#### Space-Time-Frequency Methods for Interference-Limited Communication Systems

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#### PHD DEFENSE

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#### COMMITTEE MEMBERS

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Wireless Networking & Communications Group

### Wireless Research – Some Perspective

Pope Election 2005

Pope Election 2013



What a difference in just 8 years!

### Relentless Demand for More Data





Industry Forecasts of Mobile Data Traffic



From Mobile Broadband: The Benefits of Additional Spectrum (FCC Report 10/2010)

#### Wgaa Kookies Receivings Were Like...



#### **Interference-Limited Communications**



• Thesis statement:

Multi-dimensional signal processing methods can be applied to dramatically enhance communication performance <u>without</u> sacrificing real-time requirements.

### Contributions

#### Space-Time-Frequency Methods for Interference-Limited Communication Systems

Space-Time for Underwater Acoustic	<ul> <li>Wideband, space-time interference suppression</li> <li>Sum-efficiencies 10x above prior state-of-the-art</li> </ul>
Time-Frequency for Powerline	<ul> <li>Cyclic modulation and impulsive noise mitigation</li> <li>Up to 28 dB operating point improvements</li> </ul>
Space-Time- Frequency for Cellular	<ul> <li>Real-time framework for up to 128 antenna MIMO</li> <li>Used in world's first 100-antenna testbed</li> </ul>

![](_page_6_Picture_0.jpeg)

**First Contribution** 

# Space-Time Methods for Underwater Acoustic Communications

Figure taken from: http://www.l-3mps.com/maripro/throughwateracousticcomm.aspx

# **Underwater Acoustic Physics**

- Data is modulated on longitudinal acoustic pressure waves
- Different physics from radio frequency (RF) propagation
  - 200,000x slower than RF in free space
  - Highly complex propagation, particularly in shallow water environments

![](_page_7_Figure_5.jpeg)

Typical Medium Range System

# **Time-Frequency Coherence**

http://ltesignaling.blogspot.com/2011/12/radio-interface-basics.html Wideband methods must (dB) be used due to large magnitude of relative bandwidths autocorrelation coherence Slow sound speed coherence time bandwidth  $\rightarrow$  doubly-selective Adaptive equalization 075 15 225 3 supports fixed time/ frequenc) bandwidth area Acoustic **RF** Cellular 3.3 ms 2 µs RMS delay spread 1.2 ms coherence time 1 ms 0.01  $3.24 \times 10^{-7}$ Doppler dilation factor 1.0 for  $f_c = 30$  kHz, 0.0072 for  $f_c = 2.6$  GHz, relative bandwidth 18 MHz bandwidth 30 kHz bandwidth

# **Space-Time-Frequency Coherence**

![](_page_9_Figure_1.jpeg)

### Adaptive Space-Time Interference Suppression

- Space-time monopulse prefilter applied to array outputs[Hen85]
- Beam pairs with frequency-invariant properties are produced

![](_page_10_Figure_3.jpeg)

• Broadband beampattern has no nulls, yet linear combination can be used to create beam x(t) with deep null at angle  $\theta_n$ 

$$x(t) = s_1(t) - (\sin \theta_n - \sin \theta_s) s_0(t)$$

- Reduction in channel count has two benefits
  - 1. Computational complexity is substantially reduced
  - 2. Time-frequency coherence of adaptive equalizer is increased

# Shallow Water Acoustic Data Collection

- Mobile research vessel transmits back to stationary array at test station
- ~5 TB of acoustic data collected and analyzed over 2 yr project
  - Methods developed for Doppler tracking[Per10], monopulse[Nie10a], and equalizer design[Nie10b]

![](_page_11_Figure_4.jpeg)

Overhead view of Lake Travis Test Station with overlaid bathymetric map

# **Prior Empirical Results**

- Close fit to *empirical range-rate bound* of 40 kbps/km<sub>[Ki00]</sub>
  - Target bit-error-rates of 10<sup>-1</sup> and 10<sup>-2</sup>

