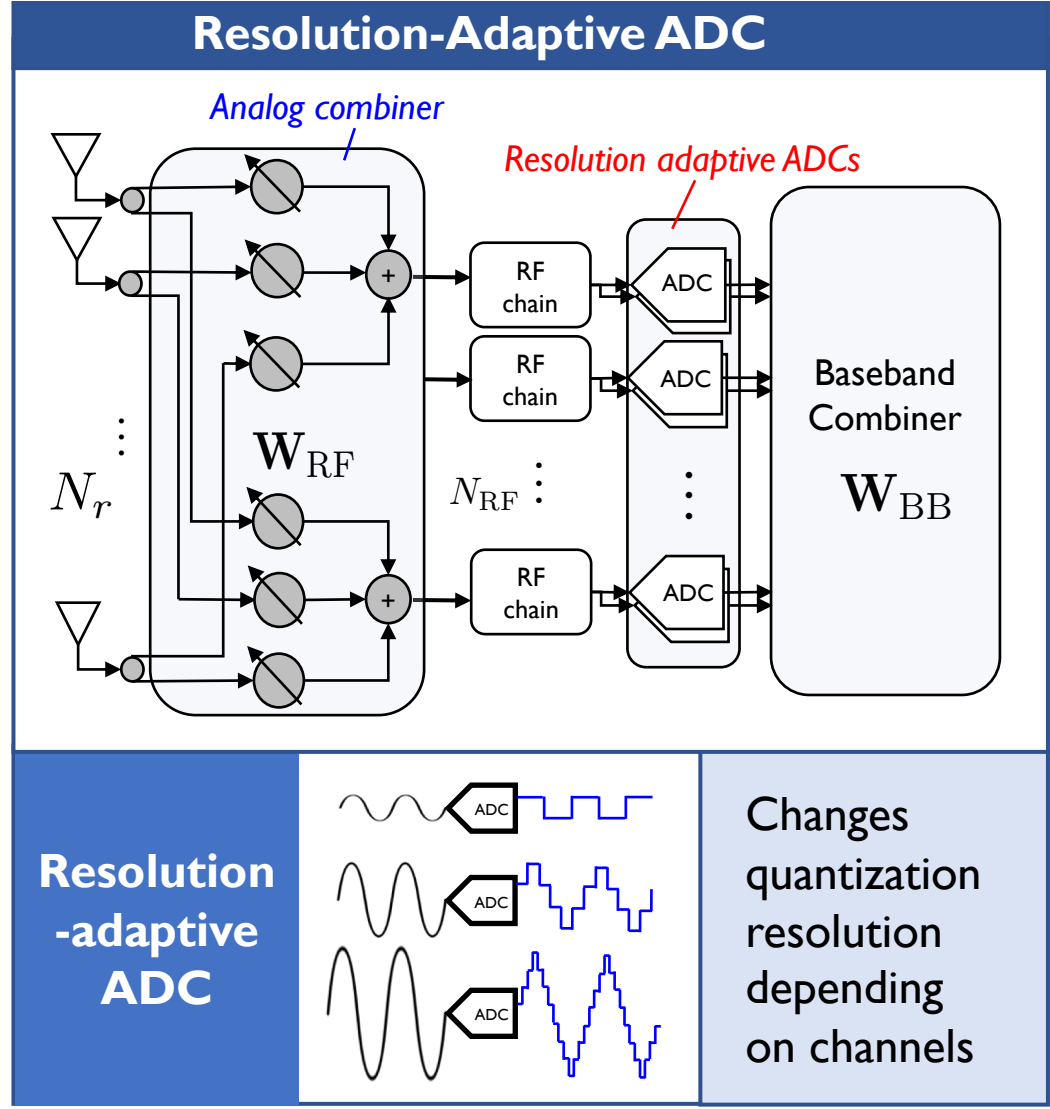
Key Technique

- Deterministic optimal beamformer design



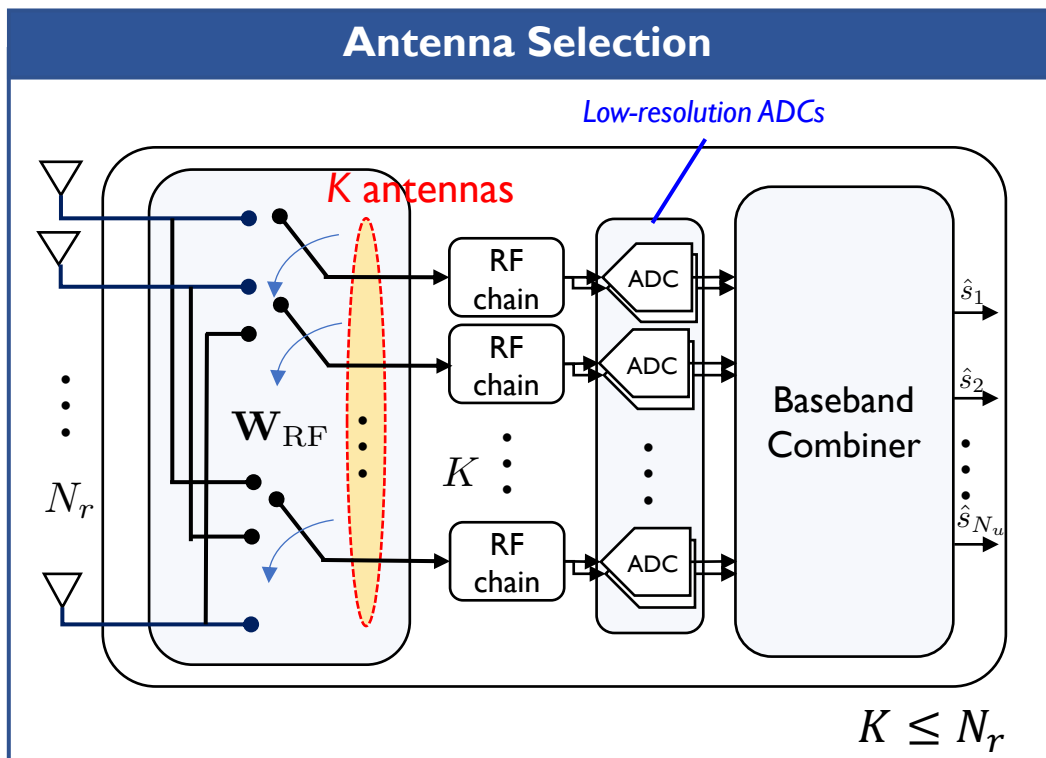
Overview

Receiver Design I-2



Overview

Receiver Design 3-4



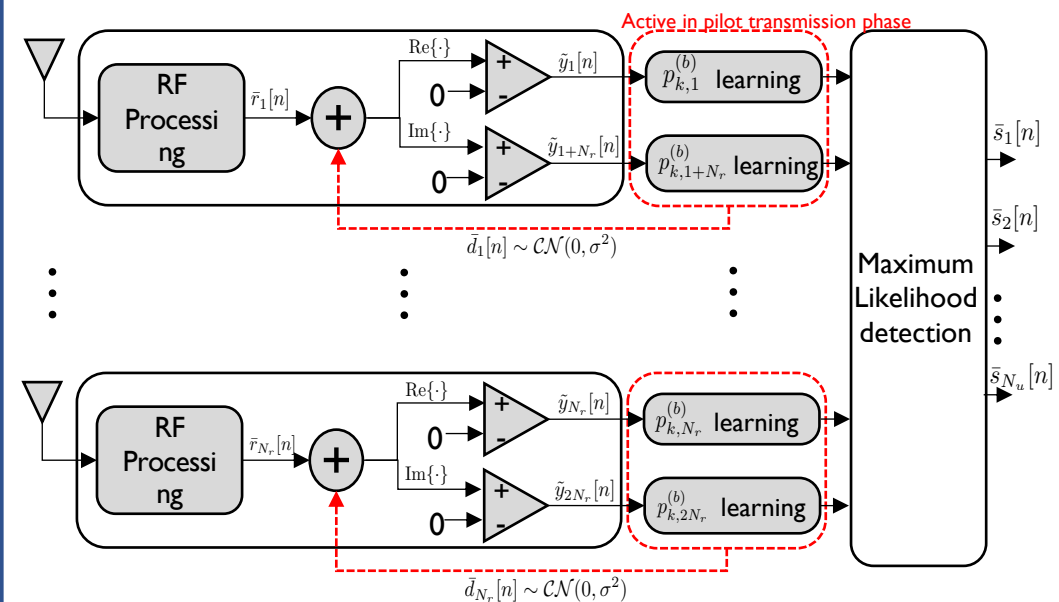
Greedy selection criterion

$$J = \arg \max_j \frac{c_{j,n}}{d_j}$$

Gain vs. Penalty

← channel gain from selecting antenna j
← quantization error from selecting antenna j

Robust Learning-based 1-Bit Detection



Dithering-and-learning

- Maximum likelihood detection
 - Add dithering to robustly learn likelihood probability

$$k^* = \arg \max_{k \in \mathcal{K}} \prod_{i=1}^{2N_r} p_{k,i}^{(b)} = \begin{cases} p_{k,i}^{(1)} = \frac{1}{N_{tr}} \sum_{t=1}^{N_{tr}} \mathbf{1}(y_i[(k-1)N_{tr} + t] = 1) \\ p_{k,i}^{(-1)} = 1 - p_{k,i}^{(1)} \end{cases}$$

□ User Scheduling

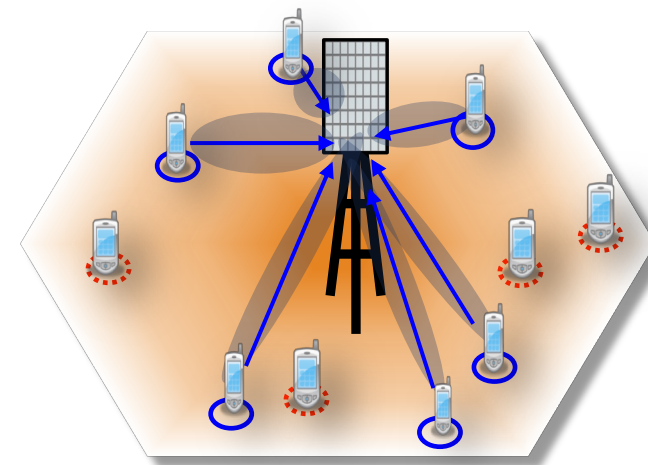
:To mitigate quantization error by effectively scheduling users

- **Key idea**
 - Derive **new scheduling criteria** that reduce quantization error
- **Optimization results**
 - Maximum sum rate user scheduling

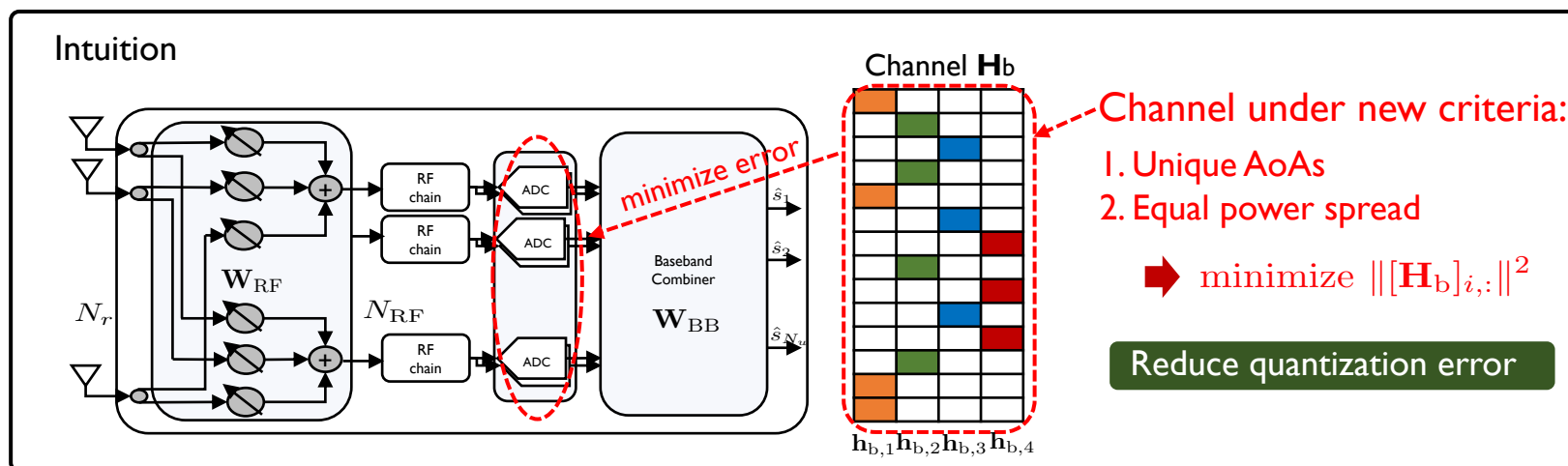
New criteria

*Angle of arrivals

1. **Unique *AoAs** for channel paths of each scheduled user
2. **Equal power spread** across complex path gains



Uplink user scheduling



One-bit MIMO Detection with Coding Theoretical Approach

Related publications:

[1]. Yunseong Cho, Seonho Kim, and Songnam Hong, "Successive Cancellation Soft-Output Detector for Uplink MIMO system with One-bit ADCs", IEEE ICC 2018, Kansas city, MO, USA.

