# Department of Electrical and Computer Engineering The University of Texas at Austin 

EE 460N Spring 2019
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Exam 2
April 17, 2019

Name:

Problem 1 (20 points): $\qquad$

Problem 2 (20 points): $\qquad$
Problem 3 (30 points): $\qquad$

Problem 4 (30 points): $\qquad$
Total (100 points): $\qquad$

Note: Please be sure that your answers to all questions (and all supporting work that is required) are contained in the space provided.

Note: Please be sure your name is recorded on each sheet of the exam.

Please read the following sentence, and if you agree, sign where requested: I have not given nor received any unauthorized help on this exam.

Signature: $\qquad$

## GOOD LUCK!

Name: $\qquad$

Problem 1 (20 points): Note: For each of the four answers below, if you leave the box empty, you will receive one point of the five.

Part a ( 5 points): In an asynchronous system, a device controller in the Idle state receives the Bus Grant (BG) signal. If its device does not want the bus, it passes the BG signal to the next device at the same priority level. The controller can stop asserting the BG signal and return to Idle (a) when it receives the SACK signal or (b) when it stops receiving the BG signal. Using one of these is problematic. Which one and why?
$\square$

Part b (5 points): The VAX ALU performs addition on 32 bit, 2's complement integers. It can perform BCD arithmetic on 8 BCD digits with the assistance of a register that is hard-wired to x 66666666 . What is the purpose of the value x66666666. Please be specific.

Part c (5 points): A microarchitecture executes instructions in program order with a ten-stage pipeline and obeys the requirement for precise exceptions. Assume we have ten instructions in various stages of execution. Instruction 1 is in the last stage of the pipeline, about to complete execution. Instruction 10 has just entered the pipeline. Instruction 4, in the 7th pipeline stage, takes a page fault. What should the microarchitecture do before turning control over to the exception service routine to process the page fault.

## PROBLEM CONTINUES ON NEXT PAGE

Part d (5 points): You are given a 16-bit physical memory with the address bits broken down as follows:


The elements of an array containing 32-bit integers are accessed. Program A accesses consecutive elements in the array. Program B accesses elements at even indices. Memory takes more than 3 cycles to access. Given both programs access the same number of elements, which one takes longer to complete the array accesses? Explain.
$\square$

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## Problem 2 (20 points):

Part a ( 10 points): A 64-byte physical memory has a direct mapped cache consisting of 4 cache lines, where the line size is L bytes. The cache is initially empty.

Six byte-accesses were made to the cache, as shown in the table below on the left. After the six accesses have completed, the state of the Tag Store is as shown below on the right.

Your job: Determine L, the line size of the cache. Also, fill in the missing address bits of the six accesses.

Cache line size:


The six accesses

| Access | Hit/Miss | Address |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | M | 0 |  | 0 |  | 1 | 0 |
| 2 | M | 0 | 1 | 0 |  | 0 | 0 |
| 3 | H |  | 0 |  | 0 |  | 1 |
| 4 | M | 1 | 1 | 1 | 0 |  | 1 |
| 5 | M |  |  |  |  | 0 | 0 |
| 6 | M |  |  |  | 1 |  | 1 |

State of Tag Store after the six accesses

| Set | Valid | Tag bits |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 1 | 0 | 1 |
| 1 | 1 | 0 | 0 | 0 |
| 2 | 0 | 0 | 1 | 0 |
| 3 | 1 | 1 | 1 | 0 |

Name: $\qquad$

Part b (10 points): Replace the direct-mapped cache with a 2-way set associative cache with LRU replacement. Physical address space has not changed. We still have four cache lines, but the line size may have changed. The cache is initially empty.

Again, six byte-accesses were made to the cache, as shown in the table below. After the six accesses have completed, the state of the Tag Store is as shown in the next table.

Your job: Determine L, the line size of the cache. Also, fill in the missing address bits of the six accesses.