Method	Number of Elements/ Array Geometry	Center Frequency (kHz)	Range (km)	Rate (kbps)	Bound (kbps)	Sum-Rate Efficiency (bps/Hz)
Multi-Channel Adaptive Equalization <sub>[Fre08]</sub>	8 vertical or horizontal line, multi- user	23	0.5-2	2.8	20	0.56
Channel Eigen Decomposition	64 cross-beam	24	3.2	16	12.5	1.0
Spatial Filter then Equalizing [Yan07]	32 vertical line	1.2	10	0.4	4	1.0
OFDM <sub>[Sto08]</sub>	8 vertical	25	1	24	40	2.0
Single-Carrier MIMO <sub>[Tao10]</sub>	8 vertical receive, 2 vertical transmit	17	1-3	32	13.3	2.3

#### Spatial-Division Multiple Access (SDMA) + Monopulse

![](_page_13_Figure_1.jpeg)

- Multiple azimuthal users supported via orthogonal beam set
- Monopulse dynamically suppresses up to 14 dB interference
- Achieved sum rate of 28 bps/Hz serving 40° sector

# **New Empirical Results**

- Achieved sum-spectral efficiencies **10x** prior state-of-the-art
  - Target bit-error-rates of 10<sup>-1</sup> and 10<sup>-2</sup>

Method	Number of Elements/ Array Geometry	Center Frequency (kHz)	Range (km)	Rate (kbps)	Bound (kbps)	Sum-Rate Efficiency (bps/Hz)
Multi-Channel Adaptive Equalization <sub>[Fre08]</sub>	8 vertical or horizontal line, multi- user	23	0.5-2	2.8	20	0.56
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Single-Carrier MIMO <sub>[Tao10]</sub>	8 vertical receive, 2 vertical transmit	17	1-3	32	13.3	2.3
Monopulse + SDMA <sub>[Nie11]</sub>	2-D w/ hundreds, 7 simultaneous users			1400		28

#### Highlights

Develop methods for enhanced Doppler tracking and equalization

Develop space-time reverberation (interference) reduction method

Demonstrate sum spectral efficiencies **10x** above prior state-of-the-art

#### Relevant work

- [Nie11] K. F. Nieman, K. A. Perrine, T. L. Henderson, K. H. Lent, and T. J. Brudner, "Sonar arraybased acoustic communication receivers with wideband monopulse processing," *USN Journal of Underwater Acoustics*, 61(2), 2011.
- [Nie10a] K.F. Nieman, K.A. Perrine, T.L. Henderson, K.H. Lent, T.J. Brudner, and B.L. Evans, Wideband monopulse spatial Itering for large receiver arrays for reverberant underwater communication channels. *Proc. IEEE OCEANS*, 2010.
- [Per10] K.A. Perrine, K.F. Nieman, T.L. Henderson, K.H. Lent, T.J. Brudner, and B.L. Evans. Doppler estimation and correction for shallow underwater acoustic communications. *Proc. IEEE Asilomar Conference on Signals, Systems, and Computers*, 2010.
- [Nie10b] K.F. Nieman, K.A. Perrine, K.H. Lent, T.L. Henderson, T.J. Brudner, and B.L. Evans. Multi-stage and sparse equalizer design for communication systems in reverberant underwater channels. *Proc. IEEE Workshop on Signal Processing Systems*, 2010.

![](_page_16_Figure_0.jpeg)

# Time-Frequency Methods for OFDM Powerline Communications

# Powerline Communications (PLC)

- Power grid originally designed for power distribution
- Form networks by coupling in communication signals

![](_page_17_Figure_3.jpeg)

# PLC Noise in the 0-200 kHz Band

- Primary components
  - 1. Cyclostationary
  - 2. Asynchronous impulsive
- Sources include
  - Light dimmers/ballasts
  - Switching converters
  - Induction motors
  - Rectifiers
- Limited noise mitigation in PLC standards:
  - G3-PLC<sub>[Max11]</sub>
  - PRIME
  - IEEE P1901.2[lee13]
  - ITU G.9901-9904<sub>[Itu13]</sub>

![](_page_18_Figure_14.jpeg)

# **Conventional OFDM PLC System**

![](_page_19_Figure_1.jpeg)