[2]. Songnam Hong, Seonho Kim, and Namyoong Lee, "A Weighted Minimum Distance Decoding for Uplink Multiuser MIMO Systems With Low-Resolution ADCs", *IEEE Transactions on Communications*, 2018.

System Model

Multi-user MIMO uplink system

- Single cell environment
- Serves K users w. single antenna
- BS is equipped with N_r antennas

Quantized signal

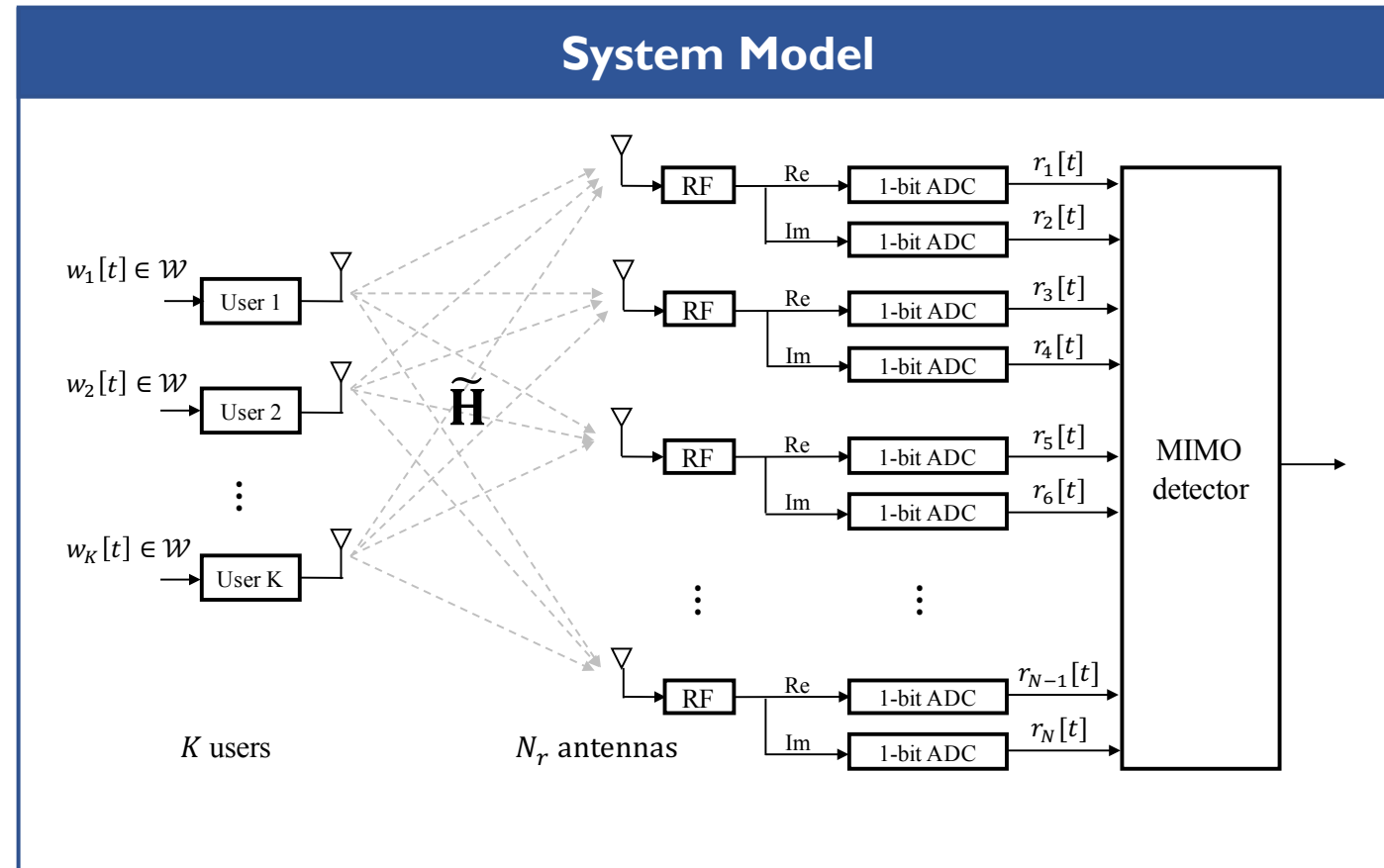
- M -QAM constellation
- Encoded input τ for a given sequence \mathbf{b}
- Corresponding symbol

$$w_k[t] = [\tau_k[pt], \dots, \tau_k[pt - p + 1]]_{(p)}$$

$$x_k[t] = f(w_k[t]) \in \mathcal{S}$$

Quantized signal

$$\mathbf{r}[t] = \text{sign}(\mathbf{H}\mathbf{x}(\mathbf{w}[t]) + \mathbf{z}[t]) \in \{1, -1\}^{2N_r}$$



Real-valued channel

System Model

Channel Input

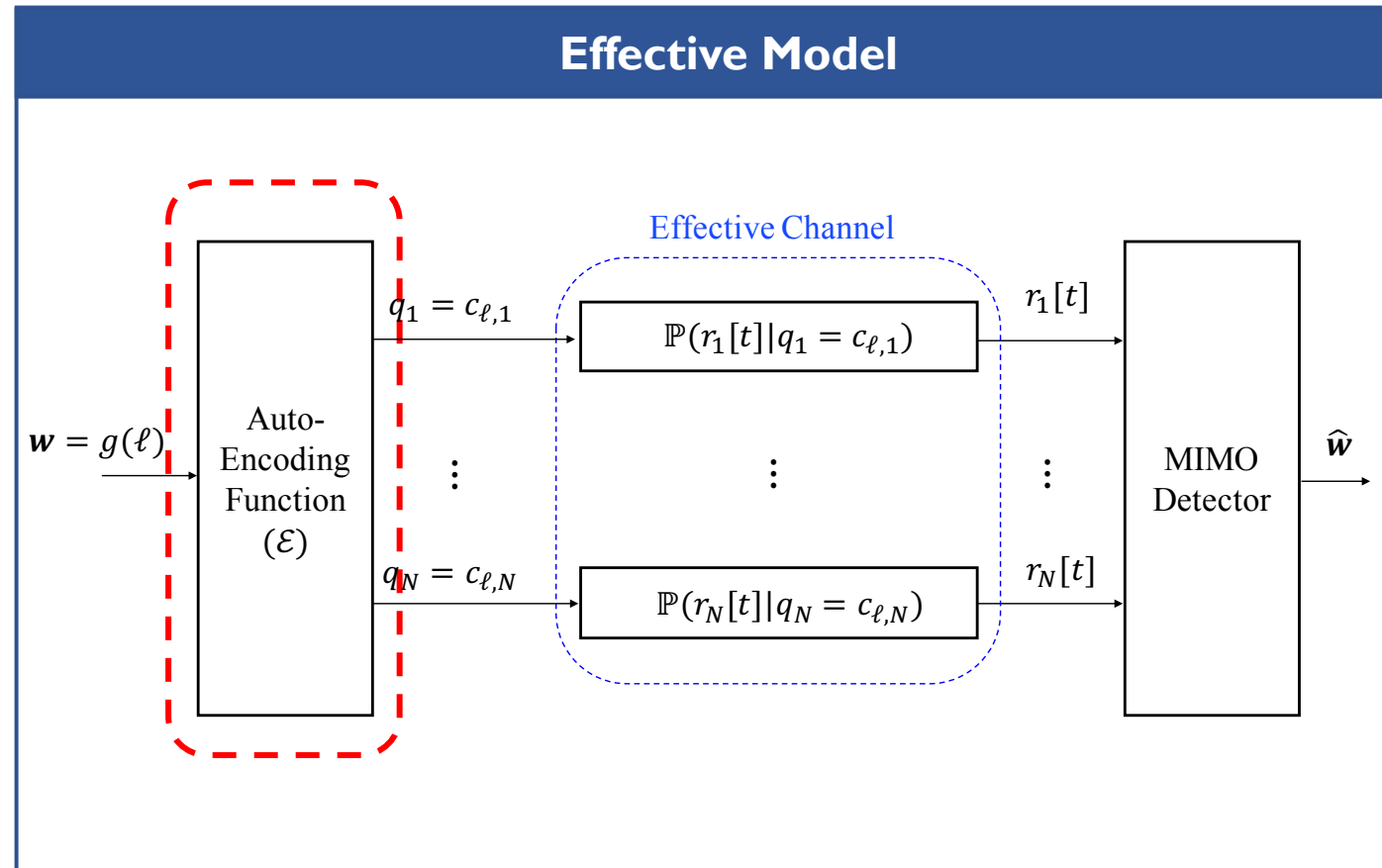
- Maps all possible combinations into code
- Fully characterized by \mathbf{H}

$$c_\ell = \left[\text{sign} \left(\mathbf{h}_1^T f(\mathbf{w}[t]) \right), \dots, \text{sign} \left(\mathbf{h}_N^T f(\mathbf{w}[t]) \right) \right]^T$$

[Example]

Consider a system with $N = 1, K = 1$ and $m = 2$ (QPSK) with a channel realization $\hat{H} = 0.1 + i1$

$$c_0 = Q \left(\begin{bmatrix} 0.1 & -1 \\ 1 & 0.1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right) = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$
$$c_1 = Q \left(\begin{bmatrix} 0.1 & -1 \\ 1 & 0.1 \end{bmatrix} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right) = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$
$$c_2 = Q \left(\begin{bmatrix} 0.1 & -1 \\ 1 & 0.1 \end{bmatrix} \begin{bmatrix} -1 \\ 1 \end{bmatrix} \right) = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$
$$c_3 = Q \left(\begin{bmatrix} 0.1 & -1 \\ 1 & 0.1 \end{bmatrix} \begin{bmatrix} -1 \\ -1 \end{bmatrix} \right) = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