Cache line size:


The six accesses

| Access | Hit/Miss | Address |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | M | 1 | 1 | 1 | 0 | 1 |  |  |
| 2 | H |  |  |  |  |  |  |  |
| 3 | M |  | 1 |  |  |  |  |  |
| 4 | M |  |  |  |  | 1 |  |  |
| 5 | M |  | 0 |  | 0 |  |  |  |
| 6 | H | 1 |  | 1 |  |  |  |  |

State of Tag Store after the six accesses

| Set | Valid | Tag bits |  |  |  |  | Valid | Tag bits |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 1 |

Name: $\qquad$

Problem 3 ( 30 points): There are times when more than one process wants to be able to read a single page of data, but occasionally wants to write to that page also. For example, suppose process X has page A in physical frame M. Suppose process Y has page B that happens to contain the same data as page A. The O/S could allocate a second frame of memory for process Y's page B. However, since the contents of process X's page A and process Y's page B contain the same information, the $\mathrm{O} / \mathrm{S}$ can save a frame of memory if it simply has the corresponding PTEs point to the same frame, and designates in their respective PTEs that these pages are sharing the same frame (marking them both as Read-Only), even though the two processes have nothing to do with each other. If later, one of the processes wants to write to that page, an exception will occur, and the $\mathrm{O} / \mathrm{S}$ can at that time allocate a second frame, copying the contents of the frame that both processes were reading. In the vernacular, this is referred to as a "copy-on-write." That is, until the data on the two pages are different (due to writing), there is no reason to copy the page to a second frame. This approach is often called lazy copying since the O/S does not copy until absolutely necessary.

The O/S action of designating PTEs to point to the same frame is copy-on-write setup. The actual copying of the contents of the shared frame to a second frame is performing the copy-on-write. In this problem, we will only deal with copy-on-write setup.

Suppose we augment the LC-3b with VAX-like virtual memory. "VAX-like" so we don't have to deal with such large numbers. For example, we will use 16 bits for virtual addresses, 14 bits for physical addresses, and page size of 256 bytes. Process virtual space: x0000 to x7FFF, System space: x8000 to FFFF.

A PTE is 2 bytes with the following format:


The PROT bits specify:
No Access: 00
Read-Only: 01
Read \& Write: 10

Assume that SBR $=0 x 0100$, Process X's Process Space Page Table Base Register $(P B R)=0 x 9500$, Process Y's PBR $=0 \times 9700$.
System Length Register $(L R)=0 x 18$, Process X's Length Register $(L R)=0 x 3 A$, Process Y's LR $=0 x 18$.
Part a (4 points): How many bits should SBR be? How many bits should Process X's PBR be?

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Parts b), c) and d) on the next page assume the contents of memory are as shown below.

| Physical <br> Address | Contents | Physical <br> Address | Contents | Physical <br> Address | Contents |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\ldots .$. | $\ldots \ldots$ | $\ldots \ldots$ | $\ldots \ldots$ | $\ldots \ldots$ | $\ldots \ldots$ |
| 0x0110 | 0x8235 | 0x2160 | 0x8218 | 0x2920 | 0x8224 |
| 0x0112 | 0x801B | 0x2162 | 0x0876 | 0x2922 | 0x0828 |
| 0x0114 | 0x0A2C | 0x2164 | 0x094F | 0x2924 | 0x8215 |
| 0x0116 | 0x8007 | 0x2166 | 0x0835 | 0x2926 | 0x7856 |
| 0x0118 | 0x8001 | 0x2168 | 0x8238 | 0x2928 | 0x8245 |
| 0x011A | 0x8000 | 0x216A | 0x8224 | 0x292A | 0x8038 |
| 0x011C | 0x021C | 0x216C | 0x8012 | 0x292C | 0x810F |
| 0x011E | 0x8212 | 0x216E | 0x0323 | 0x292E | 0x002F |
| 0x0120 | 0x8003 | 0x2170 | 0x810F | 0x2930 | 0x020F |
| 0x0122 | 0x0211 | 0x2172 | 0x0023 | 0x2932 | 0x4493 |
| 0x0124 | 0x8120 | 0x2174 | 0x010F | 0x2934 | 0x23AB |
| 0x0126 | 0x8109 | 0x2176 | 0xFEC7 | 0x2936 | 0xEF7C |
| 0x0128 | 0x8127 | 0x2178 | 0x800E | 0x2938 | 0xEE23 |
| 0x012A | 0x8121 | 0x217A | 0x5678 | 0x293A | 0x800F |
| 0x012C | 0x0228 | 0x217C | 0x17BD | 0x293C | 0x000F |
| 0x012E | 0x8129 | 0x217E | 0x821E | 0x293E | 0x881E |
| $\ldots .$. | $\ldots .$. | $\ldots \ldots$ | $\ldots .$. | $\ldots$. | ... |

Name: $\qquad$

Part b ( 6 points): The O/S wishes to setup page $0 \times 12$ in process Y's process address space as a copy of page $0 \times 34$ in process X's process address space. What is the PFN for page $\mathbf{0 x} 34$ in process $X$ 's address space?