- Built upon orthogonal frequency-division multiplexing (OFDM)
  - Splits communication signal into orthogonal sub-bands
- Standards address cyclic and impulsive noise through
  - Robust modulation, interleaving, and error-correcting codes
  - Designed to uniformly distribute signal <u>not rate optimal</u>

# Proposed OFDM PLC System

![](_page_20_Figure_1.jpeg)

# Impulsive Noise Mitigation Techniques

- Compressive sensing approach used for low impulse power
- AMP provides best performance vs. complexity tradeoff

Method	Impulse Low	e Power High	Non- Parametric?	Computational Complexity
Nulling/ Clipping <sub>[Tse12]</sub>		V		Low
Iterative Decoding for OFDM <sub>[Har00]</sub>		$\checkmark$		High
Thresholded Least Squares/MMSE <sub>[Cai08]</sub>		$\checkmark$		Med
Sparse Bayesian Learning <sub>[Lin13]</sub>	V	$\checkmark$	$\checkmark$	High (matrix inversion)
/ <sub>1</sub> -norm minimization <sub>[Cai08]</sub>	V	$\checkmark$	~	High
Approximate Message Passing (AMP) <sub>[Nas13, Nie13]</sub>	V	V		Med

### **Implementation Process**

Implemented using field programmable gate arrays (FPGAs)[Nie13b]

![](_page_22_Figure_2.jpeg)

#### Real-Time Measurements in Impulsive Noise

• Up to <u>8 dB</u> of impulsive noise mitigated in real-time testbed

![](_page_23_Figure_2.jpeg)

# Cyclic Adaptive Modulation and Coding

![](_page_24_Figure_1.jpeg)

using SNR estimate  $\mathbf{S}$ 

- Transmitter and receiver exchange tone map  $C^{\star}$
- Circularly index tone map

modulation	bits/subcarrier
D8PSK	3
DQPSK	2
DBPSK	1
ROBO	0.25

![](_page_24_Figure_6.jpeg)

# Simulations Using P1901.2 Noise Model

![](_page_25_Figure_1.jpeg)

#### Case C: Cyclostationary + Narrowband Noise

![](_page_26_Figure_1.jpeg)

#### **Highlights**

Conduct noise measurement campaign and cyclic spectral analysis

Implement real-time impulsive noise mitigation testbed for PLC

Develop cyclic adaptive modulation and coding scheme for OFDM

Achieved up to 8 dB noise mitigation in real-time and 28 dB operating point shifts

#### Relevant work

[Nie13a] – K.F. Nieman, J. Lin, M. Nassar, K. Waheed, and B.L. Evans, "Cyclic spectral analysis of power line noise in the 3-200 kHz band," *Proc. IEEE ISPLC*, 2013. Won best paper award
 [Nie13b] – K.F. Nieman, M. Nassar, J. Lin, and B.L. Evans, "FPGA implementation of a message-passing OFDM receiver for impulsive noise channels. *Proc. IEEE Asilomar Conf. on Signals, Systems, and Computers*, 2013. Won best student paper Architecture and Implementation Track
 [Wah14] – K. Waheen, K. F. Nieman, Adaptive cyclic channel coding for orthogonal frequency division multiplexed (OFDM) systems, US patent pending, 2014.

![](_page_28_Picture_0.jpeg)

Third Contribution

# Space-Time-Frequency Methods for Multi-Antenna Cellular Communications

http://www.steelintheair.com/Cell-Phone-Tower.html

# Multiple-Input, Multiple-Output (MIMO)

- Multiple antennas at transmitter and/or receiver
  - Higher robustness via space-time block codes
  - Increased rate via spatial multiplexing
- Can be extended to multi-user MIMO (MU-MIMO)
  - Serve multiple simultaneous users via spatial-division multiple access
  - Over same bandwidth, same time slot, just more antennas

![](_page_29_Figure_7.jpeg)

# Massive MIMO (Scaling Up MU-MIMO)

![](_page_30_Figure_1.jpeg)