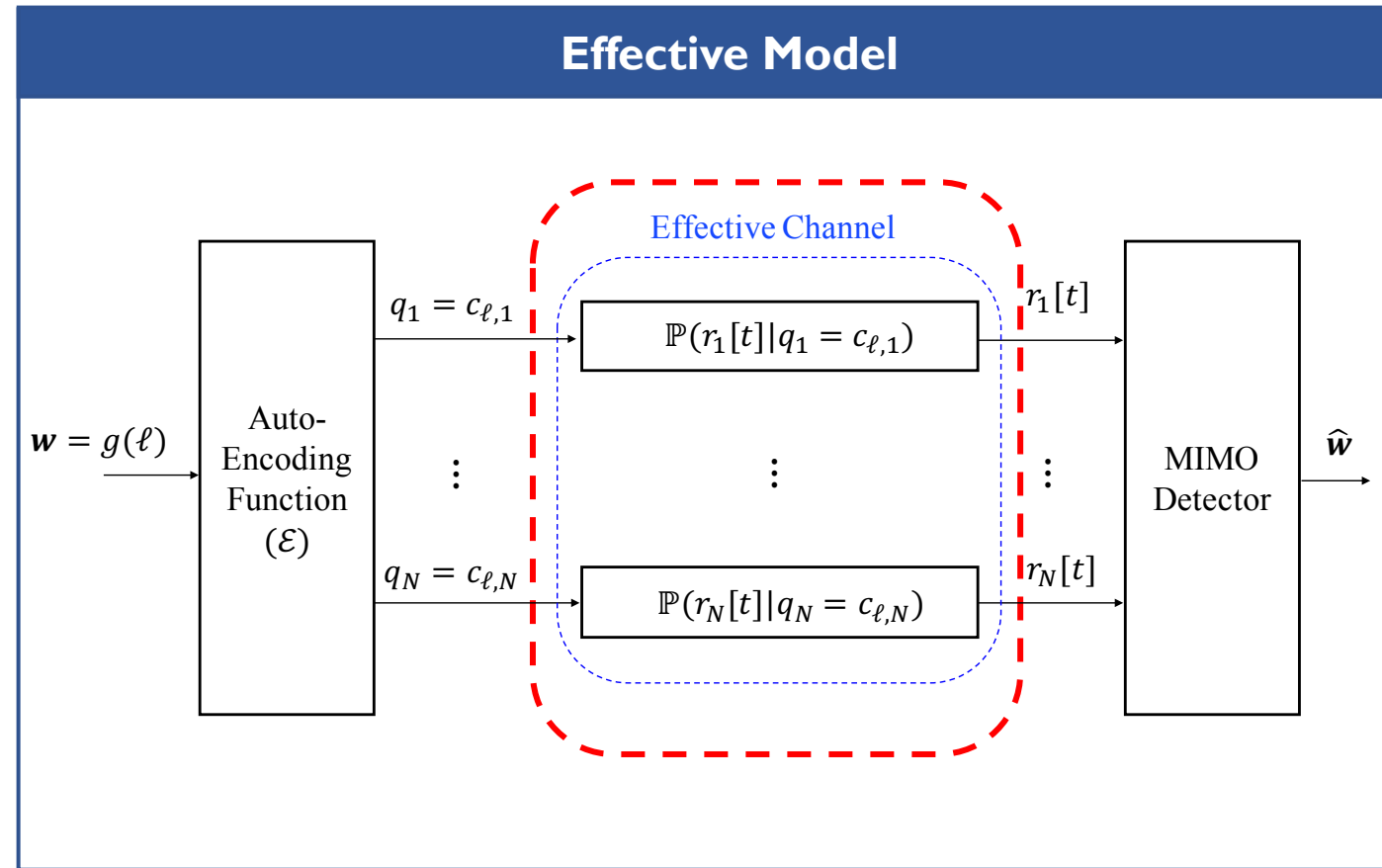


System Model

Effective Channel

- Composed of N_r parallel BSCs
- Each sub-channel has cross probability

$$\epsilon_i = Q(|\mathbf{h}_i^T f(\mathbf{w}[t])|)$$



System Model

Effective Channel

- Composed of N_r parallel BSCs
- Each sub-channel has cross probability

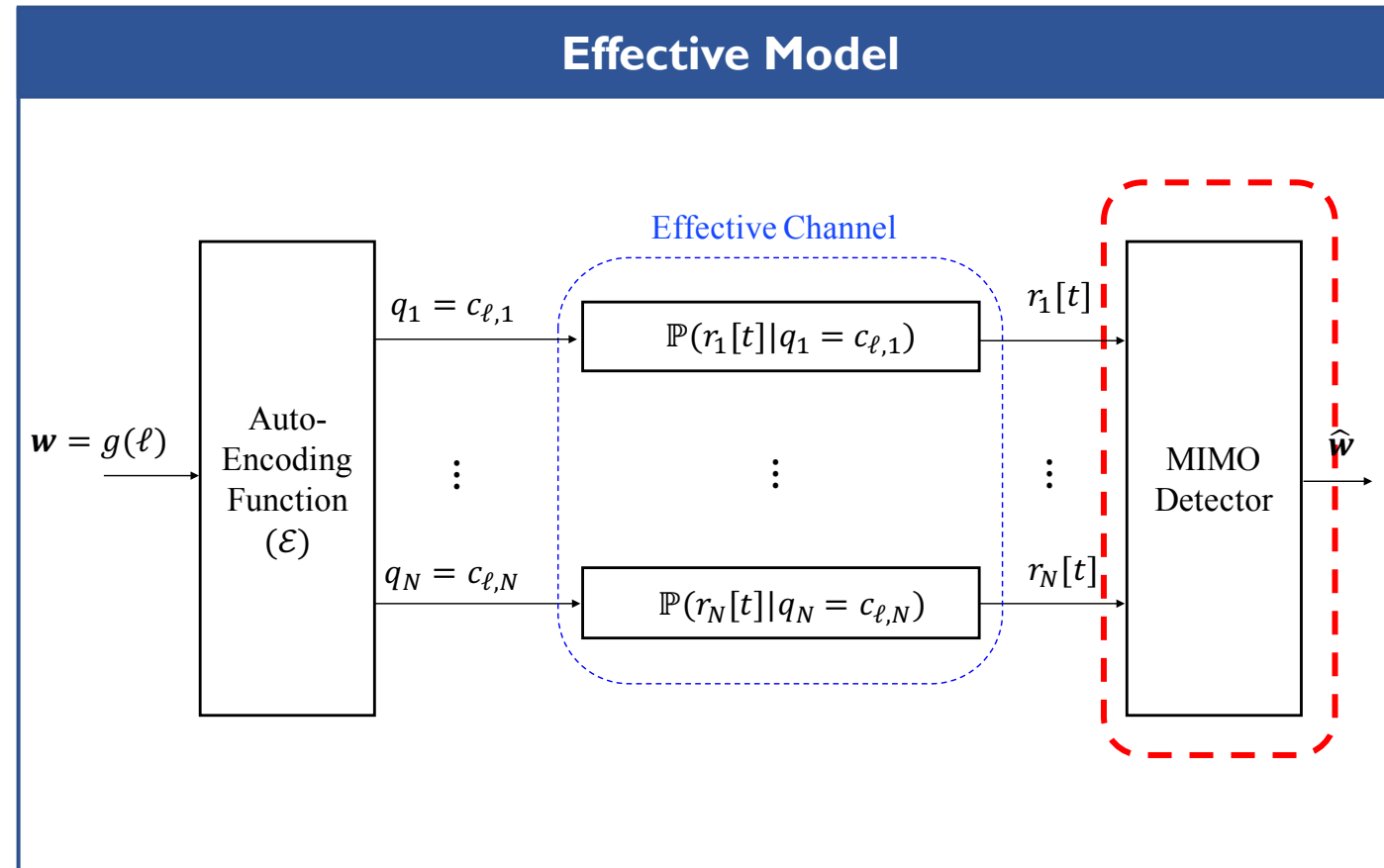
$$\epsilon_i = Q(|\mathbf{h}_i^T f(\mathbf{w}[t])|)$$

Detector

- Introduces *weighted Hamming distance*

$$d_{wh}(\mathbf{x}, \mathbf{y}; \boldsymbol{\alpha}) \equiv \sum_{i=1}^N \alpha_i 1_{\{x_i \neq y_i\}}$$

$$\hat{l} = \underset{l}{\operatorname{argmin}} d_{wh}(\mathbf{r}, \mathbf{c}_l; \log \frac{1}{\epsilon_l})$$



Detection Phase

□ Soft-Output

▪ Log-likelihood ratio (LLR)

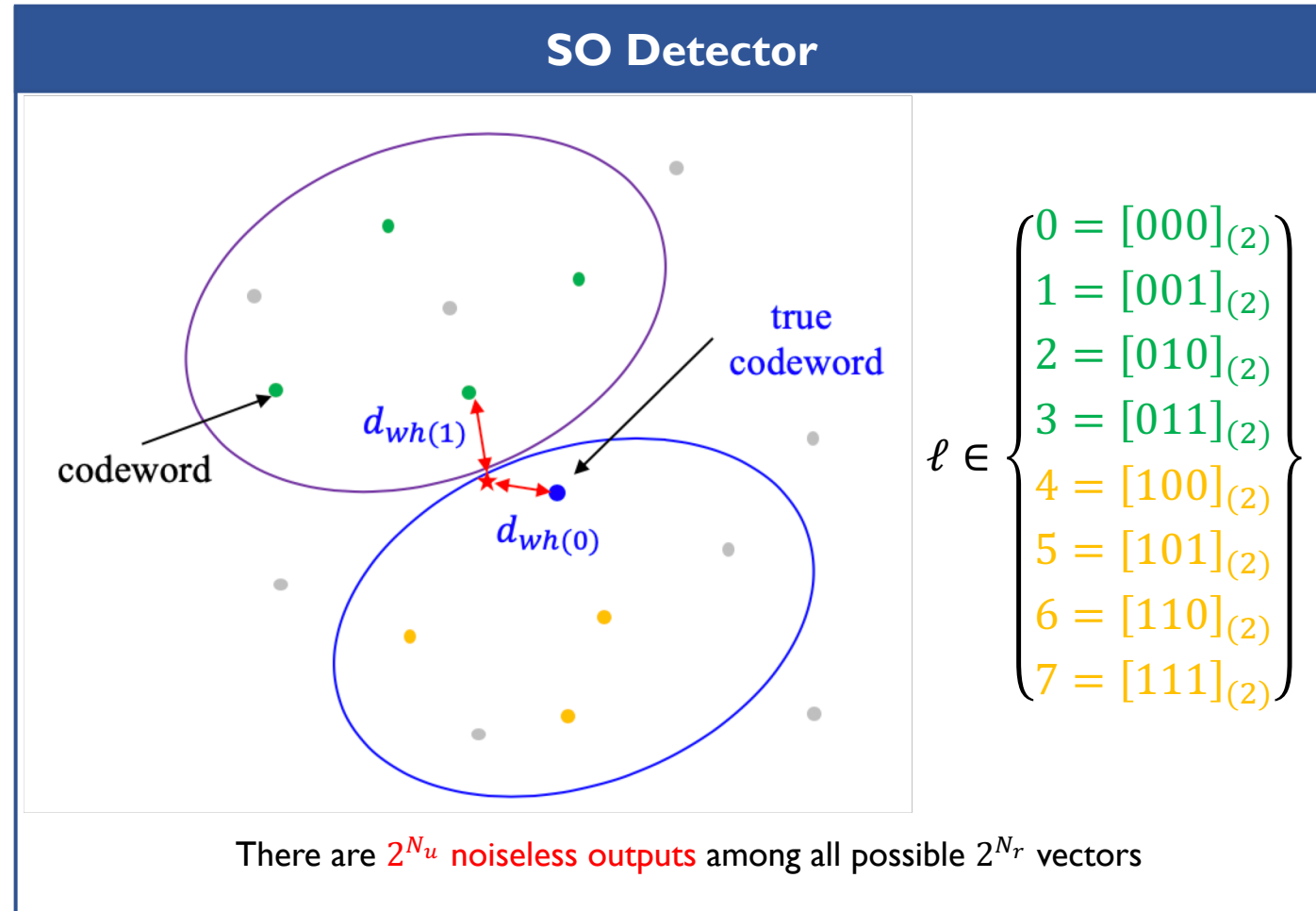
- Distance between two subsets

$$L_{pt-(i-1)}^k(\mathbf{r}[t]) = \min_{\mathbf{c}_\ell \in \mathcal{B}_{(i,1)}^k} d_{\text{wh}}(\mathbf{r}[t], \mathbf{c}_\ell; \{\log \epsilon_{\ell,i}^{-1}\}) - \min_{\mathbf{c}_\ell \in \mathcal{B}_{(i,0)}^k} d_{\text{wh}}(\mathbf{r}[t], \mathbf{c}_\ell; \{\log \epsilon_{\ell,i}^{-1}\}).$$