Part c (12 points): Now that the $\mathrm{O} / \mathrm{S}$ has found where in physical memory the source page (page $0 \times 34$ ) is located, it wishes to setup the copy-on-write. Your job: Set up the PTE for page 0x12, the destination page, in process Y's address space.

Where is this PTE located in Process Y's virtual address space?

## What is the physical address of this PTE?

Specify the bits in the PTE:


Part d (8 points): At the time the snapshot of memory on the previous page is taken, frame $0 \times 0 \mathrm{~F}$ in physical memory is another frame whose permissions are setup to be read-only for process $X$ and $Y$ via copy-on-write. Your job: Locate the pages in process X and process Y that are mapped to frame 0 x 0 F .

## What is the VPN of the page mapped to frame $0 x 0 \mathrm{~F}$ in process X 's address space?

$\square$
What is the VPN of the page mapped to frame $0 x 0 F$ in process Y's address space?
$\square$

Name: $\qquad$

Problem 4 ( $\mathbf{3 0}$ points): A Binary Search Tree is a useful data structure for searching quickly for a value in a sorted list. The Binary Search Tree has the property that for every node in the tree, if we consider that node as the root of two subtrees, all nodes in its left subtree have values smaller than the value in the root, and all nodes in its right subtree have values greater than the value in root, as shown in the example below. The root has value 7 , there is one node in the left subtree, its value is 3 . There are two nodes in the right subtree, their values are 8 and 10 . If we consider the node with value 10 as a root, it has one node in its left subtree whose value is 8 . There are no nodes in the right subtree of 10 .

Searching for a value is a simple matter of querying a root, and then moving on to the root of its left subtree or the root of its right subtree, depending on whether the value you are searching for is smaller or greater than the root.

We represent each node in the Binary tree with three words of memory: its value, the pointer to the root of the left subtree, and the pointer to the root of the right subtree. If there is no subtree, the pointer is x 0000 (the null pointer). The actual implementation in memory for the tree whose root has the value 7 is also shown below.


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It is useful to be able to add a value to the sorted list, which means adding a node to the Binary Search Tree, while retaining the property that we can search for a value in the manner discussed above. The mechanism is to search for the value until we find a null pointer and insert the node by replacing the null pointer with the address of the node being inserted. In the example on the previous page if we wanted to insert the value 9 , we would traverse the tree until we got to the node whose value is 8 . At this point, we would replace the null pointer of the right subtree of 8 with the address of the new node whose value is 9 .

Your job: Implement a new instruction ADDNODE to the LC-3b, which will insert a value as described above into a binary search tree. We will use unused opcode 1010. The format of the instruction is shown below:

## ADDNODE



RootR contains the address of the root node of the Binary Search Tree. NodeR contains the address of the first word of the node to be inserted. We can assume that the node to be added consists of three words: a value, and two null pointers. We also assume that if RootR is null, we do not want to insert this node anywhere so we are done. The procedure for adding a new node into our Binary Search Tree is as follows:

```
while(Root != 0) { // exit if Root is NULL
    if(Node.Data == Root.Data) return; // node exists, do not add duplicate
    if(Node.Data < Root.Data) {
        if(Root.Left == 0) { Root.Left = Node; return; } // insert node here
        else Root = Root.Left; // so you can traverse left subtree
    }
    if(Node.Data > Root.Data) {
        if(Root.Right == 0) { Root.Right = Node; return; } // insert node here
        else Root = Root.Right; // so you can traverse right subtree
    }
}
```

Name: $\qquad$

Part a (7 points): To implement ADDNODE, we need to make changes to the data path, the state machine, and the microsequencer. We show below the changes necessary to the data path. They are: four registers (NODE, NODEDATA, ROOT, ROOTDATA) with their respective Load Enable and Gate signals, four muxes and their control signals (AMUX, BMUX, ROOTMUX, X), and an unspecified logic block. NODE and ROOT contain pointers to nodes.

Your job: Fill in the missing logic block.


PROBLEM CONTINUES ON NEXT PAGE

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Part b (16 points): With respect to the state machine, we need to add additional states after state 32 .
Your job: Complete the state machine for ADDNODE shown below. Note: States 26, 34, and 36-63 in the control store are available.
Also, identify the control signals needed to perform the actions specified in state 58 in the box below.
$\square$


Name: $\qquad$

Part c (7 points): To handle the new micro-branches, we need to augment the microsequencer with an additional control signal ECS (Extra Control Signal).


ECS is asserted in a number of states in the state machine. Use as many entries as you need to indicate these states.


The mux signal $\mathbf{X}$ in the datapath can be replaced with an existing signal from the LC3-b datapath. Which signal?