- Scale  $N_{BS}$  by an order of magnitude over existing standards
  - LTE-A provisions  $N_{BS} \le 8$ , so increase to  $N_{BS} = 64$ , 100, 128
- Challenges for Massive MIMO
  - Scaling data rates and interfaces to support large N<sub>BS</sub>
  - Low-latency for channel reciprocity (fast switch from uplink to downlink)
  - Synchronizing radios across  $N_{BS}$  basestation antennas

# Existing Massive MIMO Testbeds

Several research groups have developed test systems

Group	Band (GHz)	Hardware Platform	Number of Antennas at Basestation	Number of Users	Real-time MIMO Processing?
Lund University <sup>[Rus13]</sup>	2.6	Network Analyzer	128 cylindrical array	6	No <sup>1</sup>
Rice University <sup>[She12]</sup>	2.4	WARP boards, powerPC	8 x 8 = 64 planar array	15	No <sup>2</sup>
Samsung FD-MIMO <sup>[Sam13]</sup>	<5	Proprietary w/ Freescale DSPs	8 x 8 = 64 planar array	?	Yes <sup>3</sup>

<sup>1</sup> Data collected over long duration (hours) where channel is assumed constant; post-processed.
 <sup>2</sup> Experimental results based on SINR measured at UE w/ high latency (100 ms) beamforming over 0.625 MHz of bandwidth. Currently working on lower latency, higher BW system.
 <sup>3</sup> Proprietary system; not many public details available except that 1 Gb/s achieved at 2 km.

# Proposed Massive MIMO Test Platform

#### New platform allows for real-time, off-the-shelf solution

Group	Band (GHz)	Hardware Platform	Number of Antennas at Basestation	Number of Users	Real-time MIMO Processing?
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Proposed	1.2-6	National Instruments USRP	Up to 128	10	Yes <sup>1</sup>

<sup>1</sup>20 MHz bandwidth w/ less than 1 ms latency.

# **Channel State Acquisition and Processing**

![](_page_33_Figure_1.jpeg)

- Supports different precoders zero-forcing, MRT, etc.
- Uses OFDM signaling in uplink and downlink
  - Divide processing via orthognal sub-bands to meet hardware limitations
- Assumption of channel reciprocity requires:
  - Fast switching between uplink and downlink (< channel coherence time)
  - Compensation of RF impairments (transmit and receiver response)

# Mapping to Hardware

![](_page_34_Figure_1.jpeg)

# Lund University (100-Antenna) Testbed

160-element dualpolarized array allows different geometries to be explored

cabled PCI-Express to switches and controller

distributed processing of 120 MS/s \* 32 bits/S/channel \* 100 channels = **384 Gb/s** in uplink and downlink directions

![](_page_35_Picture_4.jpeg)

# Phase and Time Synchronization Results

![](_page_36_Figure_1.jpeg)

# 100-Antenna Uplink MIMO Constellation

![](_page_37_Figure_1.jpeg)

# **Contribution 3 Summary**

#### Highlights

Develop a commercial, off-the-shelf solution for up to 128-antenna MIMO

Scale data rates/interfaces, minimize latency, and distribute synchronization

Presented first results of 100-antenna MIMO

Relevant work

- [Nie13] K. F. Nieman and B. L. Evans, "Time-Domain Compression of Complex-Baseband LTE Signals for Cloud Radio Access Networks", *Proc. IEEE Global Conference on Signal and Information Processing*, 2013.
- [Hua12] H. Huang, K. Nieman, P. Chen, M. Ferrari, Y. Hu, and D. Akinwande, "Properties and applications of electrically small folded ellipsoidal helix antenna", *IEEE Antennas and Wireless Propagation Letters*, 2012.
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- [Vei14] J. Vieira, S. Malkowsky, K. F. Nieman, Z. Miers, N. Kundargi, L. Liu, I. Wong, V. Owall, O. Edfors, and F. Tufvesson, "A flexible 100-antenna testbed for Massive MIMO", *Proc. IEEE Global Communication Conference (GLOBECOM)*, 2014, accepted for publication.
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- [Won14] I. C. Wong, K. F. Nieman, and N. U. Kundargi, "Signaling and frame structure for Massive MIMO cellular telecommunication systems", 2014, US patent pending.
- [Kun14] N. U. Kundargi, I. C. Wong, and K. F. Nieman, Distributed low latency Massive MIMO telecommunication transceiver processing framework and use," 2014, US patent pending
- [Nie14] K. F. Nieman, N. Kundargi, I. Wong, and B. L. Evans, "High speed processing framework for high channel count MIMO", *Proc. IEEE ISCAS*, 2014, to be submitted.