▪ Associated subcodes

- Divides space in terms of the specific bit

$$\mathcal{B}_{(i,j)}^k = \bigcup_{\mathbf{b} \in \{0,1\}^p: b_i = j} \mathcal{C}_{|\{w_k[t] = [\mathbf{b}]_p\}}$$



Detection Phase

Successive Cancellation

- Enhanced LLR
 - Using a previously detected message

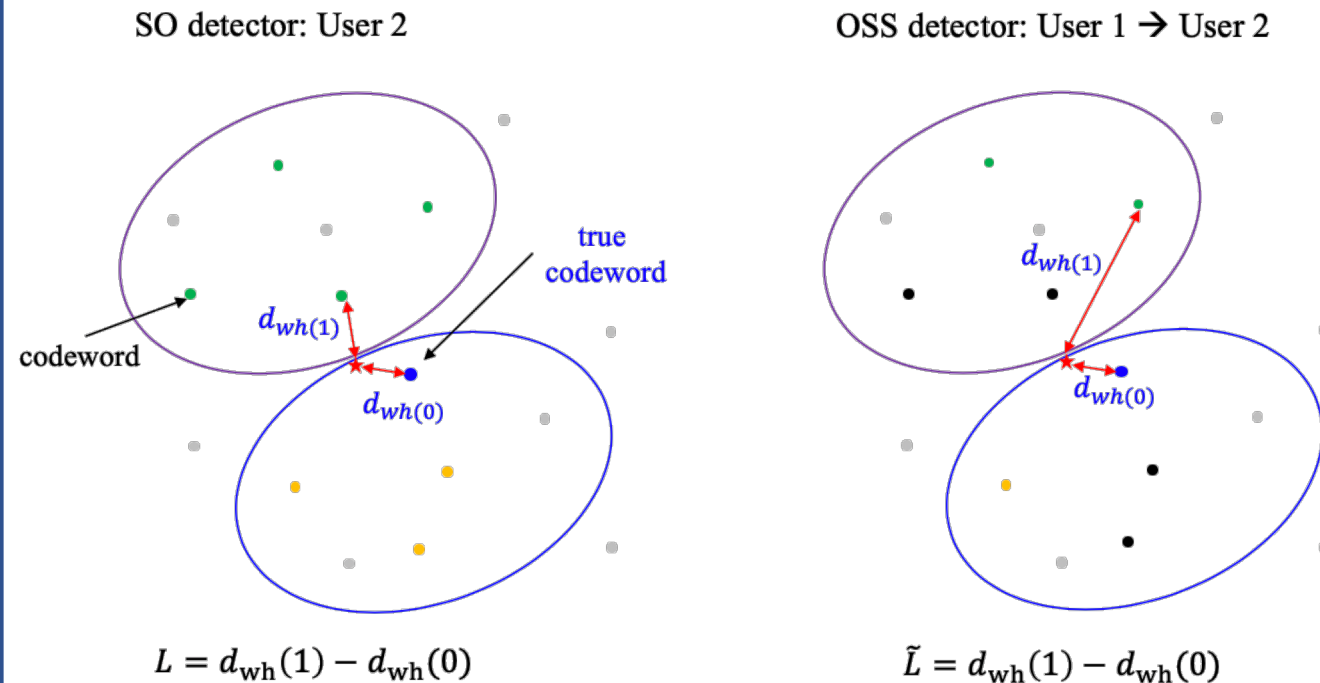
$$\tilde{L}_{pt-(i-1)}^k(\mathbf{r}[t], \hat{\mathbf{w}}_1^{k-1}[t]) = \min_{\mathbf{c}_\ell \in \mathcal{B}_{(i,1|\hat{\mathbf{w}}_1^{k-1}[t])}^k} d_{\text{wh}}(\mathbf{r}[t], \mathbf{c}_\ell; \{\log \epsilon_{\ell,i}^{-1}\}) - \min_{\mathbf{c}_\ell \in \mathcal{B}_{(i,0|\hat{\mathbf{w}}_1^{k-1}[t])}^k} d_{\text{wh}}(\mathbf{r}[t], \mathbf{c}_\ell; \{\log \epsilon_{\ell,i}^{-1}\})$$

Refined subcodes

- Decreases the size by half
- Removes an ambiguity

$$\mathcal{B}_{(i,j|\hat{\mathbf{w}}_1^{k-1}[t])}^k = \bigcup_{\mathbf{b} \in \{0,1\}^p: b_i=j} \mathcal{C}_{\{w_k[t]=[\mathbf{b}]_p, \mathbf{w}_1^{k-1}[t]=\hat{\mathbf{w}}_1^{k-1}[t]\}}$$

SO and SCSO detectors



There are 2^{N_u} noiseless outputs among all possible 2^{N_r} vectors

Ordering

□ Efficient order

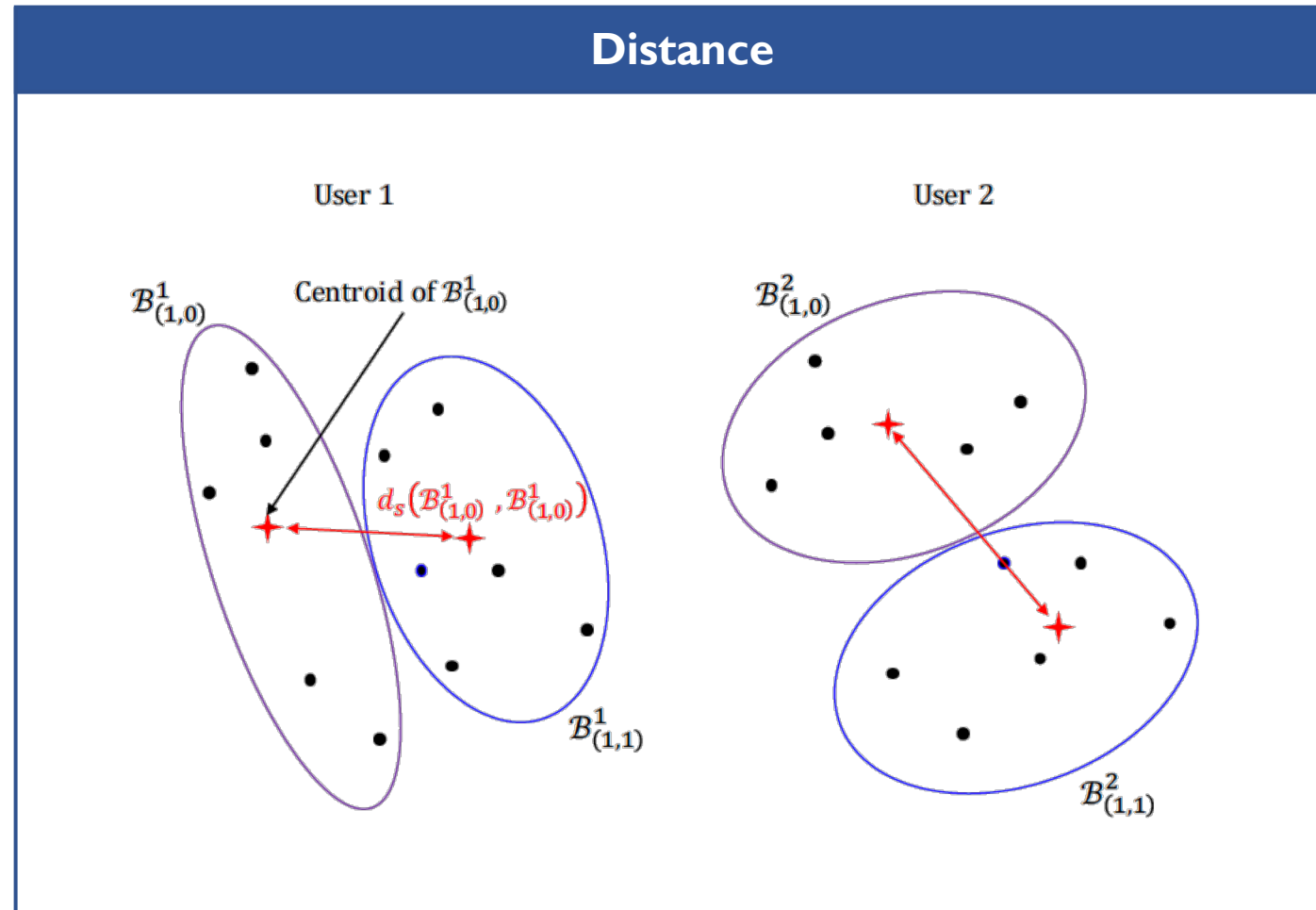
- Distance between Centroids
 - Measures strength for each user
 - Larger gap leads to more reliable LLR

$$d_s(\mathcal{C}_1, \mathcal{C}_2) \triangleq \left| \frac{1}{|\mathcal{C}_1|} \sum_{c \in \mathcal{C}_1} c - \frac{1}{|\mathcal{C}_2|} \sum_{c \in \mathcal{C}_2} c \right|^2$$

▪ Order

- Sorts in terms of the strength
- Can be done during the training phase

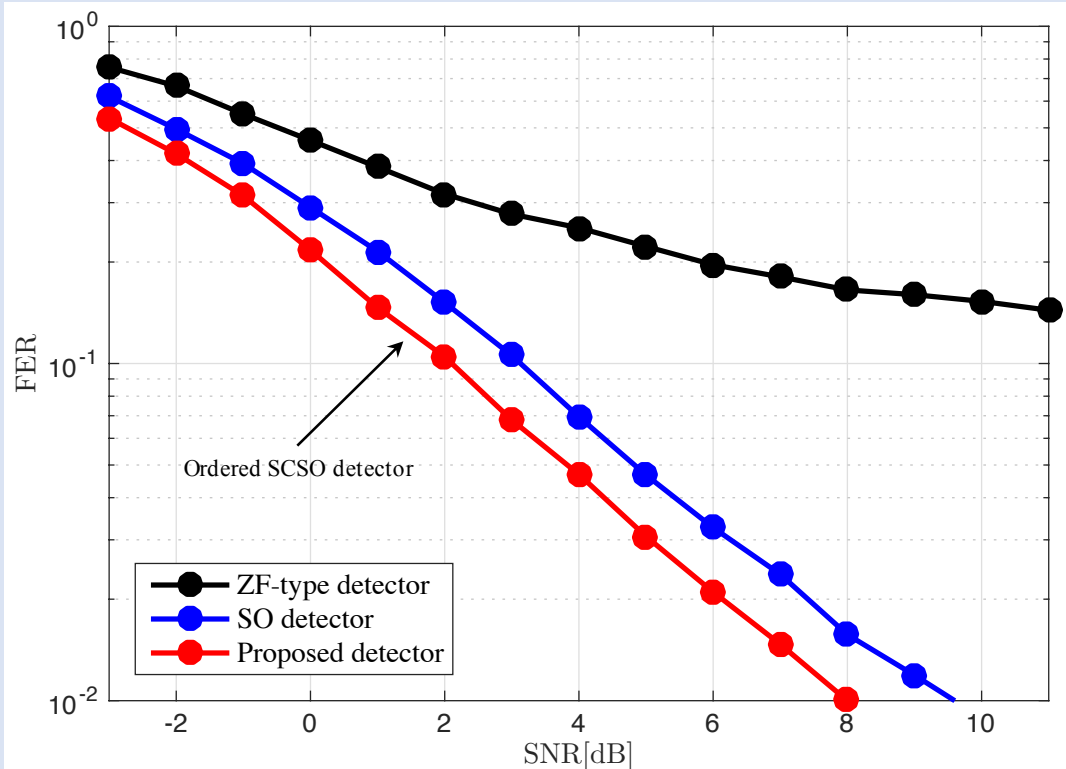
$$k_i = \underset{k \in \langle K \rangle \setminus \{k_1, \dots, k_{i-1}\}}{\operatorname{argmax}} d^k$$



Simulation Results

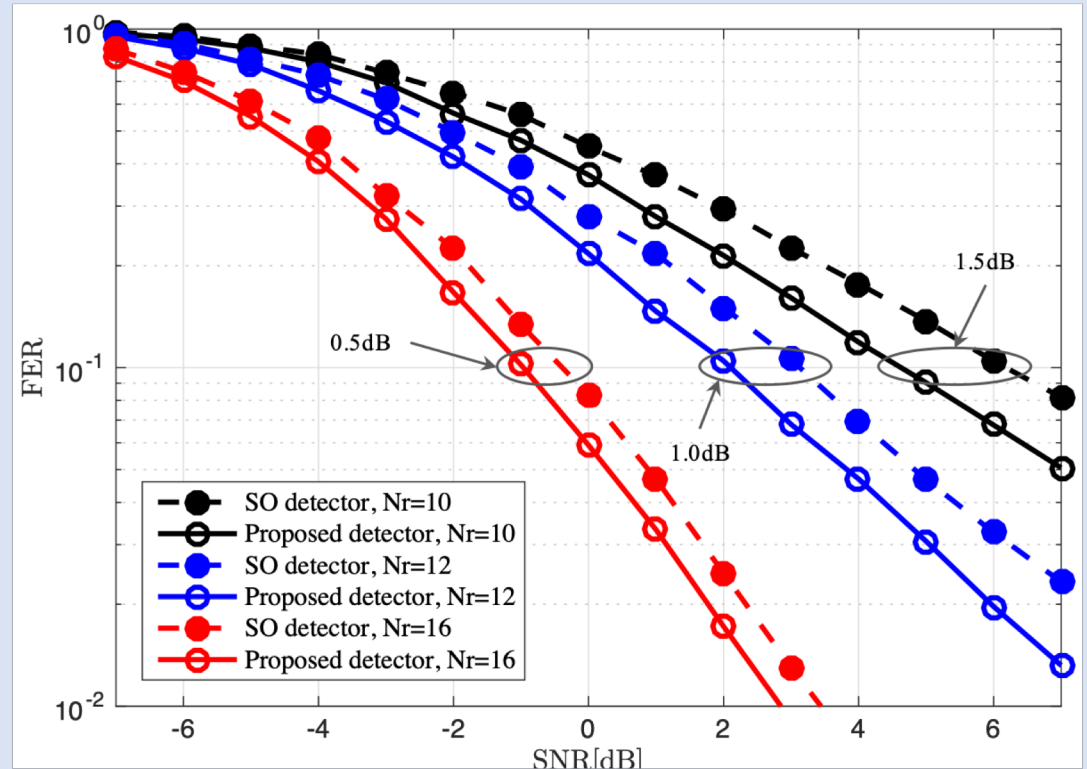
□ Polar encoding and decoding with 128 length is used

Various detectors



$(N_u = 6, N_r = 12, P_o)$

Various N_r



$(N_u = 6)$

Uplink Antenna Selection for Low-Resolution ADC Systems

Related publications:

[1]. Jinseok Choi, Junmo Sung, Brian L. Evans, and Alan Gatherer, “Antenna selection for large-scale MIMO systems with low-resolution ADCs”, IEEE ICASSP 2018, Calgary, Alberta, Canada.

[2]. Jinseok Choi and Brian L. Evans, “Analysis of ergodic rate for transmit antenna selection in low-resolution ADC systems”, submitted to *IEEE Transactions on Vehicular Technology*, 2018.

Wideband Extension

□ MIMO-OFDM Systems

- Mutual information (MI) for subcarrier n

$$\text{MI: } \mathcal{R}_n(\mathcal{K}) = \log_2 \left| \mathbf{I}_K + \rho \alpha_b^2 (\alpha_b^2 \mathbf{I}_K + \mathbf{R}_{\mathbf{q}_n \mathbf{q}_n})^{-1} \mathbf{G}_{n, \mathcal{K}} \mathbf{G}_{n, \mathcal{K}}^H \right|$$

- Maximum sum MI problem
 - All subcarriers share same subset of antennas

$$\mathcal{K}^{**} = \arg \max_{\mathcal{K} \subseteq \mathcal{S}: |\mathcal{K}|=K \geq N_{\text{MS}}} \mathcal{C}(\mathcal{K}) \quad \text{where } \mathcal{C}(\mathcal{K}) = \frac{1}{N_c} \sum_{n=1}^{N_c} \mathcal{R}_n(\mathcal{K})$$

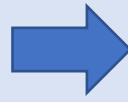
MIMO-OFDM Greedy Antenna Selection

□ Greedy approach

- Greedy selection problem can reduce to simpler form

Greedy problem

$$J = \arg \max_{j \in \mathcal{S} \setminus \mathcal{K}_t} \sum_{n=1}^{N_c} \mathcal{R}_n^{\text{ul}}(\mathcal{K}_t \cup \{j\})$$



Simplified greedy problem

$$J = \arg \max_{j \in \mathcal{S} \setminus \mathcal{K}_t} \sum_{n=1}^{N_c} \log_2 \left(1 + \frac{\rho \alpha_b}{d_j} c_{n,t}(j) \right)$$

$$\mathcal{R}_n^{\text{ul}}(\mathcal{K}_t \cup \{j\}) = \mathcal{R}_n^{\text{ul}}(\mathcal{K}_t) + \log_2 \left(1 + \frac{\rho \alpha_b}{d_j} c_{n,t}(j) \right)$$

where $c_{n,t}(j) = \mathbf{f}_{n,j}^H \left(\mathbf{I}_{N_{\text{MS}}} + \rho \alpha_b \mathbf{G}_{n,\mathcal{K}_t}^H \mathbf{D}_{n,\mathcal{K}_t}^{-1} \mathbf{G}_{n,\mathcal{K}_t} \right)^{-1} \mathbf{f}_{n,j}$.

□ Complexity Reduction

- For each subcarrier, avoid matrix inversion in $c_{n,t}(j)$

$$\begin{aligned} c_{n,t+1}(j) &= \mathbf{f}_n(j)^H (\mathbf{Q}_{n,t} - \mathbf{a}\mathbf{a}^H) \mathbf{f}_n(j) \\ &= c_{n,t} - |\mathbf{f}_n(j)^H \mathbf{a}|^2 \end{aligned}$$

where $\mathbf{a} = \left(c_{n,t}(j) + \frac{d(j)}{p_u \alpha} \right)^{-1/2} \mathbf{Q}_{n,t} \mathbf{f}_n(j)$
 $\mathbf{Q}_{n,\mathcal{K}_t} = \left(\mathbf{I} + p_u \alpha \mathbf{H}_{n,\mathcal{K}_t}^H \mathbf{D}_{n,\mathcal{K}_t}^{-1} \mathbf{H}_{n,\mathcal{K}_t} \right)^{-1}$

Linear complexity increase by # of subcarrier compared to narrowband channel

Performance Bounds

□ Theoretical Lower Bound

Theorem

The performance of solving simplified greedy problem is lower bounded by

$$\sum_{n=1}^{N_c} \mathcal{R}_n(\mathcal{K}_G) \geq \left(1 - \frac{1}{e}\right) \sum_{n=1}^{N_c} \mathcal{R}_n(\mathcal{K}^*)$$

Proof: submodularity is closed under non-negative linear sum

□ Numerical Upper Bound

▪ Markov Chain Monte Carlo (MCMC) method

(+) Provides approximation of optimal solution

(+) Converges with iterations

(-) High Complexity (sampling and iterations)

Performance Bounds

□ MCMC Antenna Selection

▪ Problem reformulation

Normalization factor

$$\Gamma = \sum_{\mathcal{K}} \exp\left(\frac{\mathcal{C}(\mathcal{K})}{\tau}\right)$$

$$\max_{\mathcal{K} \subseteq \mathcal{S}: |\mathcal{K}|=K \geq N_{\text{MS}}} \mathcal{C}(\mathcal{K}) \quad \rightarrow \quad \max_{\mathcal{K} \subseteq \mathcal{S}: |\mathcal{K}|=K \geq N_{\text{MS}}} \underbrace{\exp\left(\frac{\mathcal{C}(\mathcal{K})}{\tau}\right)}_{\text{Rate constant}} / \Gamma$$

$\pi(\mathcal{K})$: Original distribution

▪ Proposal distribution [Liu 2009]

ω_q : binary codeword vector with ones and zeros

$$g(\omega_q; \mathbf{p}) = \frac{\prod_{i=1}^{M_R} p_i^{[\omega_q]_i} (1 - p_i)^{1 - [\omega_q]_i}}{\Gamma'}$$

$$\propto \prod_{i=1}^{M_R} p_i^{[\omega_q]_i} (1 - p_i)^{1 - [\omega_q]_i}$$

q: codebook index from all possible combinations

p_i : probability of antenna i to be selected

Performance Bounds

□ MCMC Antenna Selection

▪ Step 1: Sampling by Metropolized independence sampler

1. Given current sample $\omega_q^{(i)}$, draw candidate sample $\omega_q^{(\text{new})}$ from proposal distribution $g(\omega_q; \mathbf{P})$

2. Accept $\omega_q^{(\text{new})}$ depending on accepting probability:
$$\min \left\{ 1, \left(\frac{\pi(\mathbf{w}_q^{(\text{new})})}{\pi(\mathbf{w}_q^{(i)})} \right) \left(\frac{g(\mathbf{w}_q^{(i)})}{g(\mathbf{w}_q^{(\text{new})})} \right) \right\}$$

3. If not accepted, use current sample $\omega_q^{(i)}$ as accepted sample

4. Repeat until collecting N_{MCMC} samples

▪ Step 2: Parameter update [Liu 2009]

- Maximizing Kullback-Leibler divergence between original and proposal distributions

$$p_j^{(t+1)} = p_j^{(t)} + r^{(t+1)} \left(\frac{1}{N_{\text{MCMC}}} \sum_{n=1}^{N_{\text{MCMC}}} [\omega_q^{(n)}]_j - p_j^{(t)} \right)$$

▪ Step3: update maximum objective function if $\pi(\omega_q^{(n)}) > \pi(\hat{\omega})$