# Summary of Contributions

Multi-dimensional signal processing methods can be applied to dramatically enhance communication performance <u>without</u> sacrificing real-time requirements.

![](_page_39_Figure_2.jpeg)

# Summary of Relevant Work by Presenter

- [Nie10a] K.F. Nieman, K.A. Perrine, T.L. Henderson, K.H. Lent, T.J. Brudner, and B.L. Evans, Wideband monopulse spatial Itering for large receiver arrays for reverberant underwater communication channels. *Proc. IEEE OCEANS*, 2010.
- [Per10] K.A. Perrine, K.F. Nieman, T.L. Henderson, K.H. Lent, T.J. Brudner, and B.L. Evans. Doppler estimation and correction for shallow underwater acoustic communications. *Proc. IEEE Asilomar Conference on Signals, Systems, and Computers*, 2010.
- [Nie10b] K.F. Nieman, K.A. Perrine, K.H. Lent, T.L. Henderson, T.J. Brudner, and B.L. Evans. Multi-stage and sparse equalizer design for communication systems in reverberant underwater channels. *Proc. IEEE Workshop on Signal Processing Systems*, 2010.
- [Nie11] K. F. Nieman, K. A. Perrine, T. L. Henderson, K. H. Lent, and T. J. Brudner, "Sonar array-based acoustic communication receivers with wideband monopulse processing," USN Journal of Underwater Acoustics, 61(2), 2011.
- [Hua11] H. Huang, K. Nieman, Y. Hu, and D. Akinwande, "Electrically small folded ellipsoidal helix antenna for medical implant applications", *Proc. IEEE International Symposium on Antennas and Propagation*, 2011.
- [Hua12] H. Huang, K. Nieman, P. Chen, M. Ferrari, Y. Hu, and D. Akinwande, "Properties and applications of electrically small folded ellipsoidal helix antenna", *IEEE Antennas and Wireless Propagation Letters*, 2012.
- [Nie13a] K.F. Nieman, Jing Lin, M. Nassar, K. Waheed, and B.L. Evans, "Cyclic spectral analysis of power line noise in the 3-200 kHz band," Proc. IEEE Conf. on Power Line Communications and Its Applications, 2013. Won best paper award
- [Nie13b] K.F. Nieman, M. Nassar, Jing Lin, and B.L. Evans, "FPGA implementation of a message-passing OFDM receiver for impulsive noise channels. *Proc. IEEE Asilomar Conf. on Signals, Systems, and Computers*, 2013. Won best student paper Architecture and Implementation Track, took 2<sup>nd</sup> place overall
- [Nie13c] K. F. Nieman and B. L. Evans, "Time-Domain Compression of Complex-Baseband LTE Signals for Cloud Radio Access Networks", *Proc. IEEE Global Conference on Signal and Information Processing*, 2013.
- [Vei14] J. Vieira, S. Malkowsky, K. F. Nieman, Z. Miers, N. Kundargi, L. Liu, I. Wong, V. Owall, O. Edfors, and F. Tufvesson, "A flexible 100antenna testbed for Massive MIMO", *Proc. IEEE Global Communication Conference (GLOBECOM)*, 2014, accepted for publication.
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- [Won14] I. C. Wong, K. F. Nieman, and N. U. Kundargi, "Signaling and frame structure for Massive MIMO cellular telecommunication systems", 2014, US patent pending.
- [Kun14] N. U. Kundargi, I. C. Wong, and K. F. Nieman, Distributed low latency Massive MIMO telecommunication transceiver processing framework and use," 2014, US patent pending

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### Questions?