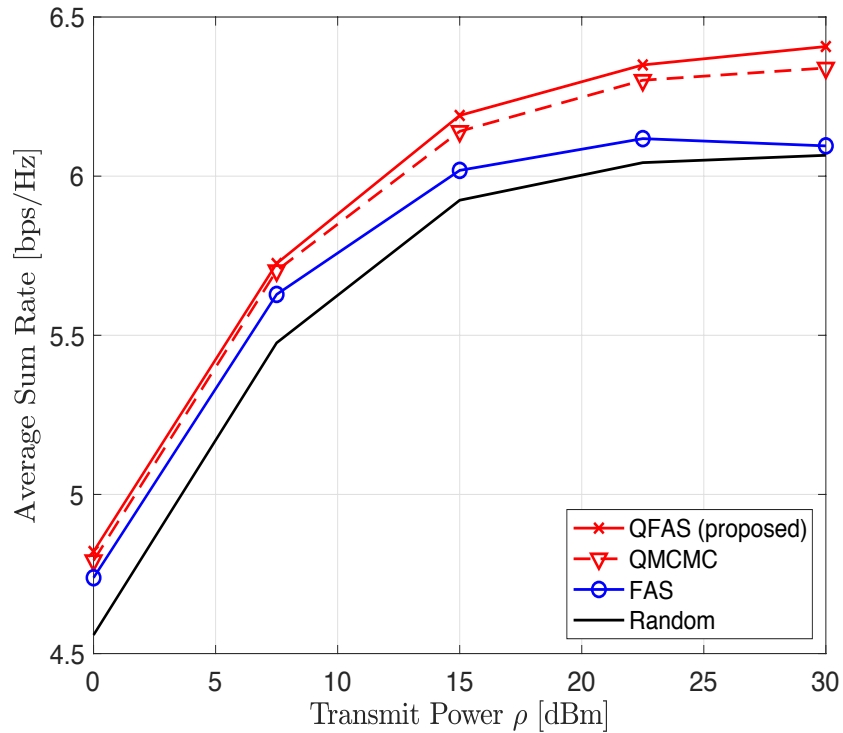
Simulation Results

Average Mutual Information vs. Transmit Power

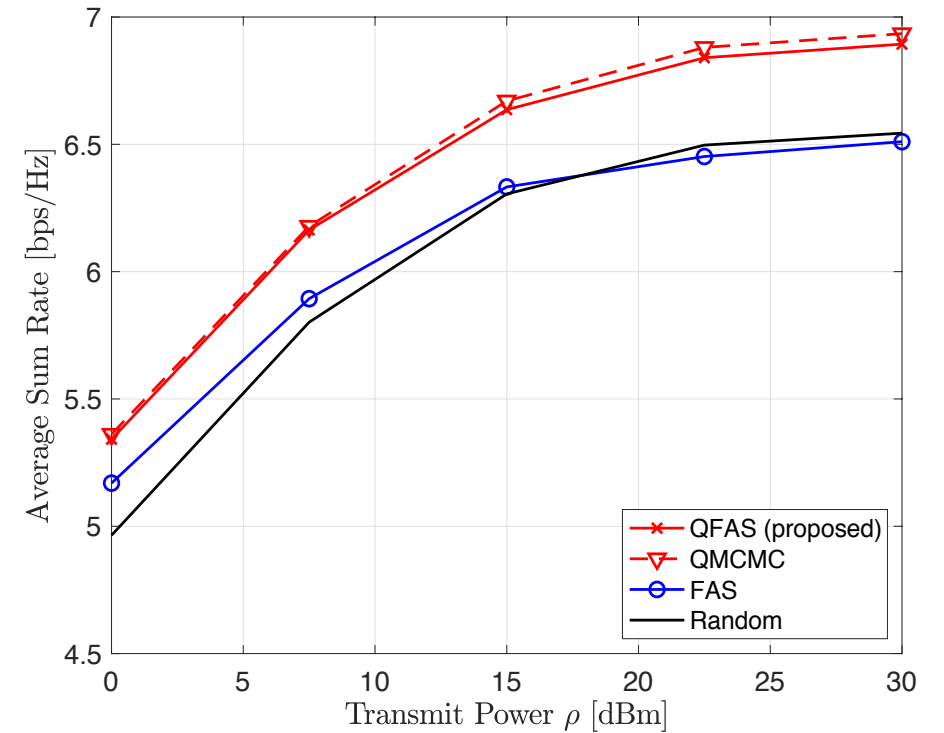
Settings

16 BS antennas
4 selected antennas
4 users
2-bit ADCs
2.4 GHz f_c
10 MHz bandwidth,
4 delay taps
64 subcarriers
Rayleigh fading
1 km cell radius

Low sampling / iteration (10, 10)



Medium sampling / iteration (50, 50)

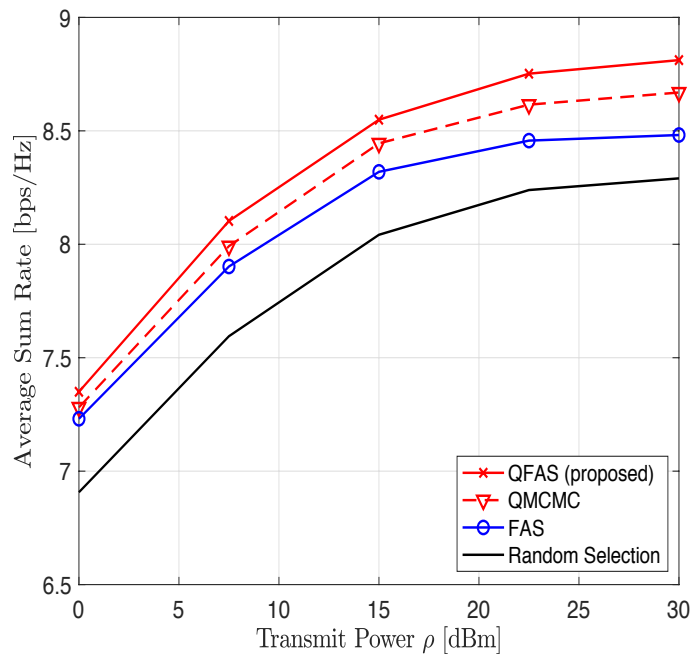


Simulation Results

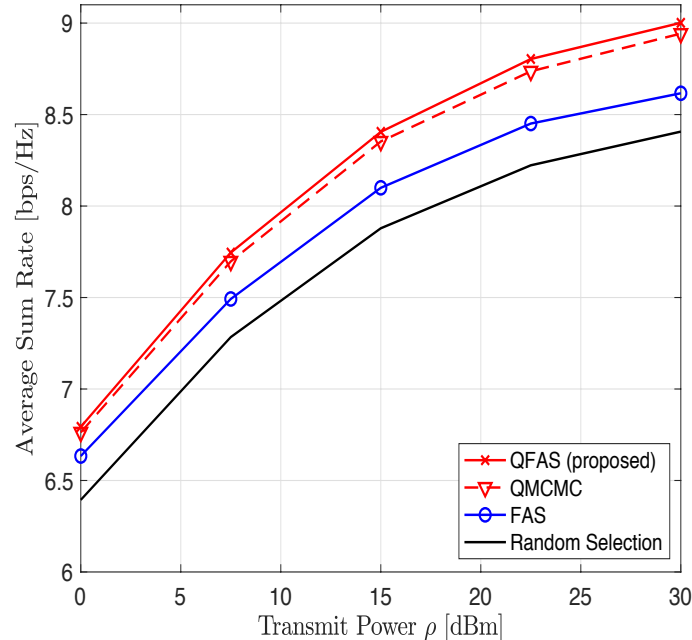
Average Mutual Information vs. Transmit Power

(16 BS antennas, 4 selected antennas) $\times 2$ (32 BS antennas, 8 selected antennas)

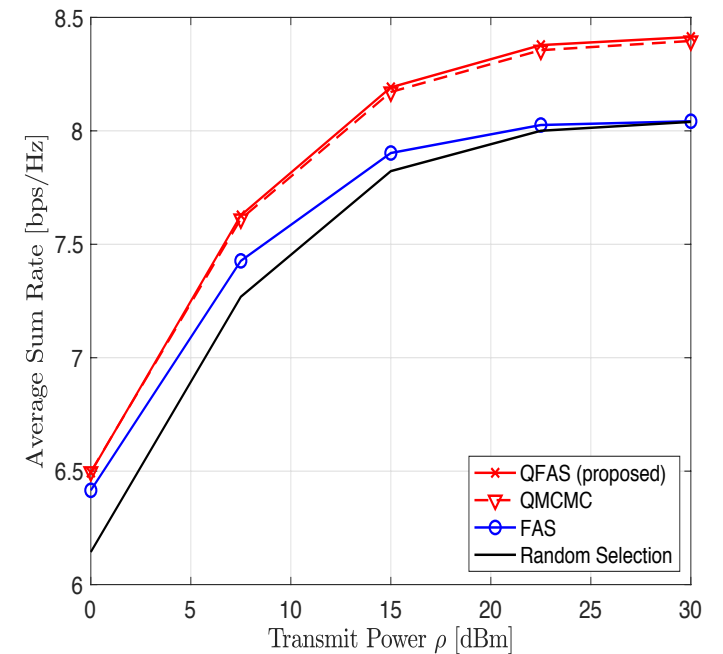
Low sampling / iteration (10, 10)



Medium sampling / iteration (50, 50)



Large sampling / iteration (100, 100)



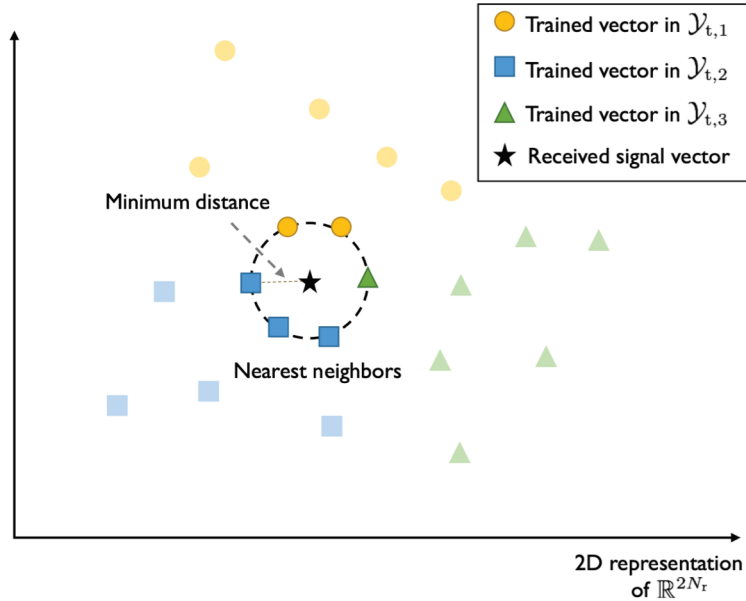
Robust Learning-Based One-Bit ADC Detection

Related publications:

[1]. Jinseok Choi, Yunseong Cho, Brian L. Evans, and Alan Gatherer, "Robust Learning-Based ML Detection for Massive MIMO Systems with One-Bit Quantized Signals", submitted to IEEE Int. Conf. on Communications, 2019.

Other Approaches

Empirical ML Detection



(a) Empirical-maximum-likelihood detection (eMLD)

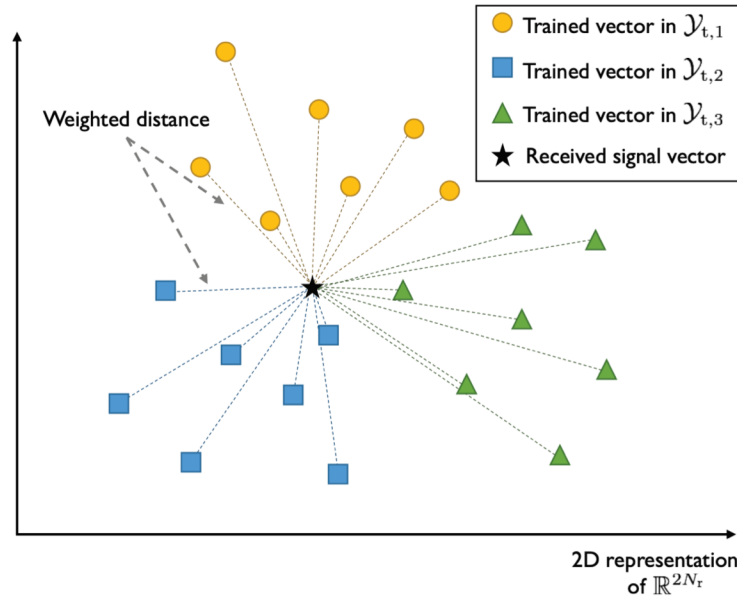
- Set generation

$$\mathcal{N}(\mathbf{y}[n]) = \left\{ \mathbf{y}_t \mid \|\mathbf{y}[n] - \mathbf{y}_t\|_2 = R_{\min}[n], \mathbf{y}_t \in \mathcal{Y}_t \right\}$$

- Detection rule

$$f_{\text{eMLD}}(\mathbf{y}[n]) = \underset{k}{\operatorname{argmax}} \sum_{\mathbf{y} \in \mathcal{N}(\mathbf{y}[n])} \hat{p}(\mathbf{y} | \mathbf{x}_k).$$

Minimum Mean-Distance Detection

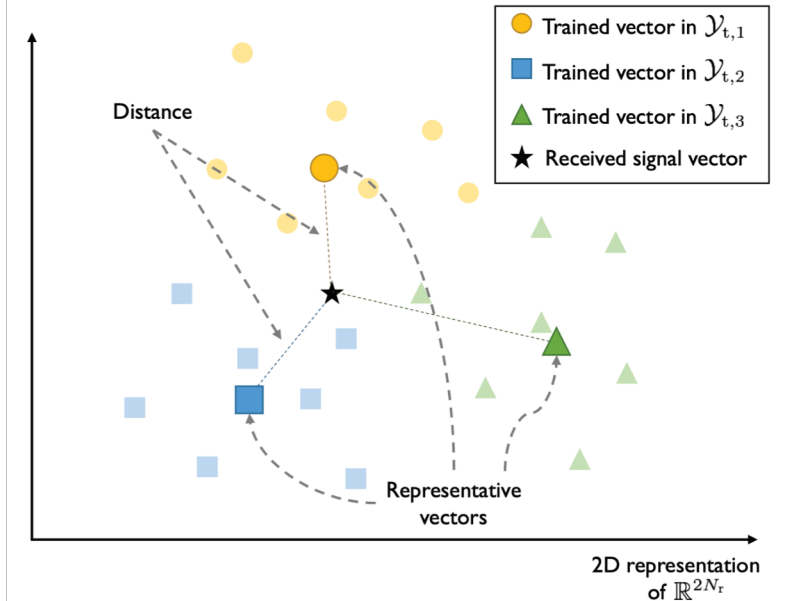


(b) Minimum-mean-distance detection (MMD)

- Detection rule

$$\begin{aligned} f_{\text{MMD}}(\mathbf{y}[n]) &= \underset{k}{\operatorname{argmin}} \mathbb{E}_{\mathbf{y}_t} [\|\mathbf{y}[n] - \mathbf{y}_t\|_2 \mid \mathbf{x} = \mathbf{x}_k] \\ &= \underset{k}{\operatorname{argmin}} \sum_{\mathbf{y}_t \in \mathcal{Y}_{t,k}} \|\mathbf{y}[n] - \mathbf{y}_t\|_2 \hat{p}(\mathbf{y}_t | \mathbf{x}_k), \end{aligned}$$

Minimum Center-Distance Detection



(c) Minimum-center-distance detection (MCD)

- Set generation

$$\bar{\mathbf{y}}_{t,k} \triangleq \mathbb{E}_{\mathbf{y}_t} [\mathbf{y}_t | \mathbf{x} = \mathbf{x}_k] = \sum_{\mathbf{y}_t \in \mathcal{Y}_{t,k}} \mathbf{y}_t \hat{p}(\mathbf{y}_t | \mathbf{x}_k).$$

- Detection rule

$$f_{\text{MCD}}(\mathbf{y}[n]) = \underset{k}{\operatorname{argmin}} \|\mathbf{y}[n] - \bar{\mathbf{y}}_{t,k}\|_2$$

Robust, but far from ML detection with high complexity

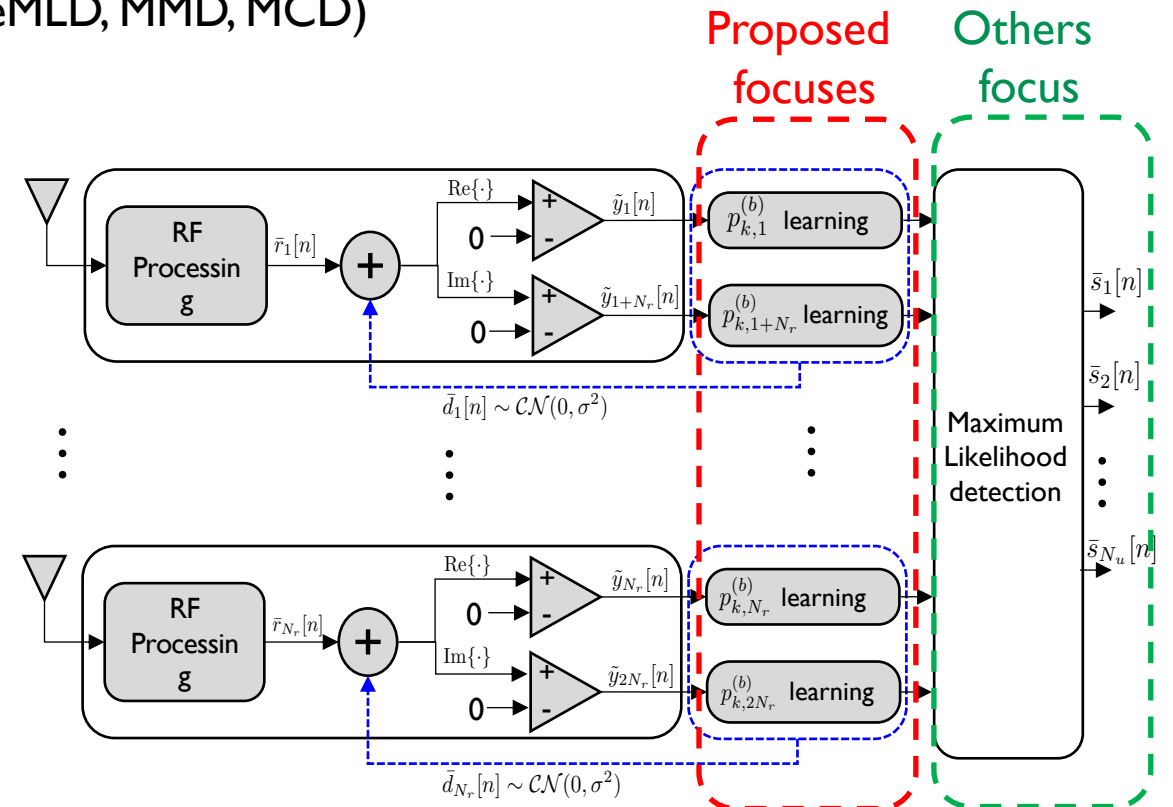
Comparison

□ Proposed method

- **Main goal**
 - how to learn transition probability well
- **Advantages**
 - can be directly applied for ML detection
 - can be directly applied for other approaches (ex. eMLD, MMD, MCD)
- **Disadvantages**
 - depends on dithering variance
 - needs to estimate SNR value

□ Other approaches

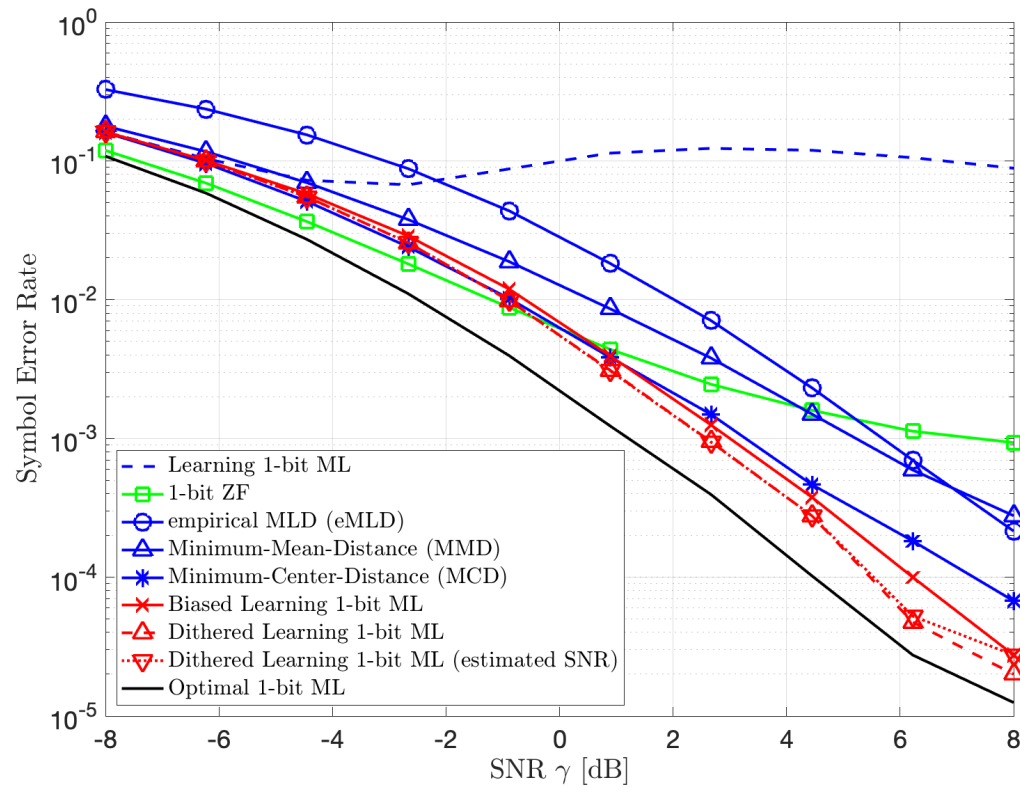
- **Main goal**
 - how to design detection method well
- **Advantages**
 - need trained transition probability only
- **Disadvantages**
 - not ML detection
 - high complexity



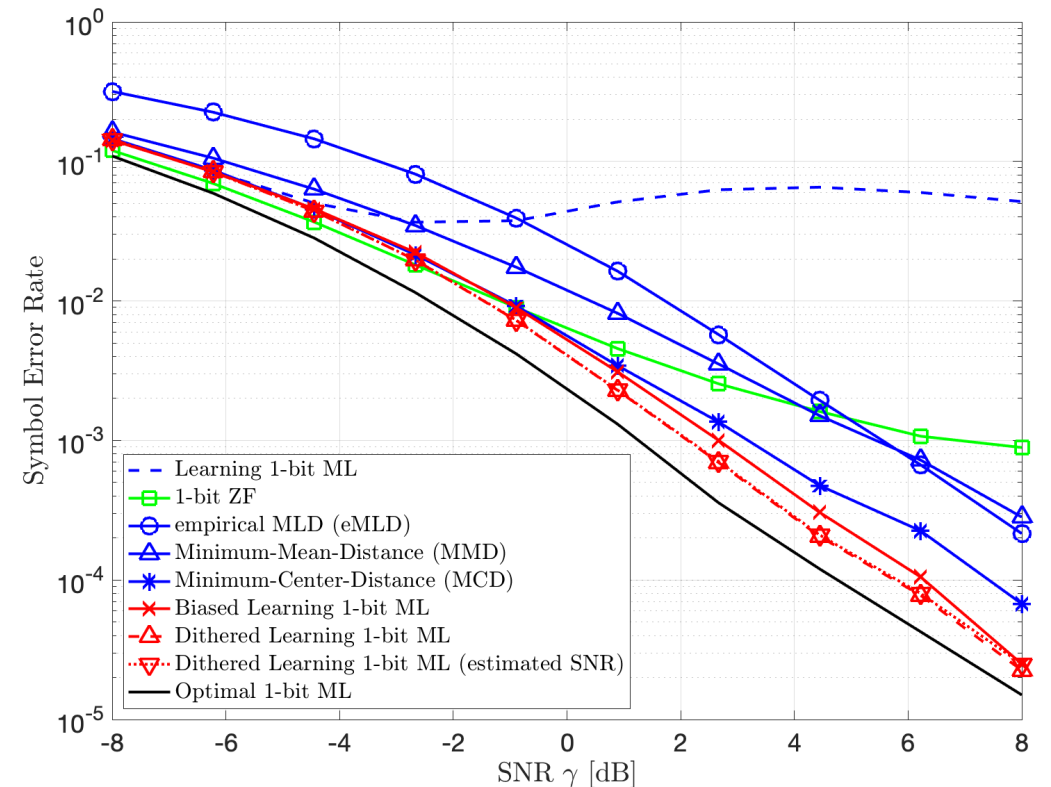
Simulation Results

Symbol Error Rate vs. Transmit Power

Training length: $N_{tr} = 30$



Training length: $N_{tr} = 30$



Settings

32 BS antennas, 4 users, 4-QAM, 1-bit ADCs, Rayleigh fading

Future Work

Extension of Robust-Learning 1-Bit Detection

Deterministic Channel Estimation

Learning-Based One-Bit Detection (Extension I)

Weighted minimum distance detection (wMDD)

- Weighted hamming distance

$$d_{\text{wh}}(\mathbf{x}, \mathbf{y}; \{\alpha_i\}, \{\beta_i\}) \triangleq \sum_{i=1}^N \alpha_i \mathbf{1}_{\{x_i=y_i\}} + \sum_{i=1}^N \beta_i \mathbf{1}_{\{x_i \neq y_i\}},$$

- Scalable to any number of bits
- More robust than conventional ML detection

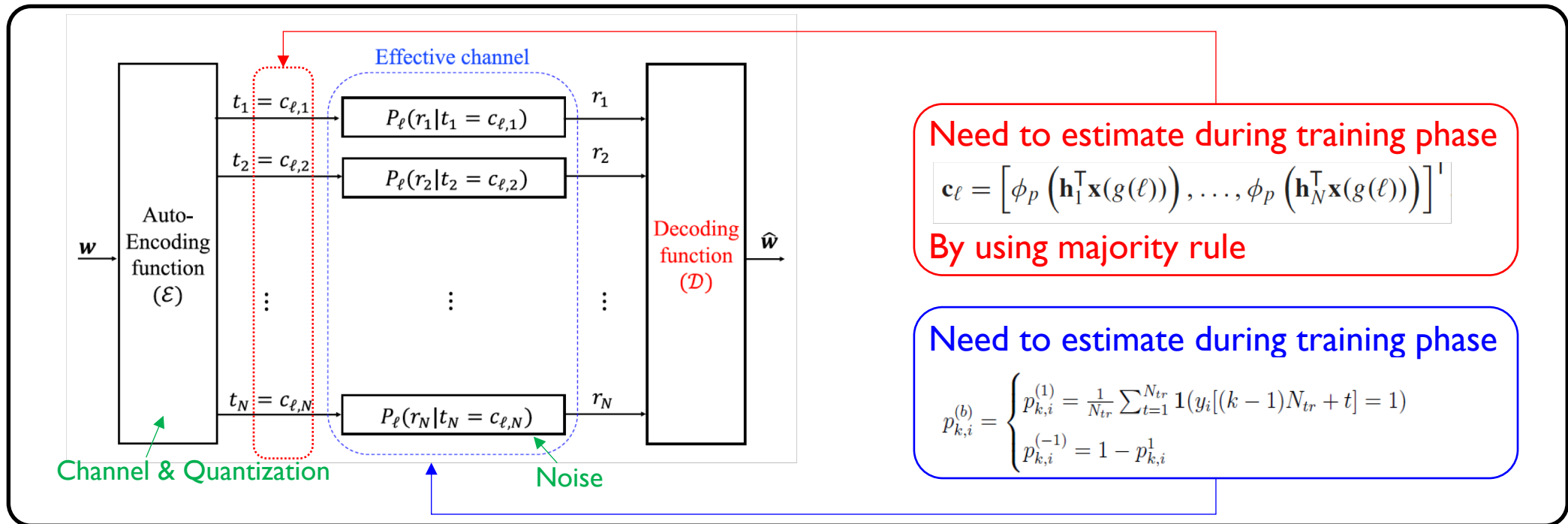
ML detection

$$\alpha_{\ell,i} = -\log(1 - \epsilon_{\ell,i})$$

$$\beta_{\ell,i} = -\log \epsilon_{\ell,i},$$

wMMD

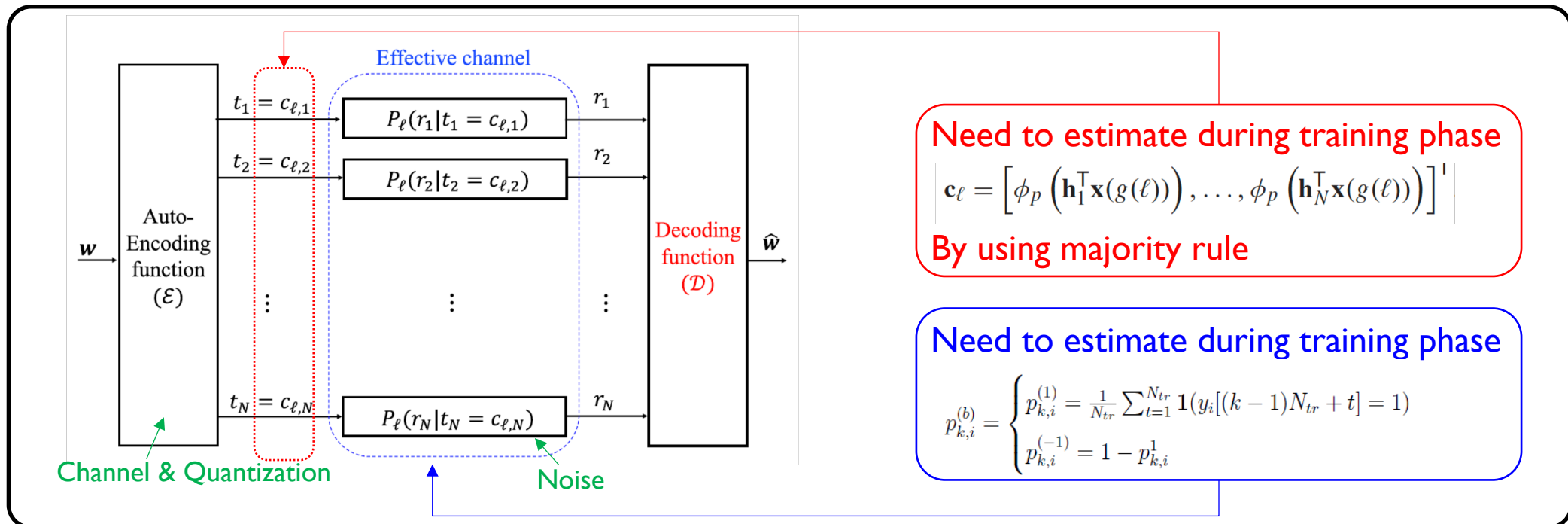
ϵ : error probability
 $c_i \neq r_i$



Learning-Based One-Bit Detection (Extension I)

Weighted minimum distance detection (wMDD)

- Extension with dithering
 - decreases estimation accuracy of \mathbf{c} & increase estimation accuracy of \mathbf{p}
 - provides tradeoff between estimating \mathbf{c} and \mathbf{p}
 - needs to maximize tradeoff by finding optimal dithering variance



Learning-Based One-Bit Detection (Extension II)

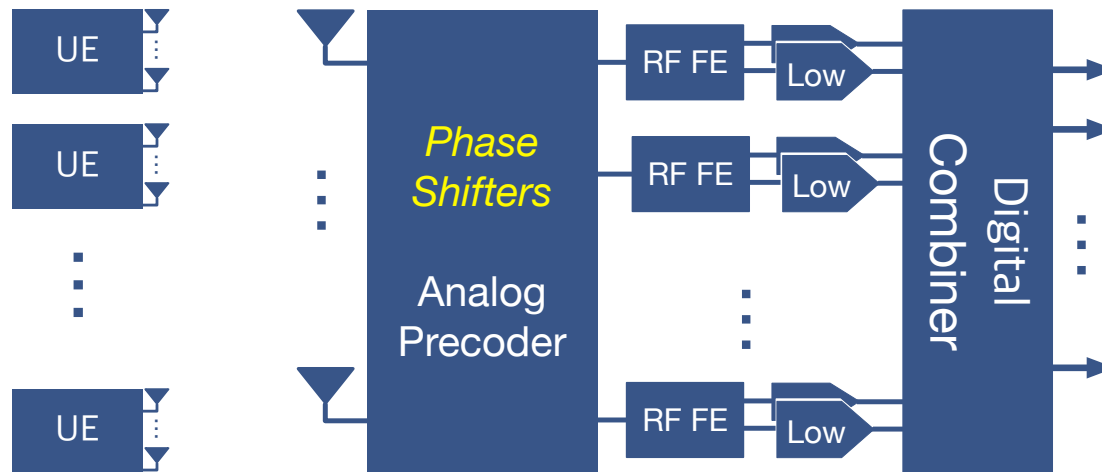
- Channel coded system
 - Proposes soft matrix operation
 - To use state-of-the-art channel codings
 - Proper low-complexity scheme

$$L_{mn-(p-1)}^u(\mathbf{y}[n]) = \log \frac{\prod_{k \in \mathcal{A}_{(p,0)}^u} \prod_{i=1}^{2N_r} \left\{ \hat{p}_{k,i}^{(1)} \mathbf{1}(y_i[n] = 1) + \hat{p}_{k,i}^{(-1)} \mathbf{1}(y_i[n] = -1) \right\}}{\prod_{k \in \mathcal{A}_{(p,1)}^u} \prod_{i=1}^{2N_r} \left\{ \hat{p}_{k,i}^{(1)} \mathbf{1}(y_i[n] = 1) + \hat{p}_{k,i}^{(-1)} \mathbf{1}(y_i[n] = -1) \right\}}$$

$$\mathcal{A}_{(p,j)}^u = \bigcup_{\mathbf{b} \in \{0,1\}^m, b_p=j} \{k: \mathcal{S}^u = f(\mathbf{b})\} \quad \text{where} \quad \begin{array}{l} u \in \{1, \dots, N_u\} \\ f: M - \text{QAM modulation} \\ m = \log_2 M \\ p \in \{1, \dots, m\} \end{array}$$

Channel Estimation (Extension)

Deterministic hybrid beamformer design for channel estimation



Extension covers:

- Compressed sensing based algorithms
- Millimeter wave frequencies
- Frequency-selective channels
- Phase shifter based architecture
- Low-resolution ADCs
- Uplink

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- [3] Jinseok Choi, Gilwon Lee, and Brian L. Evans, "Two-Stage Analog Combining in Hybrid Beamforming Systems with Low-Resolution ADCs", *IEEE Trans. Commun.* (under revision)
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